

# **Host species-specific metabolic fingerprint database for tracking the sources of faecal contamination in surface waters**

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## **DEDICATION**

*This thesis is dedicated to my wife Anamika who provided moral support to pursue this research.*

## ABSTRACT

Many phenotypic and genotypic methods known as microbial source tracking (MST) methods have been used to trace the source of faecal contamination in surface waters. Advantages and/or disadvantages of these methods have been evaluated in ecological studies. Among the phenotypic methods, a biochemical fingerprinting method apparently meets most (if not all) of the essential criteria of an ideal MST method. In this study, the method was initially evaluated for identifying the source of human faecal contamination in a catchment. Strains of two indicator bacteria, namely enterococci and *Escherichia coli* of 39 septic tanks were typed and their biochemical phenotypes (BPTs) were compared with those found in a nearby creek. Identical BPTs of enterococci (n= 98 BPTs) and *E. coli* (n=53 BPTs) from 33 and 26 septic tanks were respectively found in the creek. Certain septic tanks contained unique BPTs which served as their signature to identify the failing septic systems. The method was then used to develop a large and a representative metabolic fingerprint database of both indicator bacteria by testing 3,985 isolates of enterococci and 3,107 isolates of *E. coli* from 9 animal host groups in a selected catchment. The animal host groups tested include: horses, cattle, ducks, chickens, sheep, pigs, dogs, deer and kangaroos. Isolates were divided into unique (UQ) and shared (SH) BPTs based on their appearance in only one (i.e. UQ-BPT) or more (i.e. SH-BPTs) host-groups. These BPTs were also compared with those found in septic tanks as representative of human BPTs. BPTs shared between human and animals were excluded from the database. In this way it was possible to obtain 3 categories of BPTs of both indicator bacteria in the database. These include BPTs unique to individual animal host groups, BPTs shared among animals, and BPTs unique to humans. The developed database was able to identify 71% of enterococci BPTs and 67% of *E. coli* BPTs in water samples. Among enterococci, 10% of BPTs were identical to human BPTs and 61% were identical to animals and the rest could not be identified. Similarly, among *E. coli*, 13% of BPTs were identical to human BPTs and 54% were identical to animals. The representativeness of the database was evaluated in a cross catchment study where a local database was also developed for comparison. According to the local database, 6% of enterococci BPTs and 7.2% of *E. coli* BPTs were identical to humans and 44% of enterococci BPTs and 45.8% *E. coli* BPTs belonged to animals. These figures for the existing database were 7.1% (for enterococci) and 7.8% (for *E. coli*) for human and 55.3% (for enterococci) and 57% (for *E. coli*) for animals. A sub-database of *E. coli* strains carrying one or more virulence genes was developed to identify the sources of pathogenic *E. coli* in water samples. Using specific primers and the polymerase chain reaction (PCR), the presence of 15 virulence genes commonly found in *E. coli* strains causing intestinal and extra-intestinal infections in humans were tested. These included genes responsible for attachment and effacement (*eaeA*), production of verotoxins (VT) 1, 2 and 2e, heat-labile toxin (LT), heat-stable toxins (ST) 1 and 2, enteroinvasive (Einv), enteroaggregative (EAgg), cytotoxic necrotizing factors (CNF) 1 and 2, haemolysin A (*hlyA*), P-fimbriae (*papC*), lipopolysaccharides (LPS) O111 and O157 side chains. Eleven percent of the BPTs from animal species carried one or more virulence genes tested whilst 6% BPTs isolated from water samples also carried these genes. Although virulence genes were identified in strains from 7 animal species and 8 septic tanks, water samples contained virulent BPTs from dog and chicken only

indicating that combination of *E. coli* virulence properties and biochemical fingerprinting can also be used as a tool to identify the sources of pathogenic bacteria in surface waters. Whilst the biochemical fingerprinting method showed to be an ideal method for MST, the developed database showed to be highly specific and representative in tracing the source of human and animal faecal contamination in a local and cross-catchment study in the region. This study also indicates that strains of *E.coli* belonging to unique BPTs of the database could carry certain virulence properties and combination of these two specific characters can provide additional information regarding the impact of point and non-point sources of contamination on health of the water ways.

## **STATEMENT OF ORIGINALITY**

This work has not been previously submitted for a degree or diploma in any other university. To the best of my knowledge and belief, the thesis contains no material previously published or written by another person except where due reference is made in the thesis itself.

Warish Ahmed

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## PUBLICATIONS ARISING FROM THIS THESIS

1. **Ahmed, W., R. Neller, and M. Katouli.** 2005. Evidence of septic systems failure determined by a bacterial biochemical fingerprinting method. *J. Appl. Microbiol.* **98**: 910-920.
2. **Ahmed, W., R. Neller, and M. Katouli.** 2005. Host species-specific metabolic fingerprint database of enterococci and *Escherichia coli* and its application to identify the sources of fecal contamination in surface waters. *Appl. Environ. Microbiol.* **71**: 4461-4468.
3. **Ahmed, W., R. Neller, and M. Katouli.** 2005. Population similarity of enterococci and *Escherichia coli* in surface waters: A predictive tool to trace the sources of fecal contamination. *J. Water Health.* **4**: 347-356
4. **Ahmed, W., J. Tucker, J. Harper, R. Neller, and M. Katouli.** 2005. Comparison of the efficacy of an existing versus a locally developed metabolic fingerprint database to identify non-point sources of fecal contamination in a coastal lake. *Water Res.* **40**: 2339-2348
5. **Ahmed, W., J. Tucker, K. Bettelheim, R. Neller, and M. Katouli.** 2005. Detection of virulence genes in *Escherichia coli* of an existing metabolic fingerprint database to predict the sources of pathogenic *E. coli* in surface waters. *Water Res.* **41**: 3785-3791.

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## LIST OF ABBREVIATIONS

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AFLP:	Amplified fragment length polymorphism.
ANOVA:	Analysis of variance.
ARA:	Antibiotic resistance analysis.
ARP:	Antibiotic resistance profiles.
AS:	Australian standard.
AWTS:	Aerobic wastewater treatment system.
BPT:	Biochemical phenotype.
bp:	Base pair.
BTB:	Bromothymol blue.
CFU:	Colony forming unit.
CSOs:	Combined sewer overflows.
CSU:	Carbon source utilization.
DAEC:	Diffusely adherent <i>E. coli</i>
Di:	Diversity index.
DNA:	Deoxyribonucleic acid.
DNTPs:	Deoxyneucleoside triphosphates
EAEC:	Enteraggregative <i>E. coli</i>
EDTA:	Ethylenediamine tetra acetic acid.
EHEC:	Enterohemorrhagic <i>E. coli</i> .
EIEC:	Enteroinvasive <i>E. coli</i> .
EPEC:	Enteropathogenic <i>E. coli</i>
ERIC:	Enterobacterial repetitive intergenic consensus.
ETEC:	Enterotoxigenic <i>E. coli</i> .
EU:	European Union.
GI:	Gastrointestinal.
GIS:	Geographical Information System.
ID:	Identity.
LB:	Luria Bertani
LT:	Heat labile toxin
MARA:	Multiple antibiotic resistance analysis.
NPS:	Non point sources.
MF:	Membrane filtration.
MLEE:	Multilocus enzyme electrophoresis.

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MST: Microbial source tracking  
NHMRC: National Health and Medical Research Centre.  
NSW: New South Wales.  
OWTS: On-site waste water treatment system.  
*Pap*: pyelonephritis-associated pili.  
PCR: Polymerase chain reaction.  
PFGE: Pulsed-field gel electrophoresis.  
PhPlate: PhenePlate.  
PS: Point sources.  
Qld: Queensland.  
qPCR: Quantitative PCR.  
rep: Repetitive extragenic palindromic.  
rpm: Revolution per minute  
rRNA: Ribosomal ribonucleic acids.  
RT-PCR: Reverse transcription PCR.  
SA: South Australia.  
SC: Sub-catchment.  
SH-BPTs: Shared BPTs.  
Sp: Population similarity.  
ST: Heat stable toxin.  
STP: Sewerage treatment plant.  
TMDL: Total maximum daily load.  
t-RFLP: Terminal-restriction length fragment polymorphism.  
TSB: Tryptic soy broth.  
UPGMA: Unweighted pair group method with arithmetic averages  
USEPA: The United States Environmental Protection Agency.  
UQ-BPTs: Unique BPTs.  
Vic: Victoria.  
VT: Verotoxin

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# CHAPTER 1

## General Introduction and Literature Review

### 1.1 Microbial contamination of waters

Microbial contamination in coastal areas results in degradation of recreational and commercial uses of water in many parts of the world. Bacterial contamination has been cited as a leading cause of surface water contamination in the United States and many other countries of the world (7). Because of this, faecal contamination from human and animals is believed to be one of the major causes for increased microbiological and nutrient loads in coastal and inland waterways (2, 189, 224). Poor water quality results in the deaths of an estimated 5 million children annually (293). Non-point sources (NPS) such as:

- land application of animal faeces (305),
- run-off from animal farms (23, 56),
- faecal inputs from birds (154),
- domestic and wild animals (21, 116, 125),
- malfunctioning septic trenches (109, 125, 153),
- storm water drainage and urban run-off (116, 153, 197) and/or point sources (PS) such as
- industrial effluents and municipal wastes (223)

are known to be potential sources of such contamination.

Faecal contamination from human and animal waste imposes health risks to those who use water for recreational purposes (102) and/or a secondary risk to shellfish consumers due to the potential presence of pathogens in the shellfish closure (141). It has been reported that various human enteric pathogens such as *Salmonella* spp., *Shigella* spp., hepatitis A and Norwalk viruses have been found in surface waters due to human faecal contamination (21, 67, 139, 203, 232). Wastewater from domestic and/or farm animals such as cattle, horses and poultry may further contribute pathogens such as *Escherichia coli* belonging to serotype O157:H7, *Cryptosporidium* spp. and *Giardia* spp. which generally enter surface water via land run-off during rainfall events (56, 67, 94, 134, 137, 196, 203, 249).

Identification of major sources (i.e. humans and/or animals) of these faecal bacteria, as well as potential pathogens in waters, is therefore necessary to minimize the potential public health risks associated with such contamination. In addition, microbial source identification is an integral part of the development of the total maximum daily load (TMDL) program which is a calculation of the maximum amount of a contaminant that a water body can receive from PS and NPS contamination and still meet water quality standards (31). Knowing whether a pollution source is human or animal is necessary to plan TMDL.

Identification and/or quantification of pathogenic bacteria, viruses and cysts of protozoan parasites in surface waters is on the other hand is a cumbersome task due to numerous pathogens that may be present in the waterways from diffuse sources at any given time (249). For instance, it has been reported that more than 100 enteric viruses may be present in human faeces and wastewater (228). Therefore, it is not feasible to test water samples for the presence of each pathogenic organism. In addition, isolation and identification of these pathogens can in some cases be costly, quite difficult and laborious (276) as the number of pathogens in receiving waters may be low due to dilution. These factors collectively limit the use of pathogens to evaluate the quality of surface water. Alternatively, the use of indicators has been proposed to resolve this dilemma.

## **1.2 General objectives of the thesis**

Faecal indicator bacteria are used to ascertain the presence of faecal contamination and the possibility of pathogenic microorganisms in surface waters. To trace the source of contamination, several microbial source tracking methods (MST) have been used to establish a database of faecal indicator bacteria from known host groups (database-dependent methods). These methods are however, either not sufficiently discriminatory to differentiate between indicator bacteria in the same species, or are not sufficiently reproducible. In addition, some of the currently used methods are either complicated and require special trained personnel, or are costly and can be labour intensive, and therefore not suitable for testing a large number of isolates. The current literatures also suggest that database-dependent methods require further evaluation in terms of their size and representativeness. Stability of faecal indicator bacteria in the environment is another important factor, which needs to be addressed. Finally, it is not known whether a database developed for a given catchment can be used in another catchment within the same geographical region. An expansion of these concepts will be explored later in this chapter.

Nonetheless, a biochemical fingerprinting method has been reported that apparently meets many of the above mentioned criteria of an ideal MST method. The overall objectives of this thesis therefore were to:

- (a) evaluate the usefulness of a biochemical fingerprinting method to identify human faecal contamination in receiving waters
- (b) develop a large and representative database that can be used to differentiate between human and animal sources of faecal contamination and
- (c) evaluate the validity of such a database in cross catchment studies within the same geographical region.

Whilst MST methods use faecal indicator bacteria only as a means of identifying the potential presence of human and animal pathogens in surface waters, the overall objectives of this thesis were expanded to identify the possibility of the presence of virulence genes among indicator bacteria that can be used as a direct or additional means of identifying the presence and the source(s) of pathogens in a given catchment.

The remainder of this chapter will now provide an overview of the pertinent literature and theoretical framework for the research undertaken.

### 1.3 Water quality indicators

An indicator may be biological (i.e. bacteria) or a chemical substances (i.e. sterols) commonly found in the faeces of warm-blooded animals in high concentrations and released to the environment with the faeces. In 1880 Van Fritsch observed *Klebsiella* in human faeces as well as in water and introduced the term “indicators” (212). Indicator microorganisms are used to predict the presence of potential pathogenic microorganisms. However, to be an ideal candidate, an indicator bacterium of human pathogens should meet certain criteria such as:

- It should be native to the intestine of warm-blooded animals including humans (32).
- It should not be pathogenic (212).
- The number of indicator should be higher than pathogens (212).
- Should enter the surface water through defecation of human and animals.
- It should be easily isolated, enumerated and identified in any basic microbiological laboratory (197).
- It should be resistant to variety of environmental stresses (32).
- It should survive long enough in the natural waters to be detected.
- It should not multiply in the environment and their presence should be associated with the presence of pathogenic bacteria (32, 249).

#### 1.3.1 Coliform bacteria

Coliforms have long been used to assess the quality of recreational/surface and/or ground waters and shellfish-harvesting waters (121, 125, 129, 142, 240, 249, 259). These groups of bacteria include *E. coli* and several coli-like (coliform) bacteria, mainly belonging to the family Enterobacteriaceae are commonly found in the gastrointestinal tracts of all warm-blooded animals (54, 128, 129, 199, 294, 299).

During the early 1900's, the technology was not sufficiently advanced enough to distinguish *E. coli* from other coliforms and therefore most of the coliforms recovered from humans and animal faeces were assumed to reflect the presence of *E. coli*. As a result, the term “total coliform” was considered to be equivalent to *E. coli*. It is now known that total coliform bacteria comprises of at least four genera of the family Enterobacteriaceae that could all ferment lactose. These genera are *Escherichia*, *Klebsiella*, *Enterobacter* and *Citrobacter* and collectively they represent 1% of total bacterial populations in human and animal faeces. Among total coliforms however, *E. coli* represents the majority of the population (90-95%). These bacteria which are being erroneously referred to as “Faecal coliforms” are also known as “thermotolerant coliforms” because they are metabolically active at 44°C. During early 1950's, though more specific tests were developed to easily

distinguish *E. coli* from the rest of coliforms, the use of “Faecal coliforms” was so commonplace that they were not dropped in favour of *E. coli*.

Over the past few years coliform dynamics have been examined in several studies (21) and their value as an indicator has recently been questioned, because these bacteria can also be derived from various sources such as soil, agricultural run-off, composted animals, decaying vegetation and industrial processes (67, 121, 169). For instance, it has been reported that *Klebsiella* group may thrive in industrial and/or agricultural wastes and therefore, their presence in surface waters do not necessarily indicate faecal contamination from warm-blooded animals (212). It has also been reported that their ecology and prevalence differ from pathogenic microorganisms (58, 66, 262). The sensitivity of these bacteria to environmental stresses is low compared to viruses and protozoans. These factors collectively limit these groups of bacteria as a standard indicator to assess the quality of surface and ground waters. Because of these limitations, bacteria such as *E. coli*, enterococci, *Bifidobacterium spp.*, *Clostridium perfringens* and *Bacteroides spp.* have been suggested as alternative indicators (115). A recent discussion paper by the National Health and Medical Research Council (NHMRC), Australia, proposed that *E. coli* is an ideal faecal indicator to assess the quality of recreational waters (211).

### **1.3.2 *E. coli***

*E. coli* has been widely used as a faecal indicator bacterium and is considered “the pioneer marker” as these bacteria colonize in the intestine of human and other warm-blooded animals in relatively high numbers (22, 53, 93, 182, 222, 240). It can be easily distinguished from other faecal coliform on the basis of the presence of  $\beta$ -glucuronidase. *E. coli* possesses several desirable characteristics of an ideal indicator as mentioned earlier such as not normally pathogenic, easy to culture and detect, the concentration in receiving waters is much higher than those of pathogens (73, 276) and they may survive a prolonged period in natural environments under favourable conditions (22). The United States Environmental Protection Agency (USEPA) and the European Union (EU) recommended *E. coli* as mandatory microbial indicator to assess the quality of water. However, it has also been reported that *E. coli* can replicate in pristine waters in tropical rain forest even in the absence of faecal input (66). This may limit its utility as an ideal indicator in tropical environments.

### **1.3.3 Enterococci**

Faecal streptococci are Gram-positive, catalase-negative cocci that cleave esculin and are not inhibited by bile salts. They are classified as group D streptococci by antiserum reactivity. The enterococci that were formerly classified as faecal streptococci are also considered to be an ideal water quality indicator (9) and classified in the genus *Enterococcus* (191).

Enterococci are most often suggested as alternatives to coliforms. The use of enterococci as a water quality indicator dates back to 1900 when they were found to be common commensal bacteria in the intestine of warm-blooded animals (104). Enterococci can be identified by their ability to grow at 10-45°C, at high pH (i.e. pH=9.6), and in medium with 6.5% NaCl. So far, 19 species have been included in the genus (275). The most common species of enterococci include *E. faecalis*, *E. faecium*, *E. durans*, *E. gallinarum* and *E. avium* among which *E. faecalis* and *E. faecium* are exclusively found in humans. Enterococci has the ability to survive in the natural environment for lengthy periods under favourable conditions (22, 121, 129, 165, 198, 258), do not replicate in the natural waters (298), their presence in surface waters indicates recent contamination (105), are less numerous than faecal coliform in human faeces (84) and rapid methods are available for their detection and identification. Several epidemiological studies have reported a correlation between enterococci concentrations and swimming-associated gastrointestinal diseases in recreational waters (40, 41, 53, 93, 142, 240). In 1998, the EU recommended enterococci as substitute for faecal coliforms (212).

#### **1.3.4 *Bifidobacteria***

*Bifidobacteria* are anaerobic, Gram-positive bacteria which are considered as potential faecal indicator due to their high abundance in human faeces relative to those of faecal coliforms (75). The presence of *Bifidobacteria* in surface waters indicates that faecal contamination has occurred through human faeces, as they do not normally found in animals (31, 32, 236, 249). The key advantage of *Bifidobacteria* is that they do not replicate in the environment due to their strict growth requirements (190). *Bifidobacteria* have the ability to ferment sorbitol and can be easily detected in sorbitol agar (236). However, one disadvantage of these bacteria is that they do not survive in the environment for lengthy periods (26, 45, 236) and are therefore limited as an indicator of recent contamination events (103). The use of *Bifidobacteria* is also limited due to the difficulty in isolation and identification using traditional biochemical methods (195).

#### **1.3.5 *Clostridium perfringens***

*C. perfringens* are spore-forming, sulphite-reducing, rod-shaped anaerobic bacteria which has been used as an indicator of faecal contamination. They are commonly found in the intestine of warm-blooded animals and have been isolated from natural waters (47). Spores of *C. perfringens* are largely of faecal origin (264) and comprise approximately 0.5% of the faecal flora. The advantage of using this bacterium is that unlike other indicator bacteria, they do not replicate in natural waters (64). However, the use of *C. perfringens* may not be suitable for identifying recent pollution events as these bacteria can be quite resistant to environmental stresses. It has been reported that the number of *C. perfringens* has been shown correlated with human enteric viruses (92, 229) in surface waters.

### **1.3.6 *Bacteroides***

*Bacteroides* are anaerobic non-spore forming bacteria commonly found in the gut of warm-blooded animals including humans. The number of these bacteria is quite high in faeces, representing more than 30% of total human faecal flora. One advantage of using such bacteria is that they do not replicate in the natural environment and their presence in natural waters indicates recent contamination has occurred (199). However, the use of these bacteria as an indicator is limited due to difficulties in isolation and identification in the laboratory compared with *E. coli* and/or enterococci.

### **1.3.7 Bacteriophages**

Bacteriophages are viruses that infect bacteria found in human intestines. Bacteriophages are generally found in large number in sewage and wastewater. Three groups of bacteriophages have been proposed as indicators. These include somatic coliphage (135, 166), male-specific RNA coliphage (131) and phages infecting *Bacteroides fragilis* (111, 150, 269). It has been reported that coliphage lack host specificity. For instance, F<sup>+</sup> coliphages attack *E. coli* as well as other coliforms (236). It has also been reported that F<sup>+</sup> coliphages and somatic coliphages can multiply in the environment and may act as a false indicator (181). Somatic coliphages are not host specific and therefore not regarded as true indicators of faecal and/or enteric viral contamination. Large volume of water samples need to be analysed for isolation of this bacterium and may not be feasible for routine monitoring (181).

## **1.4 Limitations of indicator bacteria**

Identification of major contaminating sources can be of great value for the management of faecal contamination of surface waters (26, 176). However, a major limitation of using faecal indicator bacteria is that their presence/absence in surface waters can only be used to predict the quality of water. It has to be noted that there is no universal indicator that possesses all of the characteristics outlined earlier (section 1.3). Moreover, the indicators do not provide definitive information regarding the possible source(s) of contamination (103, 129, 130, 173, 197, 299). Thus it is virtually impossible to identify the sources of faecal contamination based on these indicators alone.

## **1.5 Overview of microbial source tracking (MST) methods**

Historically, the ratio of faecal streptococci and faecal coliform has been used as a means of distinguishing between human and animal sources of contamination (8, 61, 68, 70). This method is based on the concept that animal faeces contain high levels of faecal streptococci with respect to humans. In contrast, human faeces contain higher levels of faecal coliforms than animals. When the ratio is >4 the possible source of contamination is human and when the ratio is <0.7, animals are regarded as the main source (79). This method is no longer considered reliable due to the fact that the ratio can be influenced by temperature and sediment. Under warm conditions or in temperate regions faecal coliform growth may exceed the ratio even in

the absence of human sources. In addition, this method cannot discriminate among various animal species (38, 74).

Over the past ten years, microbiologists have developed several techniques, collectively known as MST methods which can be used to predict the various sources of animal and/or human faecal contamination. Indeed some of these methods are designed to differentiate among animal species (116, 199, 249, 299). The objective of these methods is to overcome the limitation of traditional indicator bacteria and more accurately identify the sources of faecal contamination.

These methods can be broadly categorized as microbial (116, 249) and chemical methods (251). Microbial methods can be further categorized as genotypic and phenotypic methods. Genotypic methods include ribotyping (43, 125, 224), pulsed-field gel electrophoresis (PFGE) (255, 256), ribosomal genetic markers (26, 27), repetitive (rep) DNA sequences (67), amplified fragment length polymorphism (AFLP) (186), enterotoxin biomarkers (217), and F<sup>+</sup> coliphages genotyping (55). Phenotypic methods used for detection of indicator bacteria in surface waters include antibiotic resistance analysis (ARA) or multiple antibiotic resistance analysis (MARA) (129, 223, 302, 303), carbon source utilization (CSU) (122) by using Biolog system and biochemical fingerprinting with the Phene Plate system (PhPlate) (291).

Some of these microbiological methods have been further categorized as database dependent methods, based on the hypothesis that phenotypic or genotypic characteristics of specific strains are associated with specific animals (10, 126, 153, 197). On the basis of this hypothesis, a database is made of either genotypic or phenotypic profile of the indicator bacteria from several known host groups is assembled and classified according to the host groups (254, 299) using a variety of statistical methods such as discriminant, cluster and principal component analyses. The developed database is then used to compare with profiles obtained from the same indicator bacteria found in surface waters. In this manner, the source(s) of unknown environmental isolates can be identified or at least predicted based on the similarity to the database. Genotypic database-dependent methods distinguish between sources of faecal contamination by identifying patterns in the genetic material of bacterial isolates and matching them with the database from known host groups, while phenotypic database-dependent methods rely on growth patterns produced when bacterial isolates are subjected to a given test system. Certain genotypic methods may not require development of a database and are referred to as “database-independent methods”. These include host specific polymerase chain reaction (PCR) (67, 224), (terminal-restriction length fragment polymorphism (t-RFLP) (26, 27), toxin gene biomarkers (123, 217) and immunological tests which differentiate between sources by identifying the presence of genetic markers unique to the faecal bacteria of the targeted host groups. Database-independent methods operate at the population level rather than the isolate level. Certain genotypic methods target viruses that occur in human faeces while not present in animals and include those that detect human enteroviruses and adenoviruses or F<sup>+</sup>

coliphage, a virus that infects *E. coli*. Chemical methods such as detection of caffeine (249) and faecal sterols analysis (183) has also been used to detect the source(s) of faecal contamination in surface waters.

### **1.5.1 Database-dependent genotypic methods**

Genotypic methods target the whole genome (257, 279), particular genes (123, 217), or a specific DNA sequence (26, 27) and characterize faecal indicator bacteria into different types according to their genotypic profiles. These methods are briefly discussed below.

#### ***Pulsed field gel electrophoresis (PFGE)***

PFGE considered as being the most popular methods for typing bacterial isolates (215). This method is highly discriminatory and stable for analysis of numerous species of bacteria such as *E. coli*, enterococci, *Staphylococcus aureus*, *Acinetobacter spp.*, *Pseudomonas aeruginosa*, and *Mycobacterium avium* (13, 20, 117, 208, 231, 245, 248). In this method, DNA fingerprints are generated by *in situ* detergent-enzyme lysis and digestion with infrequently cutting restriction endonucleases. The digested bacterial plugs are then subjected to electrophoresis. The pulsed-field allows clear separation of very large molecular length DNA fragments ranging from 10 to 800 kb. The electrophoresis patterns are visualized following staining of the gels with a fluorescent dye. A reference database is then developed according to bacterial types and compared to unknown environmental isolates. The key advantages of PFGE are excellent discriminatory power and reproducibility (80). However, this technique has not been frequently used for MST studies and therefore requires further evaluation.

#### ***Repetitive extragenic palindromic (rep)-PCR***

Rep-PCR targets repetitive extragenic palindromic (rep) elements to compare bacterial genome diversity (46, 62, 152, 167, 242). This method uses PCR and specific primers such as BOX primer (i.e. 154 base-pair [bp]), rep primer (35-40 bp) or enterobacterial repetitive intergenic consensus (ERIC primer) (124-127 bp) to amplify specific portions of the microbial genome (284) followed by electrophoresis, staining and visualising band pattern for each genomic DNA. This method is based on the hypothesis that isolates having indistinguishable banding patterns can be regarded as genetically identical (i.e. genetically related). The banding patterns are stored in a database and compared with unknown environmental isolates to identify the source. This method has been extensively used for MST studies as it is rapid, simple and requires modest resources (286). Amongst the genotypic methods, it is the least expensive and requires less technical expertise. This method has shown to be reproducible in one single study (285) although changes in protocol may yield different fingerprint pattern in different laboratories (249, 280).



### ***Ribotyping***

Ribosomal ribonucleic acids (rRNA) are an integral part of all living cells, and the genes coding rRNA tend to be highly conserved (80). In this method, DNA is isolated from bacterial isolates and cut into fragments using one single restriction enzyme such as *HindIII* (224) or a combination of two enzymes such as *EcoR1* and *pvuII* (243). The resulting fragments are separated based on their molecular weight using gel electrophoresis. Hybridization with a labelled DNA probe creates a pattern of the fragments, which are specific to each strain. Several studies have been conducted to determine the sources of *E. coli* using this method (43, 125, 224). The fingerprints are then analysed by discriminant analysis and compared to a reference database. Ribotyping is considered one of the most reproducible genotypic methods. Ribotypes are relatively stable characteristics within a species, however, epidemiologically unrelated isolates sometimes demonstrate the same pattern, thereby limiting their discriminatory power (194). Ribotyping may also not differentiate amongst animal host groups. The success of this method relies on developing an extremely large database from a broad geographic area as temporal and geographical variability may affect the ribotype pattern of faecal indicator bacteria (109, 125). In addition, the laboratory analyses are expensive and labour intensive (249).

### ***Sequence-based source tracking of E. coli***

This method is based on the enzyme  $\beta$ -glucuronidase that is present in most of the *E. coli* (193). Several commercial methods (i.e. Colilert, ColiPAD) have been developed for the detection of *E. coli*, based on this enzyme (32). A PCR method can be used to sequence  $\beta$ -glucuronidase gene (*uidA*) and distinguish *E. coli* populations from surface waters (77). This method has been recently used to identify the sources of faecal contamination in Michigan, USA (234). However, identical alleles (genetic variation of *uidA*) have been isolated from several faecal samples while some were unique to individual host group (234). Application of this method for faecal source tracking requires further evaluation.

### **1.5.2 Database-dependent phenotypic methods**

Phenotypic methods measure the type and quantity of substances produced by faecal indicator bacteria. The most commonly used phenotypic methods include ARA and CSU. Phenotypic methods are rapid and inexpensive with regards to genotypic methods.

#### ***Antibiotic resistance analysis (ARA)***

ARA is a phenotypic database-dependent method which has been used extensively for MST studies using *E. coli* and/or enterococci. Antibiotics are used to prevent and treat infections in humans and domestic animals as well as to promote growth in animals. Microorganisms develop resistance to antibiotics to which they are regularly exposed. This method is based on the hypothesis that bacteria present in the intestine of different animals, subjected to different types and concentrations of antibiotics would result in host-specific resistance

profiles. ARA fingerprints of unknown environmental isolates are compared to a reference database which is developed from several known host groups.

There is currently no standard panel of antibiotics and concentrations used for this method. Antibiotics are basically selected on the basis of their uses in different host groups. This method has shown to be successful in discriminating *E. coli* and enterococci isolated from animal species (156, 164, 223). However, it has been reported that the use of ARA with *E. coli* may not be informative as these groups of bacteria are intrinsically resistant to certain antibiotics (e.g. vancomycine). This method has gained popularity because it is rapid, simple, and inexpensive and can be performed in any basic microbiological laboratory. Furthermore, it requires less technical expertise than any molecular methods. However, it has to be noted that, antibiotic resistance is often carried on plasmids, which can be lost from cells under certain conditions such as cultivation, storage or environmental changes (249). In addition, strains from different locations may show variations in sensitivities to antibiotics due to variable antibiotic use among humans and livestock. A large reference database is required that contain antibiotic resistance profiles (ARP) from a wider region. Changes in antibiotic use may change the antibiotic resistance pattern of faecal bacteria. Furthermore, antibiotic sensitivity is not useful in situations where the isolates show no significant resistance patterns.

### ***Carbon source utilization (CSU)***

The Biolog system is based on the CSU. It is a phenotypic database-dependent method that has been recently used for MST (122) and compares differences in the utilization of several carbon and nitrogen substances by bacterial isolates. This method has been developed for species identification and can be used with the Biolog database to identify more than 2,000 species of microorganisms. This method has also been extensively used for characterization and identification of microorganisms in medical microbiology (136), soil and aquatic microbiology (213). Hagedorn *et al.* (122) successfully used the CSU method to identify the sources of faecal contamination in surface water. This method is rapid and simple, requiring only a micro-plate reader to determine CSU pattern. For each bacterial isolate, it yields a fingerprint pattern, which is saved to a database and compared with the pattern of unknown environmental isolates.

### ***Serotyping***

Microorganisms of the same species can differ in terms of the expression of antigenic determinants on the cell surface. Serotyping can be used to detect such differences and therefore is an important tool for epidemiological studies of Gram-negative bacteria such as *E. coli*, *Haemophilus influenza* etc. This method has been used to differentiate *E. coli* from different sources (59, 107). It has been reported that different serotypes of *E. coli* can be associated with different host groups although shared serotypes among animals and human have also been observed (28, 127, 221). This method however has a few limitations such as the expense of

typing reagents and many of the strains are either non-typeable or share identical serotypes (194). Serotyping has not been widely used for MST and requires further evaluation.

### ***Bacteriophage typing***

Bacteriophages are viruses that are capable of infecting and lysing bacterial cells. A given phage strain may be able to grow inside several strains of bacteria of the same species. Phage typing has been the mainstay of strain discrimination for many years (33). In this technique, isolates are characterized by their susceptibility or resistance to lysis by each member of a panel of bacteriophages (194). Phage typing can only be undertaken at reference laboratories because it requires maintaining stocks of biologically active phages and control strains. Many strains are non-typeable with the available bacteriophages panels and therefore new/other phages are often needed to be included in the panel. It has also been reported that phage typing has a poor discriminatory power (194).

### ***Biochemical fingerprinting***

The biochemical fingerprinting method is based on the kinetics measurements of bacterial metabolism of several different substrates (175, 204). It is based on the hypothesis that bacterial isolates, belonging to the same clone, share identical metabolic properties, whereas isolates with different genotypes have differences in one or more of the measured metabolic processes, and thus will show different activities in the reactions involved. Kühn and Möllby (174) developed a typing system based on biochemical fingerprinting and numerical analysis of data obtained from the typing of bacterial isolates. This system is semi-automated and was originally developed for the typing of *E. coli* (204). However, it was further developed for typing of other metabolically active bacteria such as enterococci (35, 143, 287), salmonella species (158, 159), Klebsiella species (172), aeromonas species (86, 177, 233) and many others (34). The system has been shown to have a high degree of discrimination and reproducibility (143, 160, 173, 176, 287) and the stability of the typing markers has been assessed upon subculturing and /or storage (157). The system is an ideal method for typing a large number of isolates in a short period of time. In addition, this method could be easily performed in any laboratory without the need of sophisticated equipments. The limitation of this system is that it can only be used for bacteria that are metabolically active. This method has not been frequently used in MST studies.

### **1.5.3 Database-independent methods**

In recent years, several database-independent methods have been used in MST studies (26, 27, 149, 214, 217). These methods are generally PCR-based and offer several advantages over database-dependent methods. For instance, these methods circumvent the need for the cultivation of bacterial isolates and the development of a reference database. PCR amplification of 16S rDNA from bacteroides, has been used for MST studies (26, 27, 85), however the use of bacteroides as an indicator for this method requires further investigation as they do not survive in the environment for lengthy periods.

Reverse transcription PCR (RT-PCR) and quantitative PCR (qPCR) have also been used to detect human viruses such as adenoviruses and enteroviruses in surface waters (214). Adenoviruses are exclusively found in human faeces while enteroviruses are found in cattle and other domestic animals (149, 187, 230). However, these indicators may not discriminate among animal host groups.

PCR detection of *E. coli* virulence genes, which are clinically significant may also be a potential method and would provide a better indication of water health (109). Biomarkers, based on enterotoxin genes in *E. coli* have also been proposed (217). The advantage of such method is that, it targets clinically significant *E. coli* rather than commensal *E. coli* found in the intestine.

Detection of bacteriophages has also been used for MST in surface waters. Bacteriophages are suitable to indicate human contamination and are not capable of further discriminating among animal host groups (263). In addition, host phage assay (i.e. *B. fragilis*) is cumbersome task due to their presence at low number in surface waters (259).

#### **1.5.4 Chemicals methods**

##### ***Optical brighteners***

It has been reported that the laundry detergent compounds such as optical brighteners and ethylenediamine tetra acetic acid (EDTA) have been found in groundwater (6, 19, 82, 155, 244). Optical brighteners can be used as a potential indicator of grey water discharge (244). However, this method is only suitable for PS identification. In addition, this method does not indicate public health risks that may be associated with domestic on-site wastewater treatment systems (OWTSs) failure.

##### ***Caffeine and pharmaceuticals***

Caffeine and human pharmaceuticals have also been used as potential indicators of contamination of surface and ground waters by OWTSs (251). Caffeine is of anthropogenic origin and is, found in beverages and many pharmaceutical products. It has been suggested that the presence of caffeine in the environment could indicate the presence of human sewage (39). Seiler *et al.* (251) reported a low concentration of caffeine in shallow wells compared with high concentration found in domestic septic tanks. The reason could be due to the fact that dilution and partial breakdown of these compounds may occur either in the septic tank itself or in the absorption field (251). However, application of these compounds as indicator of contamination is limited because high concentration of these chemicals must be present in receiving waters. It has been reported that only 3% of ingested caffeine is excreted in the urine (267). A dilution of more than 1:200 would make it difficult to detect (244).

Pharmaceutical substances such as pentobarbital, meprobamate, and phensuximide are used to cure diseases (251). These substances are also potential wastewater indicators and have also been detected in groundwater (71).

### ***Fluorescent dye***

Fluorescent dyes can also be used to identify the point sources of contamination such as OWTs (244). Charcoal packets are placed at suspected source(s) or contaminated water and retrieved one or two weeks after the time of placement and analysed for the presence of dye. If the dye is detected from the contaminated water, then the place where the dye was deposited is contributing to pollution (244). This method however, requires intensive field sampling and landowner cooperation to investigate all possible sources (244).

### ***Faecal sterols***

Human and animal faeces contain sterols and stanols (a by product of sterols). The sterol profiles of human and animal faeces vary from each other due to different feeding habitat, gut flora and types of metabolism. Sterols such as 5 $\beta$ -stanols and coprostanol are dominant in human faeces and have not been naturally found in surface waters unless contaminated by human faeces (283). Similarly, animals such as cattle, sheep and horses faeces are dominated by 24-ethylcoprostanol which is different from human sterol and can be used as biomarkers for faecal contamination from these host groups (183). Leeming *et al.* (183) profiled a range of sterols and stanols in human and animal faeces and concluded that sterol/stanols ratios are distinctive enough to differentiate between human and animal host groups. This method is considered a viable alternative to microbiological indicators of faecal contamination (207) and has been used to identify faecal contamination in surface waters (184). This method is appropriate for specific studies investigating the proportion of human and animal faecal contamination and therefore, not suitable for the identification of NPS contamination (244). The laboratory analysis can be expensive and labour-intensive, requires filtration of large volume of water.

## **1.6 Comparison of methods**

Whilst most of the MST methods have shown to be successful in determining the dominant source(s) of faecal contamination in surface waters, an overview of their advantages and disadvantages seems imperative before practical application of these methods for any specific ecological studies.

The advantages and disadvantages of these methods have been discussed in several reviewed papers (80, 197, 199, 249). For instance, it has been shown that genotypic methods, although quite discriminatory, some of them can be laborious and /or expensive or not suitable for ecological studies where a large number of isolates need to be tested (125, 215). In contrast, phenotypic methods such as ARA can be used to test a large number of isolates and is rather inexpensive. However, it is known that antibiotic resistance genes can be lost

from or gained by bacteria under certain conditions (90, 249). Chemical methods such as caffeine or faecal sterol detection require stringent sampling, are labour intensive and can be quite expensive.

A general consensus from the literature is that no single method is clearly superior to others (116, 265, 266) and that a combination of different methods where applicable should be used in ecological studies to obtain confirmatory results. This will certainly increase the confidence levels for correct source identification.

However, such an approach depends on several factors including:

- The objective of source identification (i.e. TMDL development and/or water health assessment).
- Scale of source identification (human vs. animals or individual host groups).
- Size of the catchment.
- Number of PS and NPS sources in the catchment and
- Laboratory cost and turnaround time.

Table 1.1 outlines the advantages and disadvantages of most commonly used source tracking methods.

**Table 1.1** Advantages and disadvantages of source tracking methods.

Methods (references)		Target indicator	Advantages	Disadvantages
<b>Genotypic methods</b>				
1	<i>PFGE</i> (255)	<i>E. coli</i> Enterococci	1. Highly discriminatory 2. Highly reproducible 3. Quantitative 4. Discriminate isolates from multiple host groups	1. Requires development of a large reference database 2. Bacterial culture required 3. Too sensitive to broadly discriminate source 4. Database temporally and geographically specific 5. Labour-intensive 6. Requires special training
2	<i>Rep-PCR</i> (43, 67, 153, 197, 252)	<i>E. coli</i>	1. Rapid 2. Requires modest resources 3. Requires less technical expertise 4. Quantitative 5. Discriminate isolates from multiple host groups	1. Requires development of a reference database 2. Bacterial culture required 3. Database temporally and geographically specific 4. Results may vary in different laboratories due to different protocols
3	<i>Ribotyping</i> (43, 44, 126, 179, 224, 249, 250, 297).	<i>E. coli</i> Enterococci	1. Highly stable 2. Discriminate isolates from multiple host groups 3. Quantitative 4. Can be automated	1. Requires development of a large reference database 2. Bacterial culture required 3. Complex fingerprinting procedure 4. Labour intensive 5. Database temporally and geographical specific 6. Lack of discriminatory power 7. Requires special training
<b>Phenotypic methods</b>				
1	<i>ARA</i> (63, 100, 113, 121, 129, 223, 253, 294, 299, 302, 303, 304)	<i>E. coli</i> Enterococci	1. Rapid 2. Require limited training 3. Quantitative 4. Discriminate isolates from multiple host groups 5. Inexpensive 6. Quantitative	1. Requires development of a reference database 2. Bacterial culture required 3. Antibiotic resistance carried on plasmids which can be lost or gained during cultivation and storage 4. Database temporally and geographically specific 5. Isolates only resistant to antibiotics can be typed 6. Can yield false-positive.

2	CSU (122)	<i>E. coli</i> Enterococci	1. Rapid 2. Require limited training 3. High stability 4. Quantitative 5. Discriminate isolates from multiple host groups	1. Requires development of a reference database. 2. Bacterial culture required 3. Database temporally and geographically specific 4. Methods variation
3	Biochemical fingerprinting (3, 4, 291)	<i>E. coli</i> Enterococci	1. Rapid 2. Semi-automated 3. Require limited training 4. High stability 5. Quantitative 6. Discriminate isolates from multiple host groups	1. Requires development of a reference database 2. Target indicator cultivation required 3. Database temporally and geographically specific 4. Only metabolically active bacteria can be typed
<b>Database independent methods</b>				
1	Host-specific PCR (26, 27, 36, 103, 169, 188)	Bacteroides Bifidobacteria Enterococci Rhodococcus F <sup>+</sup> coliphage Adenovirus Enterovirus	1. Rapid 2. Development of a reference database not required 3. Bacterial culture not required	1. Non-quantitative 2. May not survive long in natural waters. 3. Primers currently not available for all relevant hosts.
2	Virus-specific PCR (88)	Adenovirus Enterovirus	1. Rapid 2. Development of a reference database not required 3. Target indicator cultivation not required 4. Host specific 5. High sensitivity	1. Non-quantitative 2. Can identify only human sources 3. Low in number, requires large sample size 4. Can be absent when human contamination evident 5. Concentration and purification of viral nucleic acid from environmental samples can be difficult.
3	Gene specific PCR (217)	<i>E. coli</i> toxin gene	1. Development of a reference database not required 2. Bacterial culture not required 3. Provide direct evidence that potential harmful bacteria present 4. Rapid	1. Non-quantitative 2. Cannot discriminate among multiple host groups 3. Identify only human 4. Primers currently not available for all relevant hosts. 5. Requires special training



4	<i>F<sup>+</sup> RNA coliphage</i> (5, 55)	F <sup>+</sup> coliphage	<ol style="list-style-type: none"> <li>1. Development of a reference database not required</li> <li>2. Discriminate isolates between human and animals</li> <li>3. High stability</li> </ol>	<ol style="list-style-type: none"> <li>1. Non-quantitative</li> <li>2. Can identify only human</li> <li>3. Lack of host specificity</li> <li>4. Concentrations can be low in environmental samples</li> <li>5. Coliphages cultivation required</li> </ol>
<b>Chemical methods</b>				
1	<i>Optical brighteners</i>	-	<ol style="list-style-type: none"> <li>1. Indicate human contamination</li> <li>2. Inexpensive</li> <li>3. simple</li> </ol>	<ol style="list-style-type: none"> <li>1. May not indicate recent contamination</li> <li>2. Cannot identify non-point sources</li> <li>3. Does not provide information regarding public health risks</li> </ol>
2	<i>Caffeine/pharmaceuticals</i>	-	<ol style="list-style-type: none"> <li>1. Indicate human contamination</li> </ol>	<ol style="list-style-type: none"> <li>1. Analysis expensive</li> <li>2. Easily degraded by soil microbes</li> <li>3. Sensitivity issues</li> <li>4. Dilution makes it difficult to detect in receiving waters</li> </ol>
3	<i>Faecal sterols analysis</i> (103, 183)	-	<ol style="list-style-type: none"> <li>1. High sensitivity</li> <li>2. Can distinguish between human and animal contamination.</li> </ol>	<ol style="list-style-type: none"> <li>1. Expensive</li> <li>2. certain sterols Can be found in plants</li> <li>3. Easily degraded by soil microbe</li> <li>4. May not indicate recent contamination</li> <li>5. Not relevant to human health</li> </ol>

## **1.7 Application of database dependent methods**

### **1.7.1 Antibiotic resistance analysis (ARA)**

ARA has been widely used in MST studies (100, 113, 121, 129, 223, 253, 294, 299, 302, 303, 304). For example, a large enterococci database (i.e. 7,058 isolates) was developed from human, livestock and wildlife sources from a watershed in Virginia. Cattle were identified as predominant (more than 78%) sources of faecal contamination when this database was used in an ecological study (121). Another study developed a database for enterococci and *E. coli* from 8 host groups in order to identify the sources of faecal contamination in sub-tropical waters in Florida (129). Both enterococci and *E. coli* databases were in agreement in this study in identifying humans as the predominant sources of contamination. Graves *et al.* (113) developed an ARA database comprising of 1,174 enterococci isolates from 7 host groups. In all, 2,012 isolates were tested from a watershed in Virginia, of which 50% were identified as livestock followed by wildlife (40%) and human (10%). Whitlock *et al.* (299) compared 2,398 *E. coli* isolates from 4 host groups in an urban watershed in Florida, and reported that the majority of faecal *E. coli* isolates in the studied creek were from wild animals, followed by humans and dogs. Geary and Davies (100) used ARA to identify the sources of faecal contamination in a shellfish growing area in NSW, Australia. In all, 166 enterococci isolates were tested from 4 host groups. Application of this database in an ecological study could not identify any dominant source.

### **1.7.2 Carbon Source Utilization (CSU)**

Hagedorn *et al.* (122) developed a CSU database of 365 enterococci isolates from human (i.e. 105 isolates) and non-human (i.e. 260 isolates) sources. Ninety unknown enterococci isolates were collected from 3 sampling sites with pre-suspected sources. The database was able to identify the suspected sources correctly. However, this method has not been frequently used in MST and requires further evaluation.

### **1.7.3 Ribotyping**

Parveen *et al.* (224) analysed 238 *E. coli* isolates from human and nonhuman sources and reported that 97% of the non-human and 67% of human ribotypes were correctly classified by discriminant analysis (DA). Similarly, Carson *et al.* (43) analysed 287 *E. coli* isolates from different host groups of which 95% were correctly identified as human and 99% were correctly identified as animals. It has to be noted that none of these databases have been used in ecological studies. Scott *et al.* (250) tested 515 *E. coli* isolates from a watershed in Southern California. Of these, 88% isolates were identified as animal sources and the remaining was identified as humans. Samadpour and Checowitz (243) were able to identify more than 71% of ribotypes collected from a watershed in Seattle, WA, against those in their database. This study, however, did not provide information regarding how ribotyping was performed or the data was analysed. It should be noted however, that ribotyping may not be a suitable method for discriminating isolates from different animal species (250).

#### **1.7.4 Pulsed-field gel electrophoresis (PFGE)**

Parveen *et al.* (225) used PFGE to test 32 *E. coli* isolates from estuarine waters receiving faecal contamination from a variety of sources but were not able to distinguish between human and animal sources. However, Simmons *et al.* (257) tested a large collection (i.e. 439) of *E. coli* isolates and identified wild animals and dogs as predominant sources. This method has not been extensively used in MST studies and requires further evaluation.

#### **1.7.5 Repetitive extragenic palindromic (rep) PCR**

Dombek *et al.* (67) reported that rep-PCR fingerprinting of *E. coli* strains can be used to differentiate between human and animals host groups and reported that 100% of the chicken and cow isolates, 83% of the human isolates were assigned to the correct host groups. Another study used ARA in combination with rep-PCR to identify the sources of *E. faecalis* in Pensacola Beach, Florida and identified seagulls as main contributor.

#### **1.7.6 Methods comparison studies**

The performance of a combination of ARA, AFLP and 16S rRNA sequences has been evaluated to differentiate 319 *E. coli* isolates from human and animals. Among all the methods tested, AFLP performed better than others. Moyda *et al.* (206) evaluated PFGE, rep-PCR and ribotyping to identify the sources of contamination in water samples spiked with faeces from known sources. All methods were able to identify the dominant sources. However, the methods also yielded false positive rates as high as 57%. In another study, Stoeckel *et al.* (266) compared seven protocols including ARA, CUP, ribotyping using the restriction enzyme *HindIII* and *EcoRI*, PFGE, rep-PCR and BOX-PCR. A low accuracy obtained for all methods tested.

### **1.8 Key assumptions of MST methods**

In MST methods, the clonal population structure of indicator bacteria is used to categorize microorganisms on the basis of their genotypic or phenotypic fingerprint. However, the successful outcome of MST methods depends on several key assumptions (109). These are briefly discussed below.

#### **1.8.1 Host specificity**

The indicator bacterium should be host specific that contribute faecal contamination to waterways. However, certain indicator bacteria appear not to be quite host-specific as they are present in multiple host groups. These groups of indicator bacteria are referred as cosmopolitan (299). It has been argued that the lack of host specificity could be due to either insufficient sampling of indicator bacteria or the lack of discriminatory power of the typing method used. It has to be noted though that highly discriminatory method such as PFGE

identifies cosmopolitan isolates. Cosmopolitan host distribution is well documented in *E. coli* (125, 197) and F<sup>+</sup> specific coliphages (55). However, no single study has specifically addressed this issue.

### **1.8.2 Temporal stability**

The indicator bacterium should be stable within individual host group over time. *E. coli* populations which occur only once at a single sampling occasion are referred to as transient populations, whilst others occurring multiple times are referred to as resident populations (48). These resident populations within host group should be stable over time, and if not, then the database needs to be updated regularly if being utilised in ecological studies. The temporal stability of *E. coli* in different host groups is well documented. In a recent study, individual cattle within a cattle herd were sampled at random on several occasions. The residents *E. coli* represented only 8.3% of 240 isolates tested from the herd (147). These findings suggested that the *E. coli* obtained from a single host at a given time might not be representative of *E. coli* populations in the faeces of the same host over time. It is postulated that the lack of temporal stability could also be due to a small number of isolates tested in these studies (265). However, a recent study demonstrates that a large ARA database of enterococci is stable for up to a year (304).

### **1.8.3 Geographical stability**

The indicator bacterium should exhibit geographical stability and therefore a database developed from one geographical area is valid for another geographical area. Geographical variation can limit the universal application of a database. Little is known on the geography of faecal indicator bacteria. Miller and Hartl (201) tested *E. coli* strains from farm animals and humans and reported that strains are clonal in nature and not geographically specific. Another recent study tested 568 *E. coli* from Idaho and at three locations in Georgia for four host groups, reporting that geographical variation exist among these host groups (125).

### **1.8.4 Representativeness**

Database representativeness is one of the most important factors in database dependent MST studies. This factor (i.e. how many isolates required to develop a representative database) has not been addressed in any studies. However, it has to be noted that, cost and time can limit this factor. Development of a large genotypic reference database could be quite costly depending on the typing method used with regards to phenotypic database. The size of the database also depends on the discriminatory ability of the typing methods used. For instance, a large database may be required to capture the genetic variability, if highly discriminatory PFGE is used. Under sampling of faecal bacteria can compromise representativeness of a database leading to its inability to capture the temporal or geographical variability as well as high diversity of faecal indicator bacteria (299, 304). A recent study has shown that rarefaction analysis of *E. coli* rep-PCR database comprised of 1,535 isolates from 13 host groups was not close to saturation (153), which demonstrated the high

diversity of *E. coli*. It has been suggested that stringent sampling protocols may be required to capture the genetic diversity that exists in *E. coli* (147).

#### **1.8.5 Primary versus secondary habitat**

Gastrointestinal (GI) tracts of host groups are considered as primary habitat for faecal indicator bacteria while environments are secondary habitat. One of the hypotheses in MST is that the clonal composition of the isolates from water (i.e. secondary habitat) represents the clonal composition of the isolates in the host groups (i.e. primary habitats) responsible for faecal inputs to the environment. However, several studies have shown that distinct differences exist among the primary versus secondary habitats of *E. coli*. Whittam (301) tested 113 *E. coli* electrophoretic types (by multilocus enzyme electrophoresis or MLEE) from bird faeces (primary habitat) and the litter (secondary habitat) on which they had defecated. Only 10% of the isolates were found in both the primary and secondary habitat. Another study using multi locus electrophoretic enzyme of *E. coli* from two septic tanks and their associated residents showed that *E. coli* strains from only one septic tank was similar to those of the residents. In contrast strains recovered from the septic tank of the second household were genetically distinct from strains recovered from its associated residents. Based on the differences between the growth rate and temperature response of these strains they concluded that changes in the primary and secondary habitat of the strains could limit efforts to identify the sources of faecal pollution in the environment (110). Topp *et al.* (274) observed that *E. coli* isolates from swine manure slurry (secondary habitat) were different from soil inoculated with the same slurry (tertiary habitat), although many types were shared between the two habitats. The shift of faecal indicator in the primary versus secondary habitat also may be due to under sampling and require further evaluation.

#### **1.9 Concluding review remarks**

Throughout this review of the literature, it was established that certain indicator bacteria such as enterococci and *E. coli* have been used more frequently than others, but that none of these indicators are regarded as universal or posses all criteria of an ideal faecal indicator bacteria. Similarly, while some MST methods have been used more frequently than others, there is no universal method available to address all required criteria of a suitable typing method.

It was also been found that the performance of the majority of database-dependent methods were either limited by their size, representativeness and discriminatory power, or that their suitability as a MST method has not been fully evaluated in ecological studies and requires further evaluation.

#### **1.10 Thesis direction and structure**

The objectives of this thesis (section 1.2) focus on an evaluation of the ecological usefulness of a biochemical fingerprinting method in microbial source tracking. A catchment based study was designed, utilizing a

catchment known to have poor surface water quality that could be derived from either / both failing septic systems or a variety of domesticated (farm and pet) or wild animals. In a catchment based approach a comparison is made between components of a catchment (sub-catchments or reaches) that exhibit a variety of differing land-use. The following chapter (Chapter 2) explores whether the biochemical fingerprinting method can be used to detect failing septic systems in the selected catchment. In this catchment there has been much argument as to whether the high incidence of on-site septic system failure on properties contributes to low surface water quality. In Chapter 3 the ecological utility of the technique is explored further by developing a host - species specific database. Essentially Chapter 3 investigates whether the method is sufficiently sensitive to discriminate amongst different animal species, and explores how large database is required. How representative such a developed database would then be in an adjacent and similar catchment is then evaluated in Chapter 4, where the developed database is compared to a similar localised database. The final component of this thesis (Chapter 5) seeks to develop a sub-database of *E. coli* strains from animal host groups that are carrying one or more virulence genes and to compare with those found in surface waters, in order to identify the potential sources of such clinically significant strains.

## **CHAPTER 2**

### **Evidence of septic system failure: a catchment based study**

#### **2.1 The ecological context of this thesis**

Septic systems are designed to accept domestic wastewater and prevent biological and nutrient contaminants from entering surface and ground waters. A septic system consists of a tank that provides preliminary treatment of domestic household wastes, allowing sedimentation of solids and flotation of fats and greases, and a soil absorption field where final treatment includes biological stabilization and pathogen removal (98). Such systems are common in non-sewered urban and rural residential areas (98). For instance, in the United States more than 25% of people rely on septic systems alone (241, 246) and for Australia this figure is around 12% (97). Septic systems may fail and the failure rate can be considerably high (i.e. more than 40% in Australia) (146, 247). However, the rate may vary in different communities, and failure rates of around 55% and 82% for two communities in South Australia (SA) have been reported (96).

The poor performance of septic systems and the potential for environmental damage have been addressed in Australia (97, 219). For instance, bacteriological monitoring for Coffs Harbour City Council, NSW waterways reported a 10-fold increase in faecal coliform for a residential catchment serviced by septic systems when compared with another catchment serviced by centralized STP. Beard and co-workers (24) observed high levels of faecal coliform in catchments with variable landuse. However, the highest level of faecal indicator bacteria was found in two catchments with high density of septic systems. Another study at Benalla, Vic, Australia reported that contamination of ground waters in areas where the septic systems density exceeded more than 15/km<sup>2</sup> (140).

From the public health point of view, there is a concern regarding the impact of such failed septic systems on both surface (24) and ground waters quality (138, 144). In the United States, several studies have reported the potential impacts of failing systems to ground waters (15, 106, 238, 246, 306). The literature, however, has few detailed field evaluation (19, 52, 99, 235, 290). In Australia, only a few studies investigated the impacts of septic systems on ground water quality (99, 138, 140, 300). It has to be noted that, none of these studies have provided direct evidence of septic system failure on surface water quality degradation.

##### **2.1.1 Failing septic systems**

A failing septic system is considered one that discharges nutrients and pathogens at concentrations exceeding standard water quality guidelines (185). For instance, Australian standard 1547 (18) for disposal systems for effluent from domestic premises has recommended that after treatment of wastewater, the biological oxygen

demand, suspended solids and faecal coliforms should not exceed 20 mg/L, 30 mg/L and 10 organisms/ 100 ml respectively, and that any system whose discharges exceeding those criteria is considered to be failing.

The failure of septic tanks generally means a failure of the absorption field, which consists of a distribution box where the wastewater is collected and distributed equally to a network of perforated pipes covered with geo-textile fabric and/or loamy soil (185). Absorption fields mainly rely on the surrounding soil to treat wastewater, where microorganisms digest the organic matter and eventually form a biological mat, leaving solids and nutrients in the wastewater (185, 202). The removal of biological constituents occurs in the mat (200), which slows the water movement through the soil and helps to keep the area below the mat from becoming saturated when all of its pores are filled with water. The most frequent cause of septic system failure is clogging of the absorption field which is mainly caused by the organic matter of the effluent (132, 170). Neglecting to pump the tank may result in increased levels of solids going into the absorption field (133).

In the soil anaerobic bacteria react with the sulphur found in the wastewater and convert it to sulphides. These sulphides again react with the metals in the soil and precipitate as black substances. The anaerobic bacteria also produce polysaccharide slimes and gums which hinder and eventually stop the natural action of the absorption field by clogging the channels of flow and therefore preventing aerobic bacterial activity (308). This results in slow absorption of wastewater that can lead to blockage and eventually failure of the absorption field. In terms of system failure, 75% of all system failures have been attributed to hydraulic overloading (145). This failure can also be caused by other factors, such as:

- the absorption area being too small or not complying with standard,
- unsuitable soil,
- failure to pump or improper feeding of the system,
- undersized or improperly designed systems (145),
- using more water than the soil can absorb,
- physical damages to pipeline,
- compact soil in the absorption field and
- lack of maintenance (108, 260).



### 2.1.2 Impacts of failing septic systems

#### **Human health**

Human can be exposed to septic effluents by direct contact with effluents that are overflowing from the tank or indirect exposure such as contamination of ground water that are used for drinking water. Exposure to septic effluents may pose a serious health risk as it may contain pathogenic microorganisms such as viruses, bacteria, protozoa and helminthes (60, 95, 200). For instance, an outbreak of hepatitis A was attributed to stormwater contaminated by wastewater (289). Transmission of hepatitis E, which is the most common form of acute viral hepatitis, occurs primarily via water contaminated with faeces. It has been reported that in the United States, 14% of all waterborne disease caused by ingestion of enteric bacteria, which may be partly originates from septic effluents (57).

Pathogenic *E. coli* strains such as enteropathogenic *E. coli* (EPEC), enterotoxigenic *E. coli* (ETEC), and enterohemorrhagic *E. coli* (EHEC) are responsible for severe diarrhoeal disease and can be transmitted to human via contaminated wastewater (51, 180). In 1975, more than 2,000 people in Oregon, USA developed gastrointestinal disorder caused by EPEC *E. coli* (239). *E. coli* O157:H7 outbreak also has been reported in recreational waters (49, 163). Waterborne outbreaks of shigellosis have been reported from recreational waters contaminated with wastewater (81, 277). Weissman (296) reported an outbreak of shigellosis in which more than 1,200 people were infected. Failing on-site wastewater treatment systems (OWTSs) were sourced as primary contributor. Other types of bacteria such as *Vibrio*, *Mycobacterium*, *Clostridium*, *Leptospira*, and *Yersinia* species have been isolated from untreated wastewater (200).

An outbreak of Norwalk related virus has been reported and OWTSs have been identified as the probable cause of contamination (270). The reoviruses and adenoviruses, known to cause respiratory illness, gastroenteritis and eye infections and have been isolated from wastewater (200). Protozoan such as *Cryptosporidium parvum*, *Cyclopora* and *Giardia lamblia*, found in wastewater, are of important concern in terms of their disease producing capability in human (60). Wallis and co-workers (292) have reported an outbreak of waterborne giardiasis in Temagami, Ontario and the source of the outbreak was traced to municipal wastewater systems leaking to surface water.

#### **Ground water quality**

Effluents from failed septic systems may deteriorate ground water quality (98). In addition, ground water could be contaminated biologically by percolation from sources such as surface spreading of treated and untreated wastewater and land spreading of sludge (288). Tuthill *et al.* (278) reported that improperly constructed septic system may cause contamination of ground water with high levels of coliform and nitrates. United States EPA has identified the septic tanks as the third most common source of groundwater contamination. It has been reported that bacteria have been found 18.6 km downstream of the source of

contamination, which were thought to be derived from defective septic systems (83, 192). The long distance transport of bacteria and viruses in groundwater has been reported in several other studies as well (65, 120). Vaughn *et al.* (282) reported that human enteric viruses were detected 60 m away from septic systems. Yates and Yates (307) reported that virus transport in ground water could be quite rapid, at 400 ft within 100 days. Another study at Venus Bay, Vic, Australia (138), has reported that the shallow aquifer was contaminated with significant levels of faecal bacteria up to 500 m distance from the cluster of septic systems. Finally, Bechdol *et al.* (25) reported the potential groundwater contamination by viruses from septic system discharges and predicted that wells were at risk when septic systems were located 30 m up gradient.

### ***Recreational water quality***

Bacterial contamination has been reported for impaired recreational water quality in the United States (281). Natural Resources Defence Council (210) reported that approximately 600 to 1,300 beach closures from 1992 to 1997 due to degradation of bathing water quality caused by bacterial contamination. U.S EPA has reported that septic systems and storm water drainage are potential sources of such contamination. High levels of faecal bacteria are associated with increased risk of diseases for recreational waters (40). The sewer overflows are also responsible for contributing *Giardia* and *Cryptosporidium* in drinking and recreational waters (91, 168). Swimmers are at higher risk of gastrointestinal and respiratory illness and eye, ear and skin infections at beaches known to be polluted (87, 115). A recent survey of water quality at swimming beaches around Dodges Ferry, Tasmania, Australia reported higher faecal indicator bacteria violating acceptable level (237). However, the source of the microbiological contamination was unclear. Storm water run off and failing septic systems considered contributing sources. Another survey reported that in late 1999, a 1000 km long bloom of toxic blue-green algae occurred on the Murray-darling River in Eastern Australia. In this case, the specific source could not be identified and non-point discharges were reported as major contributing factors (118).

Failed septic systems may release nutrients and potential pathogenic microorganisms to the surface and/or ground waters, but no study to date has provided direct evidence of septic system failure by tracing the faecal indicator bacteria found in surface waters back to the septic systems. Whilst this study was undertaken to evaluate the usefulness of the biochemical fingerprinting method to identify human faecal contamination in two sub-catchments entirely serviced by septic systems and to provide evidence of septic system failure in these catchments.

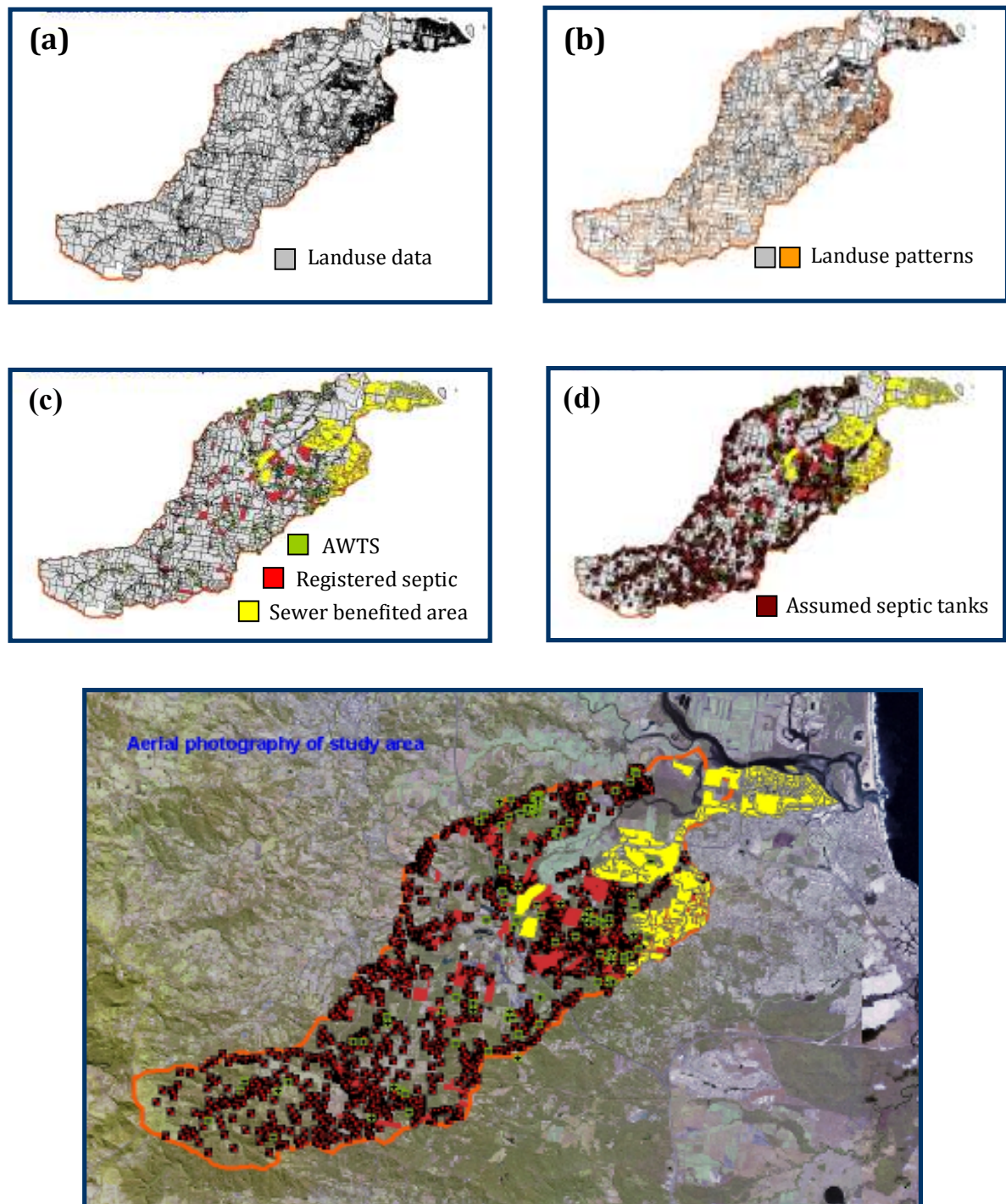
## **2.2 Materials and methods**

### **2.2.1 Study area**

The Eudlo Catchment is located within the Maroochy Shire in Southeast of Qld, Australia. The total area of the catchment is approximately 7,980 ha and mostly comprises rural areas, including Eudlo Township. This area is developing rapidly and the population is approximately 6,000. The creek is approximately 8 km in length and has been reported by the Environmental Protection Agency (EPA) and Waterwatch (a community-based water quality monitoring group) to be contaminated with faecal bacteria and nitrates that do not comply with standard water quality guidelines (12). The source(s) of these high levels of bacteria have not been identified. However, the EPA has suggested that possible sources include a large number of conventional septic systems, farm/domestic animals and pets. In addition, septic systems in this area are not being monitored by local council and have the potential to fail and contaminate the Eudlo Creek. Only 10% of the catchment is serviced by centralized sewerage treatment plant (STP), leaving a large area serviced by various on-site wastewater treatment systems (OWTSs) such as conventional septic systems and aerobic wastewater treatment systems (AWTS) and holding tanks.

### **2.2.2 GIS identification of septic systems**

The total number of septic systems in Maroochy Shire has been estimated to be around 16,000 (146). The number of 'registered' septic systems throughout the Shire is 2,435 of which, the Eudlo Catchment accounted for 252, but the number is undoubtedly much higher than this. For this reason, an attempt was made to identify the unregistered septic systems in the catchment by using Geographical Information System (GIS) datasets (provided by the Maroochy Shire Council). The land use dataset contained spatial information about the different categories of lands and classifies them into categories such as single detached house, recreational areas, retail, community, animal farms, agricultural land and vacant lands. The registered septic systems dataset, AWTS datasets, holding tanks datasets as well as sewer-benefited area dataset were overlaid on the land use dataset. It was hypothesized that every parcel of lands (except vacant and an agriculture lot) must have a septic system if it is not connected to sewer or contains either AWTS or holding tanks. Those land parcels were highlighted and assumed to have a septic system. In all, 1,534 land parcels were identified by this process as shown in Figure 2.1.



**Figure 2.1** Geographical Information System (GIS) identification of unregistered septic systems in Eudlo Catchment. (a): Land use dataset, (b): Land use patterns, (c): Sewer benefited area and different types of on-site waste water treatment systems, (d): Assumed septic systems and (e): Aerial photography of the catchment.

### **2.2.3 Performance of surveyed septic systems**

Using GIS, 1,534 septic systems were identified in the Eudlo Catchment. However, it was not feasible to survey a large number of systems due to access restriction and time constraints. Instead, Eudlo Township, which is entirely serviced by septic systems, was chosen for performance evaluation. An introductory letter stating the purpose of the survey was mailed to 90 properties in Eudlo Township area and a total of 48 participating letters were received over a two-week period. To assess the performance of the septic systems an assessment criterion comprising visual inspection and sludge test was developed. The visual inspection and face-to-face survey with occupants include the following investigation.

- Age of the septic systems.
- Capacity of tank.
- Time since desludged.
- Problems with trenches (soggy or not).
- Effluent breaching.
- Undersized systems.
- Odour.
- Distance of trenches from creek.
- Distance of trenches from bore.

#### ***Sludge test***

If a sludge layer exceeded more than one third of the tank capacity, then the tank was in need of pump out, otherwise the solids may reach the absorption field leading to clogging of the absorption field and its eventually failure. Nonetheless, it was not possible to perform the sludge test on all surveyed tanks because some were found to be sealed or awkwardly located.

### **2.2.4 Classification of defective septic systems**

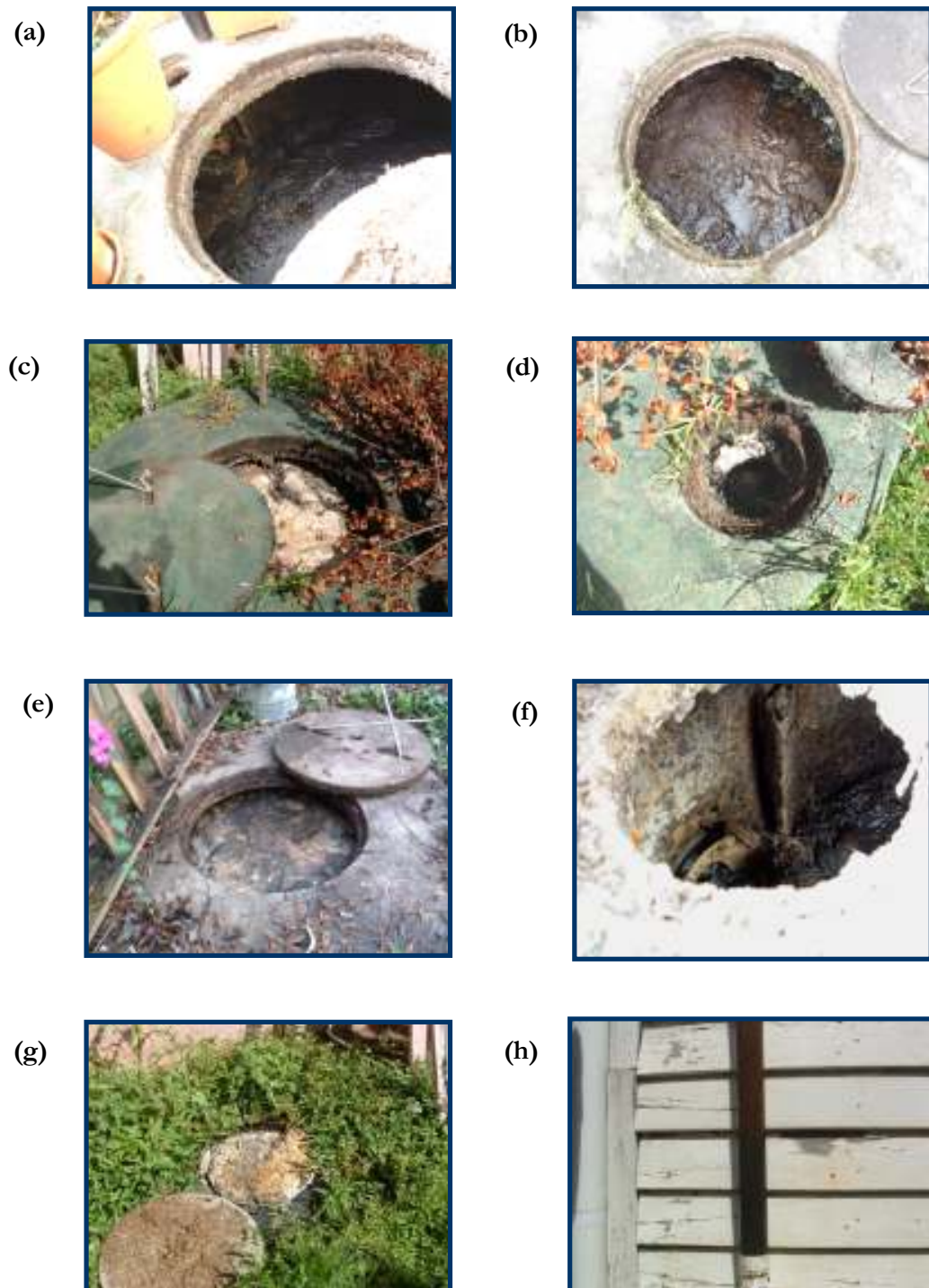
The surveyed septic systems were classified into 5 categories including

- satisfactory,
- technical faults,
- minor failure,
- moderate failure, and
- major failure

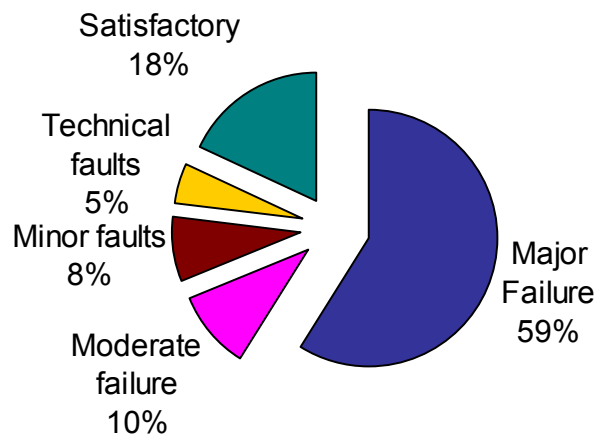
on the basis of the sludge build up in the tank, effluents breaching to the surface (soggy trenches), odour and other minor technical and structural observations. In this study, a major failure was designated when

effluents from the septic tanks breached the surface or solids were carried over to the absorption field. A few of the surveyed septic tanks area are shown in Figure 2.2.

Of the 48 septic systems surveyed 32 (67%) tanks needed cleaning out during the survey (Figure 2.2 a, b, c, d, e and g) and 23 (72%) of these systems had soggy absorption fields. Four (8%) tanks had structural problems such as broken baffles or lids (Figure 2.2 f). Two (4%) systems had technical faults (i.e. the absorption field being located near water bore and the tanks were installed below the flood level). Three (6%) tanks had insufficient capacity for the household wastes and only seven (15%) systems were found well-maintained. Eventually, nine septic systems were not included because the properties were vacant during the survey and/or they were located in areas not accessible for sampling leaving 39 septic tanks, which were available for sampling. Figure 2.3 shows the classification of defective septic systems in the Eudlo Township.



**Figure 2.2** Photographs of few surveyed septic systems. (a) - (e): needed cleaning out during the survey, (f): broken baffle, (g) effluents overflowing from the tank, (h) Unacceptable sludge level (sludge test).

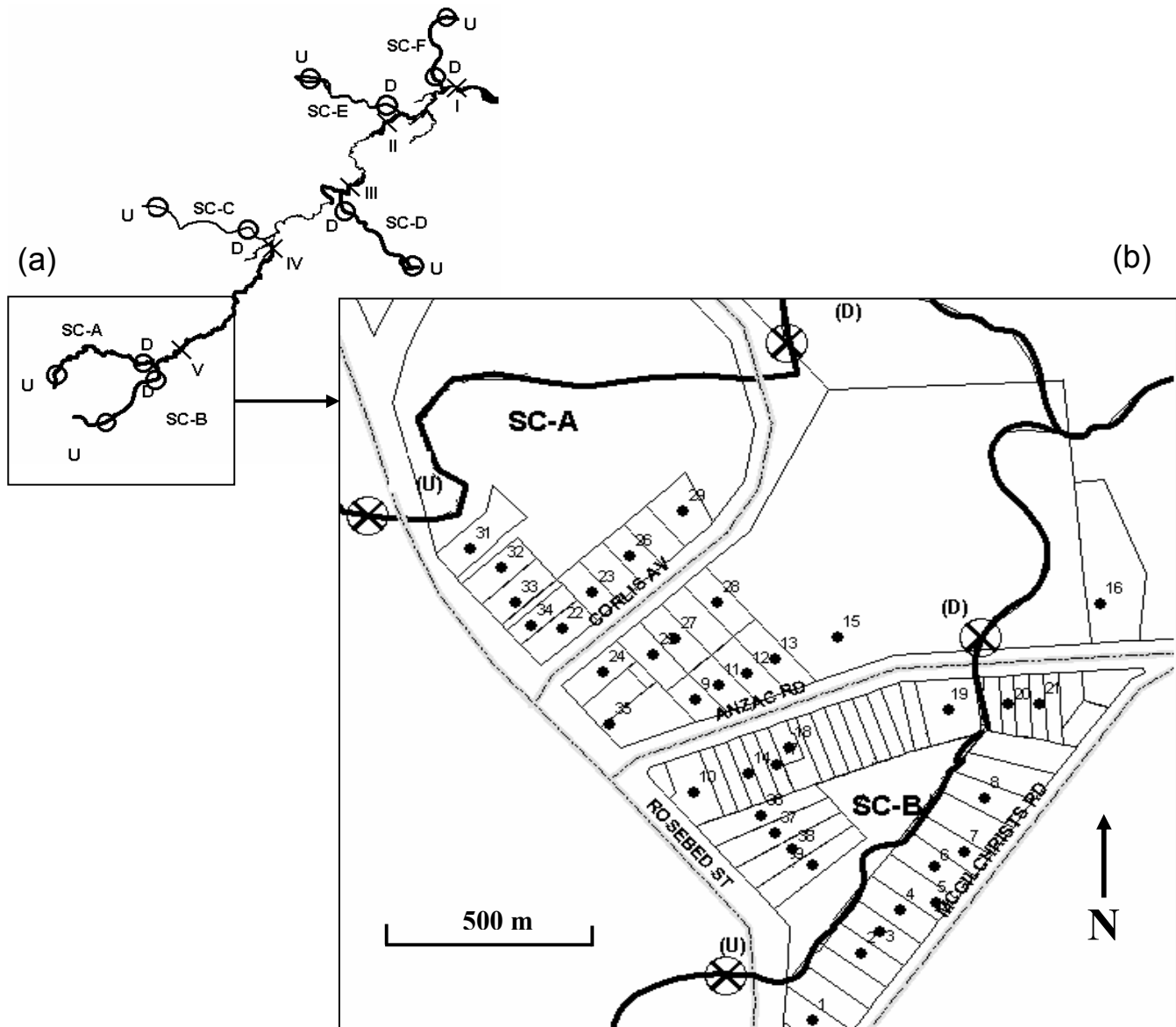


**Figure 2.3** Percentage distribution of performance of the surveyed septic tanks (n=48) in Eudlo Township based on the visual inspection and sludge level test.

### 2.2.5 Eudlo Creek mainstream sampling sites

A catchment-based approach was used in this study, in which sampling sites were chosen from both affected and non-affected areas within the catchment. As mentioned earlier, the Eudlo Catchment was selected based on the prevalence of septic systems (approximately 1,534) and because most of the areas (i.e. >85%) of the catchment were not serviced by centralized STPs. Sampling sites were chosen in Eudlo Creek mainstream which has continuous water flow throughout the year. Five sampling sites (i.e. I-V) were carefully chosen depending on the landuse settings. In addition, six sub-catchments (SC-A to SC-F) within the Eudlo Catchment were also chosen as preliminary potential study area. One-off samples were collected from five sites (i.e. I-V) in the mainstream during low tide as well as from an upstream (U) and downstream (D) site of these six SCs (Figure 2.4 a). These SCs reflected a variety of land-use activities designed to capture the variability and potential sources of faecal contamination. Two of the SCs (SC-A and SC-B) were classified as urban with a high density of septic systems and animal farms in close proximity to natural waterways (Figure 2.4 b). The remaining four SCs were largely rural land-use and peri-urban activities with reduced densities of septic systems.





**Figure 2.4** (a): Sampling sites (I-V) on Eudlo Creek mainstream and selected sub-catchments (SC-A to SC-F) with an upstream (U) and downstream (D) sampling sites (○), (b): Study area (Eudlo Township), sub-catchment A and B (SC-A and SC-B) showing the location of the upstream (U) and downstream (D) sampling sites (⊗) and the location of septic systems (●).

### 2.2.6 Preliminary bacteriological investigation

Using aseptic technique, water samples from all sites (i.e. I-V) were collected in 500 ml sterile screw top bottles from 30 cm below the water surface in the middle of the creek. Samples were kept on ice during transportation to the laboratory and tested for the presence of enterococci and *E. coli* within 6 h after collection. The membrane filtration (MF) method was used to process all water samples, as this method is highly reproducible and can be used to test a large volume of water samples within a short period of time (11). Different dilutions of water samples were filtered through a 0.45 µm pore size membrane (Millipore, USA) with the aid of a vacuum pump - as a result the bacteria remained on the filter paper. The filter paper was then placed on m-enterococcus agar plates (Difco, UK) and chromogenic *E. coli*/coliform (Oxoid, UK) and the plates were incubated at 37°C ± 0.5°C for 48 h (for enterococci) and 24 h (for *E. coli*). This chromogenic medium allows specific detection of *E. coli* through substrate cleavage by the enzyme glucuronidase and formation of purple colonies, which are different from other faecal coliforms (rose/pink colonies). After incubation, the plates that contained colonies ranging 30 to 300 colony-forming units (CFU) were enumerated with the aid of a colony counter and expressed the number in 100 ml. All samples were tested in triplicate.

### 2.2.7 Sampling sites in Eudlo Township

Based on the preliminary data on the number of enterococci and *E. coli* obtained from these six SCs, two of these SC (SC-A and SC-B) that yielded higher number of faecal indicator bacteria than others, were selected for further study (Figure 2.4 b). Both SCs initially drain pristine areas but then flow through the Eudlo Township. Of the 39 septic tanks sampled 25 (64%) were located within 60 to 70 m distance of the creek of SC-B (Figure 2.4 b). From these two selected SCs, 30 water samples were collected on a two-week interval basis between July and December 2003. Samples were collected from the upstream SC-A (U) and downstream SC-A (D) of SC-A and from upstream SC-B (U) and downstream SC-B (D) of SC-B on seven to eight occasions and again tested for the number of faecal indicator bacteria (Table 2.1). An additional site, located 5 km upstream of the study area, was also selected and considered as “control site” (not shown in Figure 2.4). The control site is characterized by a low density of septic systems and receives water mainly from pristine areas, not easily accessible to human and therefore containing low levels of faecal indicator bacteria. Altogether, 7 samples were collected from the control site for enumeration of faecal indicator bacteria throughout the study. Of these, 21 water samples were further tested (from upstream and downstream of both SC) for biochemical fingerprinting of faecal indicator bacteria (Table 2.1). Water samples were collected and processed in the same manner described earlier (see 2.4.6 for details).

**Table 2.1** Number of septic systems and water samples tested for enterococci (ENT) and *Escherichia coli* enumeration and biochemical fingerprinting from sub-catchment (SC) A and B. U: upstream; D: downstream; \* Based on visual inspection 2 septic systems in both sub-catchments were found to be well-maintained.

Sub-catchment	No. (code) of septic systems sampled in sub-catchments	Creek water sampling sites in sub-catchments	No. of samples tested for			
			Enumeration		Fingerprinting	
			ENT	<i>E. coli</i>	ENT	<i>E. coli</i>
SC-A	14 (SEP22-35)*	(U)	7	7	3	3
		(D)	7	7	3	3
SC-B	25 (SEP1-21, 36-39)*	(U)	8	7	3	2
		(D)	8	7	12	4
Control site	-	-	7	7	-	-
<b>Total</b>	<b>39</b>	<b>-</b>	<b>37</b>	<b>35</b>	<b>21</b>	<b>12</b>

### 2.2.8 Septic systems sampling

Three samples (where possible) were collected from 39 septic systems (35 defective and 4 well-maintained septic systems) at different time intervals between July and December 2003 in conjunction with water samples from the adjacent creeks (Table 2.1). Samples were collected from the outlet of the septic tanks with a transport sterile swab (Interpath, Australia) and transported to the laboratory on ice, kept at 4°C and cultivated within 24 h. Samples were streaked on m-enterococcus and chromogenic *E. coli*/coliform agar plates and were incubated as 37°C ± 0.5°C for 48 h (for enterococci) and 24 h (for *E. coli*).

### 2.2.9 Identification of indicator bacteria

All purple colonies from chromogenic agar plates were streaked on McConkey agar (Oxoid, USA) for purity and tested for indole production and citrate cleavage. Indole positive and citrate negative isolates were identified as *E. coli*. All enterococci isolates were also tested for esculin hydrolysis on bile esculin agar (Oxoid) to confirm their identification (9).

### 2.2.10 Biochemical fingerprinting

Biochemical fingerprinting with the PhPlate system (PhPlate AB, Stockholm, Sweden) uses quantitative measurements of the kinetics of several biochemical reactions of bacteria in micro-titer plates with dehydrated substrates (161, 171, 204). The typing reagents used in this method are specifically chosen for different groups of bacteria (i.e. enterococci or *E. coli*) to give an optimal discriminatory power and reproducibility (204). For each bacterial isolate, it yields a biochemical fingerprint (BPT) made of several quantitative data, which are used with the PhPlate software to calculate the level of similarity between the tested isolates. Prepared microtitre plates contain 8 sets of 11 different substrates and one inoculation well containing only buffer in each row for rapid typing of different bacterial species such as enterococci (287), *E. coli* (176) and allow testing of 8 isolates per plate or may contain 4 sets of 24 or 2 sets of 48 substrate per plate depending on the bacterial species and/or the purpose of the study.

In this study we used two types of plates specifically developed for typing of enterococci strains (PhP-RF plates) and *E. coli* (PhP-RE plates). Reagents used in the PhP-RF plates include L-Arabinose, lactose, melibiose, melezitose, raffinose, inositol, sorbitol, mannitol, galactolactone, amygdalin, and gluconate. Reagents used in the PhP-RE plates include cellobiose, lactose, rhamnose, deoxyribose, sucrose, sorbose, tagatose, D-arabitol, melbionate, galactolactone and ornithine.

#### **Preparation of suspending medium**

A stock solution containing 1.1% (w/v) of bromothymol blue (BTB) and 10% (v/v) of 1 M NaOH in distilled water was prepared and kept at 4°C. The suspending medium for enterococci contains 0.2% (w/v) proteose peptone, 0.05% (w/v) yeast extract, and 0.5% (w/v) NaCl, and 0.011% (w/v) BTB and for *E. coli* 0.1% (w/v) proteose peptone, and 0.011% (w/v) BTB. The pH was adjusted to 7.8-8.0 with diluted Hcl or NaOH.

#### **Fingerprinting procedure**

From each septic tank sample, up to 64 enterococci and 32 *E. coli* and from 21 water samples up to 40 enterococci and 32 *E. coli* colonies (where possible in all above cases) were typed with the PhPlate system. Three hundred and fifty micro litres of appropriate growth medium was dispensed into the first well of each row (not containing any dehydrated reagents) and 150 µl of the same growth medium was also dispensed to the rest of the wells by the aid of a multi-channel pipette. Each bacterial colony to be tested (both enterococci and *E. coli*) was picked from the agar plates with sterile tooth pick and suspended into the first well of each row (contained 350 µl of growth medium). The plates were left at room temperature for 1 h. The bacterial suspension in the first well of each row was then homogenized using a multi-channel pipette. Twenty-five micro litres of suspension (aliquots) were transferred into each of the other 11 wells (containing 150 µl growth medium). Plates were then incubated at 37°C and the absorbance ( $A_{620}$ ) of each reaction was measured at 16, 40 and 64 h for enterococci and at 7, 24 and 48 h for *E. coli* by using a micro plate reader

(Labsystems multiskan, Helsinki, Finland) connected to a personal computer. The values were automatically transferred to the computer, multiplied by 10 and stored in the computer as integer values, yielding a score ranging from 0 to 30 for each test. After the last reading, the mean value from all three readings of each well was calculated for each isolate (biochemical fingerprint) ranging from 0 (yellow, acidic reaction) to 30 (dark blue alkaline reaction). The biochemical fingerprints of all isolates were compared pair-wise, and the similarity between each pair of strains was calculated as the correlation coefficient ( $r$ ) and clustered according to the un-weighted pair group method (UPGMA) with arithmetic averages (261).

An identity (ID) level (176) was established based on the reproducibility of the system after testing 20 isolates in duplicate. Isolates with similarity higher than the ID-level were regarded as identical and assigned to similar BPTs. BPTs with identical isolates were called common (C-BPT) and those with one isolate were called single (S-BPT) (178).

#### ***Phenotypic diversity and population similarity***

The phenotypic diversity among the isolates was measured with Simpson's index of diversity ( $D_i$ ) (17).  $D_i$  depends on isolates distribution into different BPTs. Diversity is high (maximum 1) for a population consisting of different BPTs and is low (minimum 0) if the population consists of few BPTs. The phenotypic similarity between different bacterial populations in two or more samples was calculated as population similarity ( $S_p$ ) coefficients and determined as  $(S_x + S_y)/2$ , where  $S_x$  is the similarity of population  $x$  in population  $y$  and  $S_y$  is the similarity of population  $y$  in population  $x$ . The  $S_p$ -coefficient calculates the proportion of isolates that are identical in two or more compared bacterial populations (173). For example, if two populations contain similar dominating BPTs, the  $S_p$ -value is high (maximum 1), but if they contain different BPTs, the  $S_p$ -value is low (minimum 0). Clustering of  $S_p$  coefficients was performed according to the UPGMA method to yield a dendrogram.

#### ***Data analysis***

All data handling, including optical readings, calculations of correlations and coefficients,  $D_i$ ,  $S_p$ -values as well as clustering and printing dendrograms were performed using the PhPlate software version 4001 (PhPlate AB, Stockholm, Sweden).

## 2.3 Results

### 2.3.1 Preliminary bacteriological assessment

Samples collected from the Eudlo Creek mainstream showed that the level of enterococci was high in downstream site (i.e. site I). *E. coli* also showed a similar pattern, however, the number of *E. coli* was much higher than that of enterococci for all sites (see appendix 1 for details). Samples collected from upstream and downstream within the six SCs showed the level of enterococci was higher in downstream samples of SC-A and SC-B compared to others. Similarly, the level of *E. coli* was higher in downstream samples for the same SCs. The level of both enterococci and *E. coli* in upstream of these two SCs was quite low compared to those found in downstream (see appendix 2 for details).

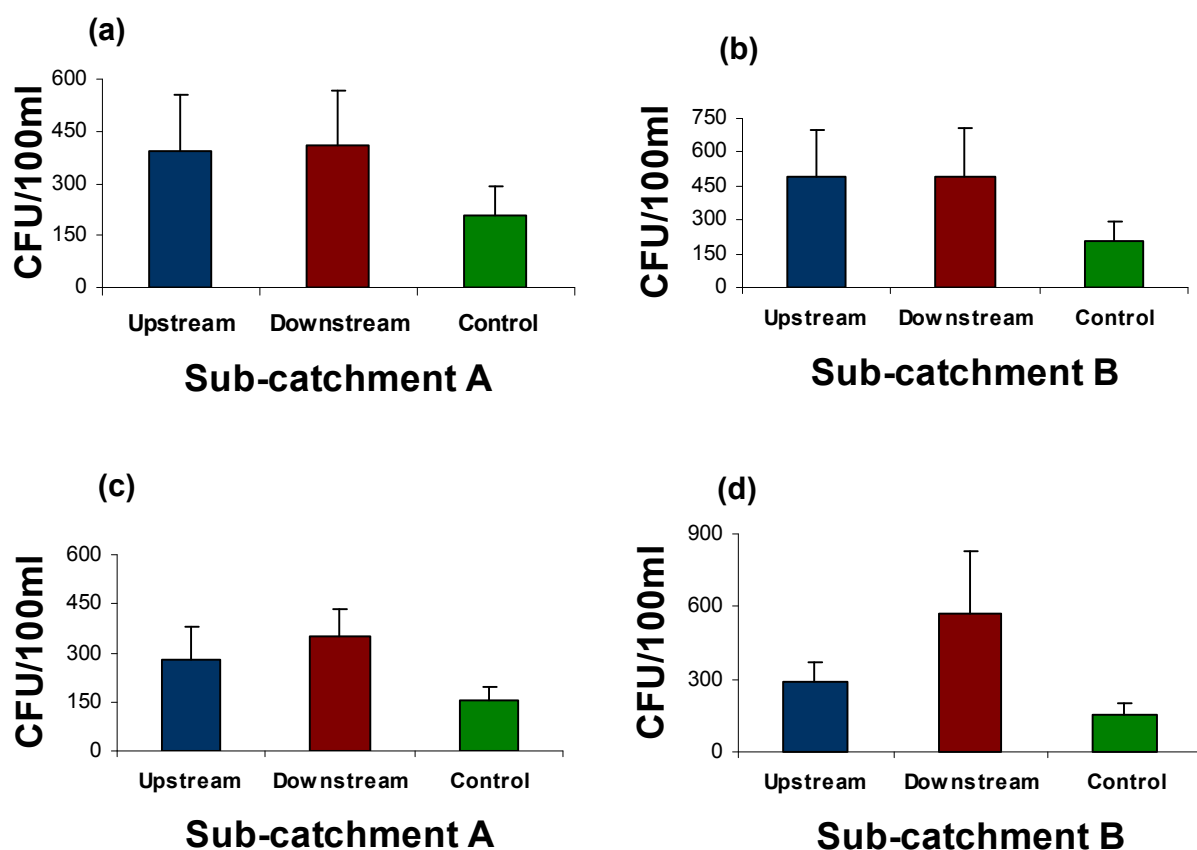
### 2.3.2 Comparison of bacterial populations in sub-catchments A and B

#### *Enterococci*

The samples collected from upstream ( $394 \pm 161$ ) and downstream ( $409 \pm 159$ ) in SC- A, showed that the level of enterococci in these sites did not significantly differ from each other (Figure 2.5 a) However, there were significant differences ( $p=0.001$  for upstream and  $p=0.001$  for downstream) between these sites and the control site ( $210 \pm 80$ ) (Figure 2.5 a). Similar results were found in SC-B. The upstream ( $492 \pm 203$ ) and downstream ( $495 \pm 216$ ) in SC did not differ significantly from each other. However, there were significant differences ( $p= 0.001$  for upstream and  $p= 0.001$  for downstream) between these sites and the control site (Figure 2.5 b).

#### *E. coli*

The number of *E. coli* in upstream ( $280 \pm 99$ ) and downstream ( $349 \pm 84$ ) sites in SC-A did not differ significantly from each other (Figure 2.5 c). However, there were again significant differences ( $p=0.001$  for upstream and  $p=0.001$  for downstream) between these sites and control site ( $152 \pm 46$ ) (Figure 2.5 c). There was however a significant difference ( $p=0.01$ ) between the number of *E. coli* in upstream ( $289 \pm 82$ ) and downstream ( $573 \pm 253$ ) sites in SC-B. The number of these bacteria in these sites also significantly differed ( $p=0.001$  for upstream and  $p=0.001$  for downstream) from those of the control (Figure 2.5 d).



**Figure 2.5** The mean and standard deviation of enterococci (a and b) and *Escherichia coli* (c and d) isolates at upstream and downstream locations in sub-catchments A and B relation to the control site.

(a) P-value 0.0010 (upstream vs control) and 0.0012 (downstream vs control). (b) P-value 0.0044 (upstream vs control) and 0.01 (downstream vs control). (c) P-value 0.0092 (upstream vs control) and 0.01 (downstream vs control). (d). P-value 0.0023 (upstream vs control), 0.001 (downstream vs control) and 0.0153 (upstream vs downstream).

### 2.3.3 BPTs of indicator bacteria in septic tanks

The presence of different BPTs of indicator bacteria corresponding to those found in water samples was investigated in samples collected from all septic tanks. This study further investigated whether these indicator bacteria can be traced back to any individual failing septic tank as well.

#### *Enterococci*

A total number of 1,072 enterococci isolates were typed from 35 septic tanks (i.e. 31 defective and 4 well-maintained septic tanks). Among enterococci isolates, up to 11 BPTs were found in each septic tank, yielding a total number of 194 BPTS in all septic tanks (Table 2.2). To identify different BPTs from all septic tanks, BPTs obtained from each septic tank were compared with others. In all, 110 BPTs were found in all septic tanks (Table 2.3). These BPTs were referred to as total-BPTs, of which 79 were unique (i.e. UQ-BPTs) to individual septic tanks and the remaining 31 BPTs were shared (i.e. SH-BPTs) between two or more septic tanks (Table 2.3). These total-BPTs were used to develop a human enterococci database (see chapter 3).

#### *E. coli*

Similar approach was used for *E. coli*. A total number of 621 *E. coli* isolates were typed from 33 septic tanks (i.e. 30 defective and 3 well-maintained septic tanks). Among these indicator bacteria, up to 12 BPTs were found in each septic tank, yielding a total number of 163 in all septic tanks (Table 2.2). When BPTs obtained from each septic tank were compared with each other, a total of 114 BPTs (total-BPTs) were found in all septic tanks (Table 2.3). Of these, 87 BPTs were UQ-BPTs and the remaining 27 BPTs were SH-BPTs between two or more septic tanks (Table 2.3). These total-BPTs were used to develop a human *E. coli* database (see chapter 3).

**Table: 2.2** Number of isolates tested and number of biochemical phenotypes (BPTs) found among enterococci (ENT) and *E. coli* isolates in septic tanks. <sup>WM</sup>: Well-maintained.

Septic tank ID	No. of occasion tested (no. of isolates tested per occasion)		No of BPTs found	
	ENT	<i>E. coli</i>	ENT	<i>E. coli</i>
SEP 1	2 (31, 23)	1 (6)	5	2
SEP 2	1 (15)	1 (23)	5	12
SEP 3	1 (31)	1 (10)	11	4
SEP 4	1 (31)	2 (13, 10)	2	7
SEP 5	1 (31)	2 (6, 24)	5	8
SEP 6	1 (39)	1 (7)	2	3
SEP 7	1 (23)	1 (4)	1	4
SEP 8	1 (39)	1 (23)	4	4
SEP 9	1(8)	1 (15)	2	4



SEP 10	2 (15, 15)	1 (31)	8	7
SEP 11 <sup>WM</sup>	2 (23, 7)	1 (53)	5	11
SEP 12	1 (24)	1 (16)	6	8
SEP 13	-	1 (24)	-	2
SEP 14	3 (31, 23, 23)	1 (4)	9	3
SEP 15	1 (31)	-	2	-
SEP 16	1 (7)	1 (29)	11	9
SEP 17 <sup>WM</sup>	1 (15)	-	2	-
SEP 18	2 (23, 23)	1 (16)	6	5
SEP 19	1 (78)	1 (58)	7	2
SEP 20	2 (31, 7)	1 (15)	9	4
SEP 21	1 (31)	1 (11)	9	2
SEP 22	2 (31, 15)	1 (24)	11	6
SEP 23	-	2 (15, 31)	-	11
SEP 24	1 (31)	1 (16)	3	6
SEP 25	1 (23)	-	7	-
SEP 26	2 (8, 23)	1 (3)	4	1
SEP 27	1 (23)	1 (15)	3	7
SEP 28	2 (23, 7)	-	7	-
SEP 29 <sup>WM</sup>	3 (7, 7, 15)	1 (23)	6	5
SEP 30	2 (15, 23)	-	10	-
SEP 31 <sup>WM</sup>	1 (15)	1 (7)	3	1
SEP 32	1 (31)	1 (8)	6	1
SEP 33	1 (7)	1 (7)	3	1
SEP 34	-	2 (2, 7)	-	3
SEP 35	1 (23)	1 (3)	6	3
SEP 36	1 (7)	-	1	-
SEP 37	2 (7, 23)	2 (6, 20)	9	3
SEP 38	1 (31)	1 (15, 15)	4	9
SEP 39	-	1 (6)	-	5
<b>n=39</b>	<b>1072</b>	<b>621</b>	<b>194</b>	<b>163</b>

### 2.3.4 BPTs of indicator bacteria in water samples

From 21 water samples tested, 9 did not yield *E. coli* at the dilution rate used. In all, 781 enterococci and 264 *E. coli* isolates were typed. Among enterococci isolates, up to 19 BPTs were found in each water sample yielding a total number of 108 BPTs in all water samples (Table 2.3). Among *E. coli* isolates, up to 18 BPTs were found from each water sample and yielding a total number of 93 BPTs in all water samples (Table 2.3).

**Table 2.3** Number of shared (SH) and unique (UQ) biochemical phenotypes (BPTs) of enterococci (ENT) and *Escherichia coli* found in all septic tanks. \*BPTs used to develop a human database (see chapter 3). \*\* BPTs used to identify individual failed septic tanks.

Sample	No. of isolates typed		No. of total-BPTs found*		No. of shared (SH) BPTs		No. of Unique (UQ) BPTs**	
	ENT	<i>E. coli</i>	ENT	<i>E. coli</i>	ENT	<i>E. coli</i>	ENT	<i>E. coli</i>
Septic tanks	1072	641	110	114	31	27	79	87
Water samples	781	264	108	93	NA	NA	NA	NA

### 2.3.5 Diversity of indicator bacteria in septic tanks and water samples

For enterococci, the mean numbers of BPTs ( $4 \pm 2.4$ ) per septic tank were significantly ( $p < 0.0001$ ) lower than those found in water samples ( $13.6 \pm 2.9$ ). Similar results were found with *E. coli* (Table 2.4). The mean  $D_i$  of enterococci and *E. coli* population from septic tanks ( $0.5 \pm 0.3$  and  $0.5 \pm 0.3$  respectively) was significantly ( $p = < 0.0001$  for both) lower when compared with populations of enterococci and *E. coli* in water samples ( $0.9 \pm 0.1$  and  $0.8 \pm 0.1$  respectively) (Table 2.4).

**Table 2.4** Comparison of phenotypic diversity (expressed as Simpson's index of diversity,  $D_i$ ) among enterococci (ENT) and *Escherichia coli* BPTs found in septic and water samples.

Sample	Mean no. of BPTs/sample		Mean $D_i$	
	ENT	<i>E. coli</i>	Ent	<i>E. coli</i>
Septic tanks	$(4 \pm 2.4)^a$	$(4 \pm 2.3)^a$	$(0.5 \pm 0.3)^a$	$(0.5 \pm 0.3)^a$
Creek water	$(13.6 \pm 2.9)^b$	$(12.9 \pm 2.1)^b$	$(0.9 \pm 0.1)^b$	$(0.8 \pm 0.1)^b$

$p = < 0.0001$  for all  $a$  vs. corresponding  $b$ .

### 2.3.6 Comparison of septic BPTs to water samples

#### *Enterococci*

When 194 BPTs from 35 septic systems were compared to water samples, 98 BPTs from 33 septic tanks (29 defective and 4 well-maintained) were found in different water samples (mainly from downstream of both SCs) on several occasions (Table 2.5). Of these, 81 SH-BPTs were found in more than one septic tank. However, 17 UQ-BPTs (specific to septic tanks) were only found in 12 defective septic tanks and were identical to downstream water samples (Table 2.5).

#### *E. coli*

When 163 BPTs from 33 septic tanks (30 defective and 3 well-maintained) were compared with the water samples, 53 BPTs from 26 septic tanks (24 defective and 2 well-maintained) were also found in different water samples. Of these, 36 BPTs were found in more than one septic tank and 17 UQ-BPTs were found in 13 defective and 1 well-maintained septic tank (i.e. SEP31). UQ-BPTs of both enterococci and *E. coli* from 4 defective septic tanks (i.e. SEP 2, 10, 12 and 22) were found in water samples (Table 2.5).

**Table 2.5** Identical biochemical phenotypes (BPTs) and unique BPTs of enterococci and *E. coli* found in septic tanks and water samples. <sup>WM</sup>: Well-maintained septic systems.

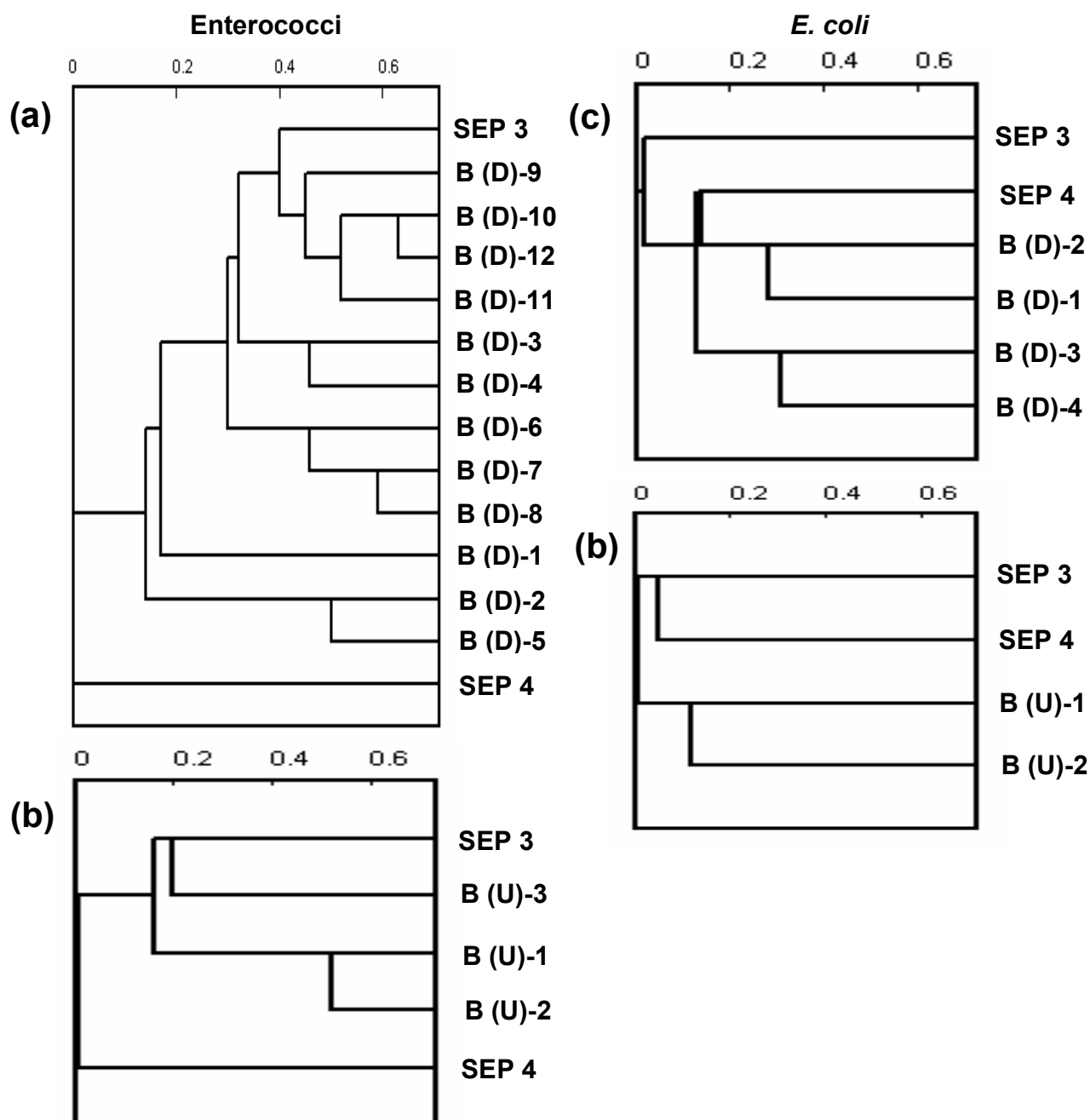
Septic tank code	Identical BPTs common to septic tanks and water samples (no. of unique BPTs)		No. of water samples containing identical septic BPTs	
	Enterococci	<i>E. coli</i>	Enterococci	<i>E. coli</i>
SEP 1	2	(1)	2	2
SEP 2	(3)	5 (1)	2	2
SEP 3	9 (2)	1	7	1
SEP 4	-	5 (1)	-	2
SEP 5	2	5 (1)	2	4
SEP 6	2	-	2	-
SEP 7	2	3 (2)	1	1
SEP 8	3 (1)	2	3	1
SEP 9	2	(1)	2	7
SEP 10	3 (1)	2 (1)	3	3
SEP 11 <sup>WM</sup>	1	-	1	-
SEP 12	2 (1)	2 (1)	2	1
SEP 13	-	(2)	-	1
SEP 14	6	(1)	6	1
SEP 15	1	-	1	-
SEP 17 <sup>WM</sup>	1	-	1	-
SEP 18	5	2	5	1
SEP 19	3 (2)	1	3	1
SEP 20	2	-	2	-
SEP 21	2	1	2	1

SEP 22	6 (1)	(1)	4	1
SEP 23	-	6	-	5
SEP 24	(1)	1	1	-
SEP 25	6 (1)	-	4	-
SEP 26	4 (1)	1	3	-
SEP 27	3	-	2	-
SEP 28	3 (2)	-	2	-
SEP 29 <sup>WM</sup>	4	1	3	-
SEP 30	4	-	3	-
SEP 31 <sup>WM</sup>	3	(1)	3	1
SEP 32	4 (1)	-	3	-
SEP 33	2	-	2	-
SEP 34	-	2 (1)	-	1
SEP 35	1	1	1	1
SEP 36	1	-	1	-
SEP 37	3	1	3	1
SEP 38	2	(2)	2	3
SEP 39	-	2	-	2
<b>n=38</b>	<b>98 (17)</b>	<b>53 (17)</b>	<b>-</b>	<b>-</b>

### 2.3.7 Population similarities between septic tanks and creek water samples

Enterococci and *E. coli* populations from each septic tank were also compared with corresponding populations from water samples collected from upstream and down stream of SC-A and SC-B. Different Sp-values were obtained for each comparison for both faecal indicator bacteria. For certain septic tanks, enterococci populations showed higher similarities (high Sp-values) with downstream water samples than *E. coli* while this was quite the reverse for other septic tanks. For instance, while a high similarity was found between enterococci populations from septic tank 3 (i.e. SEP3) and those of downstream water samples (Figure 2.6 a), there was no similarity (Sp-value of 0) between the *E. coli* populations of this septic tank and the same water samples (Figure 2.6 c). Similarly, whilst enterococci populations from septic tank 4 (i.e. SEP4) showed no similarity (Sp-value of 0) to downstream water samples (Figure 2.6 a), there was a low similarity between *E. coli* populations of this septic tank and water samples (Figure 2.6 c) However, both enterococci and *E. coli* populations from these two septic tanks showed lower Sp-values (if any) to upstream samples (Figures. 2.6 b, d).

## Sp-value



**Figure 2.6** Representative examples of UPGMA dendrograms of Sp-coefficients (a) enterococci isolates between septic tanks (SEP 3) and (SEP 4) and downstream [B (D)-1 to B (D)-12] and (b) upstream [B (U)-1 to B (U)-3] water samples of the sub-catchment B (c) *E. coli* between septic tanks (SEP 3 and SEP 4) and downstream [B (D)-1 to B(D)-4] and (d) upstream [B (U)-1 to B (U)-2] water samples of the sub-catchment B.

## 2.4 Discussion

A failing/defective septic system is considered to be one that allows the discharge of effluents with nutrients and pathogens. The failure of a septic system generally refers to a failure of the absorption field. Failure to pump effluents results in excess effluents building up in the tank, which eventually enters the absorption field. The organic matter present in the effluent can cause clogging of the soil in the absorption field (132, 170), which hinders the movement of effluents. The failure of a septic system can also be caused by other factors such as the absorption area being too small, unsuitable depth or type of soil, undersized or improperly designed septic tanks, a high water table and physical damages to pipeline and lack of maintenance (108). In this study, 32 septic systems needed cleaning out during the survey, of which 23 systems had soggy absorption fields (considered absorption field failure).

Samples were collected from the outlet of the septic tanks instead of the absorption field. This was done to provide a better understanding of the indicator bacteria present in septic tanks rather than absorption field, which may contain indicator bacteria from other potential sources (i.e. domestic animals). The presence and abundances of different types of enterococci and *E.coli* in both the septic tanks and water samples were also investigated to specifically address the failure of septic systems.

The study area contained 48 septic systems in an area of around 1.2 km<sup>2</sup>. Previous studies reported a high failure rate of septic system occurs in areas generally containing high densities of septic systems (146). This was consistent with the finding that 41 septic systems (85%) were classified defective when assessed by standard inspection guidelines adopted by the local government (i.e. Maroochy Shire Council), and of these, 23 systems showed signs of absorption field failure. However, the results showed a higher rate of septic system failure when enterococci and *E.coli* from septic tanks were compared with water samples. Identical BPTs of both indicator bacteria specific to these septic tanks were found in water samples. These results indicate that while an absorption field may not shows signs of failure, faecal indicator bacteria can still be released into the nearby creeks.

Furthermore, the level of both faecal indicator bacteria was significantly higher in downstream than upstream sites, although it was not consistent for both indicator bacteria or in both SCs. For instance, the level of *E.coli* was significantly higher in downstream than upstream of SC-B, where 25 septic systems are located within 60 to 70 m range of the creek.

Analysis of water samples showed that the diversity of both faecal indicator bacteria in surface water was significantly higher than in septic tanks. This more diverse population is probably due to the fact that surface water receives bacteria from diffuse sources such as animal farms or industrial processes via surface run-off. It is also possible that not all indicator bacteria introduced into septic systems through defecation and

household wastes survive, leaving only a few types in each septic tank. If the latter is true, then it can be concluded that certain types of indicator bacteria have a better ability to survive in septic tanks than others. Alternatively, it is possible that human faeces in each household contained only a few types of enterococci and/or *E. coli*. Nonetheless, the fact that these common types were found in the majority of septic tanks and in high numbers indicates that these specific fingerprints can be used as a sign of human faecal contamination in receiving waters.

For each indicator bacteria, identical BPTs were found in both septic tanks and water samples. However, it was more pronounced for enterococci. For instance, 98 BPTs from 33 septic tanks were found in water samples, whereas this figure for *E. coli* was 53 BPTs from 26 septic tanks. This could be partially due to the fact that enterococci strains have a better ability to survive in environment than *E. coli* (22). It is also possible that this was merely due to the smaller number of *E. coli* isolates tested from both septic tanks and water samples, and therefore a lesser chance of finding identical isolates in both samples.

Twenty-six septic tanks contained unique BPTs of either enterococci (12 septic tanks) or *E. coli* (14 septic systems) or both (4 septic tanks). These UQ-BPTs were identical to downstream water samples. Not surprisingly, these septic systems were classified as defective when assessed by standard inspection guidelines. Well-maintained septic tanks also contained UQ-BPTs, which were not identical to water samples (except one *E. coli* UQ-BPT from a well-maintained septic tank (i.e. SEP 31) which was identical to a BPT in water sample at one occasion), which suggest that well-maintained septic systems do not contribute faecal bacteria to surface waters. Therefore, UQ-BPTs from septic tanks (if any present) can be used as specific fingerprints to identify individual septic systems, which are contributing faecal bacteria to surface waters.

Comparison of populations of enterococci and *E. coli* found in septic tanks and corresponding creeks, showed a high similarity between individual septic systems and water samples. The Sp-value used in this study compares the proportion of identical BPTs in two or more samples, and therefore gives a better understanding of the overall similarity between compared populations. In this study the mean Sp-value for enterococci populations from all septic tanks and water samples was higher than *E. coli* (see appendix 3 for details). This could again be due to the fact that the number of *E. coli* isolates tested was smaller than enterococci and therefore less identical BPTs were obtained among these samples. Furthermore, the diversity of *E. coli* in water samples was quite high and therefore the proportion of identical strains in two compared samples was low. It should be noted however, that in some cases enterococci BPTs from certain septic tanks were found in water samples, while no identical *E. coli* BPTs from the same septic tank was found in water samples or vice versa. For instance, while 33 septic tanks showed identical enterococci strains in water samples, an additional 5 septic tank showed identical *E. coli* strains in water samples. Similar results were also found when UQ-BPTs for both faecal indicator bacteria were compared from septic systems with water

samples. These findings suggest that combinations of both enterococci and *E.coli* should be used to trace the source(s) of bacterial contamination in such investigations.

In conclusion, this study showed that the biochemical fingerprinting method (with the PhPlate system) can serve as a potential tool to trace the source of human faecal contamination in surface waters. However, in this study, it was not possible to quantify the percentage contribution of faecal contamination by humans or animals (if any) in this creek. The high diversity of indicator bacteria in water samples also suggested that other sources such as domestic and/or wild animals may also be contributing to the faecal load of the creek. That certain BPTs of both indicator bacteria were not traceable to any septic tanks supports this assumption. To address these issues an attempt was made to refine this method by including details from animal species residing in the catchment: the extent to which the method could also be used to distinguish between human and animal sources of faecal contamination could thus be evaluated.



## CHAPTER 3

### Development of a host species-specific database and its application for microbial source tracking

#### 3.1 Introduction

Microbial source tracking (MST) methods such as the biochemical fingerprinting method used in the last chapter have recently emerged as a tool to identify point sources (PS) and non-point sources (NPS) of faecal contamination in surface waters (116, 199, 249, 299). As shown by example in Chapter 2, these methods are based on the development of a database of faecal indicator bacteria from known host groups, on the basis of their genotypic and phenotypic traits. The same traits of faecal indicator from surface waters are then compared to those with the database to determine their likely source of origin. The advantage of such database dependent methods is the ability to identify NPS faecal contamination or at least the dominant sources in a given catchment. In addition, these methods can quantify the contaminating sources, which is vital for the development of total maximum daily load (TMDL) calculations. In contrast, database-independent methods cannot provide such information and instead typically provide presence/absence of pathogens/faecal indicators only (116).

However, the concern with such database dependent methods is that they may not adequately represent the bacterial assemblage. It has been suggested that database developed for ecological studies should consist of between 1,000 to 2,000 isolates per source (266). However, there are cost considerations for developing such a large database. Sampling protocol is another important factor that may also lead to a non-representative database. For instance, typing one or two isolates from a host may not represent the diverse populations found among indicator bacteria. In catchment based studies the efficacy of a database to identify the sources of contamination may also be affected by factors such as rainfall events in the studied catchment. During a wet season surface run-off may lead to increased levels of indicator bacteria in receiving waters because of improved transfer pathways. Alternatively a dilution effect may be observed. It is necessary, therefore, in a catchment based ecological study to evaluate to what degree a database can identify the sources during both a wet and a dry season and what effect seasonality has on total maximum daily loads.

The aim of this aspect of the study was to develop a large host-species specific metabolic fingerprint database of enterococci and *E. coli*, isolated from different host groups, to identify the sources of faecal contamination in the Eudlo Creek in Southeast Queensland and to explore aspects of the ecological application of such a database during both the wet and the dry season.

## **3.2 Materials and methods**

### **3.2.1 Host groups sampling**

Nine host groups (other than humans) were sampled. These groups included horses, cattle, sheep, pigs, ducks, chickens, deer, kangaroos and dogs. These host groups were chosen for this study because they were the most common groups found in the region and therefore identified as potential contributors in the selected catchment. It should be noted however, that certain animal groups such as sheep, pigs and deer are found in lesser frequencies than others in this catchment.

For each group of farm animals (i.e. horses and cattle), initially 5 faecal samples from 5 individuals were collected within a farm. Up to 32 isolates of both enterococci and *E. coli* were tested from each sample to determine the diversity ( $D_i$ ) of these indicator bacteria. Based on the low diversity ( $0.43 \pm 0.10$  for enterococci and  $0.53 \pm 0.11$  for *E. coli*) (minimum 0 and maximum 1) obtained from this assessment (see appendix 4 for details), it was determined that sampling should be undertaken from up to 20 farms within the catchment for each group of farm animals. For farm animals, samples were collected from as many farms as possible in the studied catchment. Nonetheless, because of the size of the catchment it was necessary to collect additional within a radius of 20 km of the studied creek so as to develop a large representative database. At each farm, up to 3 animals were sampled and from each animal up to 12 isolates were tested. A total number of 234 samples were eventually collected, from horses (38 samples), cattle (54 samples), sheep (28 samples), pigs (32 samples), chickens (36 samples) and ducks (46 samples). All samples were collected from fresh faeces of individual animals with sterile swabs and inserted into Amies transport medium (Interpath, Melbourne, Australia), transported to the laboratory and tested within 6 h. Dog samples (47 samples) were collected from two city dog parks on 8 occasions. Deer samples (25 samples) were collected from a local deer sanctuary park and kangaroo (20 samples) samples were collected from the University of the Sunshine Coast where a large number of kangaroos roam. Isolation and identification of enterococci and *E. coli* were performed in the same manner described in chapter 2 sections 2.6 and 2.9. Human isolates (i.e. 1,072 enterococci and 621 *E. coli*) from 39 septic tanks were also included in this study to represent humans (see chapter 2 section 2.8).

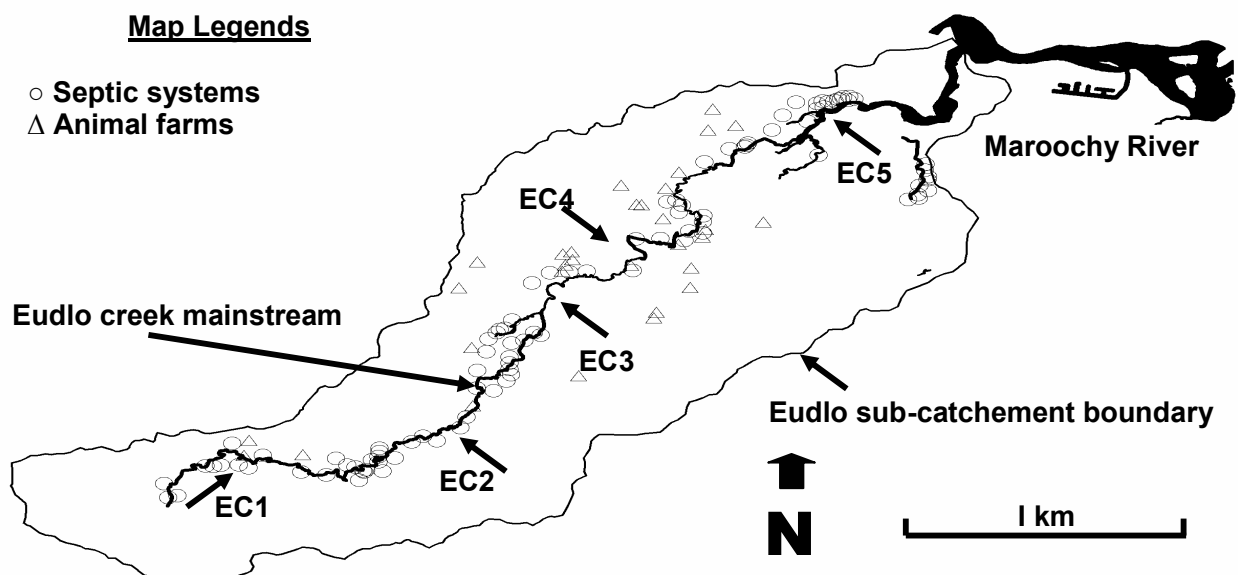
### **3.2.2 Database development**

The biochemical fingerprinting procedure, including the classification of isolates into biochemical phenotypes (BPTs) and the calculation of diversity ( $D_i$ ) and population similarity ( $S_p$ ) analysis, have already been described in detail (see chapter 2 section 2.10). All enterococci and *E. coli* isolates from the different host groups were typed and assigned to BPTs, as described in chapter 2 section 2.10). For both faecal indicator bacteria, BPTs were categorized into two distinct types, unique (UQ) and shared (SH) BPTs on the basis of their occurrence in host groups. The UQ-BPTs are those BPTs that are specific to a single host group, whereas SH-BPTs were found in multiple host groups. To achieve this, all BPTs obtained from each animal were compared with other animals within a host group. If identical, a representative of identical BPTs, as well as all

non-identical BPTs, were initially saved in the database and regarded as total-BPTs for each host group of animals. Further, total-BPTs from each host group were cross-referenced with those of others to calculate the occurrence of BPTs among different host groups. For instance, if a BPT from a host group (e.g. horse) was identical to a BPT from another (e.g. sheep), this BPT was regarded as SH-BPT between two host groups. If a BPT from a host group was not detected in any other groups, it was regarded as UQ-BPT.

### 3.2.3 Surface water sampling

Six sampling sites (control and EC1-EC5) were chosen on the Eudlo Creek mainstream (Figure 3.1) (control site not shown in Figure 3.1). The control site was located 5 km upstream of the study area and received water mainly from pristine areas not easily accessible to humans and containing naturally low levels of faecal indicator bacteria. Site EC1 was located upstream of the Eudlo Township and was characterized by a limited number of septic systems and animal farms. Sites EC2-EC5 were situated downstream of the Township and were affected by the high density of septic systems in the Township itself and a number of animal farms. Water samples were collected at 3 occasions from the control and 3 sites (EC1 to EC3) during January to February 2004 (wet season) (9 samples and 3 controls), and at 4 occasions from the control and 5 sites (EC1 to EC5, only 2 samples from site EC4) during August to September 2004 (dry season) (18 samples and 4 controls). In all, 27 water samples and 7 controls were collected from these sites. Water samples were collected and processed as described in chapter 2, section 2.6. Identification and confirmatory test of enterococci and *E. coli* were performed as described in chapter 2, section 2.9. From each water sample from sites EC1-EC5, up to 39 (where possible) isolates were typed with the PhPlate system as described in chapter 2 section 2.10.



**Figure 3.1** Sampling sites (EC1-EC5) on Eudlo Creek mainstream. Conventional septic systems (○) within 50 m distance of the creek and animal farms (△) within the catchment.

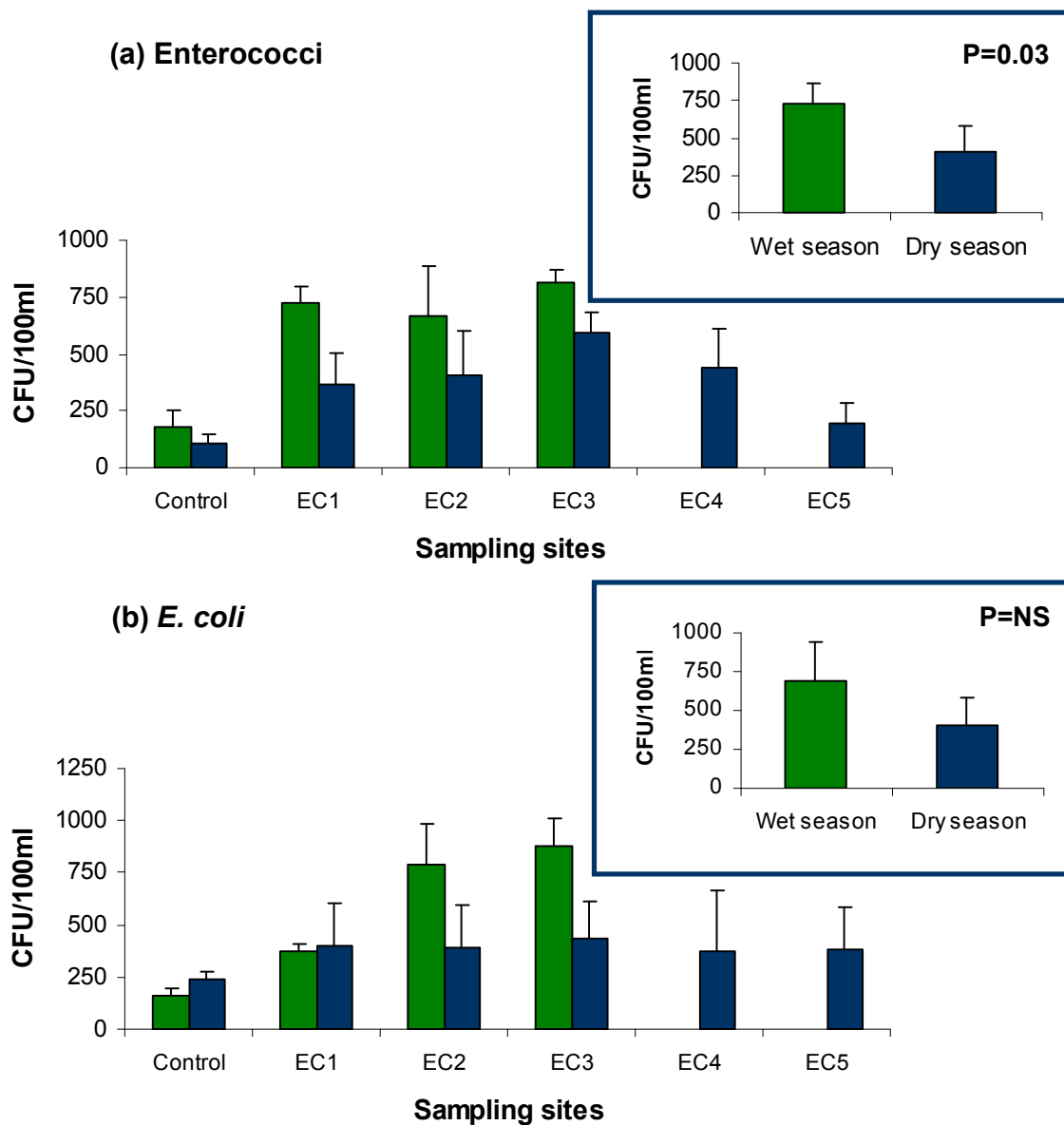
### **3.2.4 Statistical analysis**

Analysis of variance (ANOVA) was used to compare the significant difference between the numbers of faecal indicator bacteria among sampling sites. Mann-Whitney's non-parametric test was used to compare the significant difference between the mean number of faecal indicator bacteria, the mean number of BPTs in water samples during the wet and the dry seasons and to determine the significant difference between the mean number of enterococci BPTs and *E. coli* BPTs found in all host groups. In addition, this test was also performed on the overall diversity of enterococci and *E. coli* from all host groups.

## **3.3 Results**

### **3.3.1 Number of faecal indicator bacteria in water samples**

Both enterococci and *E. coli* were detected in all water samples throughout the study. The number of enterococci and *E. coli* collected from sites EC1-EC5 during the wet season ranged from 510 to 921 CFU/100 ml and 340 to 1014 CFU/100 ml respectively. During the dry season, these figures ranged from 101 to 700 CFU/100 ml for enterococci and 120 to 600 CFU/100 ml for *E. coli*. The number of enterococci in the control site was 176 CFU/100 ml during the wet season and 102 CFU/100 ml during the dry season. For *E. coli* these values were 163 CFU/100 ml during the wet season and 237 CFU/100 ml during the dry season. One-way ANOVA demonstrated that the number of indicator bacteria at the various sampling sites differed significantly from the control site during the wet season for both enterococci and *E. coli* and during the dry season for enterococci only (Figures 3.2a and b).



**Figure 3.2** The mean and standard deviation of (a) enterococci and (b) *E. coli* during wet (■) and dry (■) seasons at different sampling sites (EC1-EC5).

**Enterococci during the wet season:** EC1, EC2, and EC3 vs. Control =  $p < 0.01$ .  
**Enterococci during the dry season:** EC2, EC4 vs. control =  $p < 0.05$ , and EC3 vs. control =  $p < 0.01$ .  
***E. coli* during the wet season:** EC2, EC3 vs. control =  $p < 0.001$ .

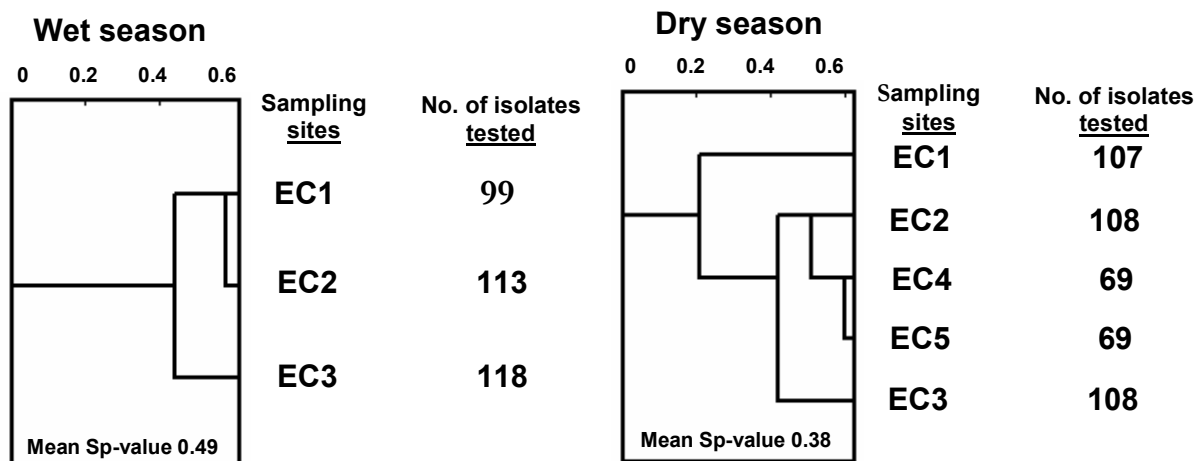
The mean diversity of enterococci BPTs during the wet seasons ( $0.85 \pm 0.02$ ) was significantly different ( $p=0.03$ ) from that of the dry season ( $0.73 \pm 0.05$ ). For *E. coli*, the mean diversity of BPTs did not differ between the wet ( $0.93 \pm 0.04$ ) and dry season ( $0.90 \pm 0.06$ ) (Table 3.1).

**Table 3.1** Mean diversity (Di) of enterococci and *Escherichia coli* isolates collected from 5 sampling sites (EC1 to EC5) during the wet and the dry season.

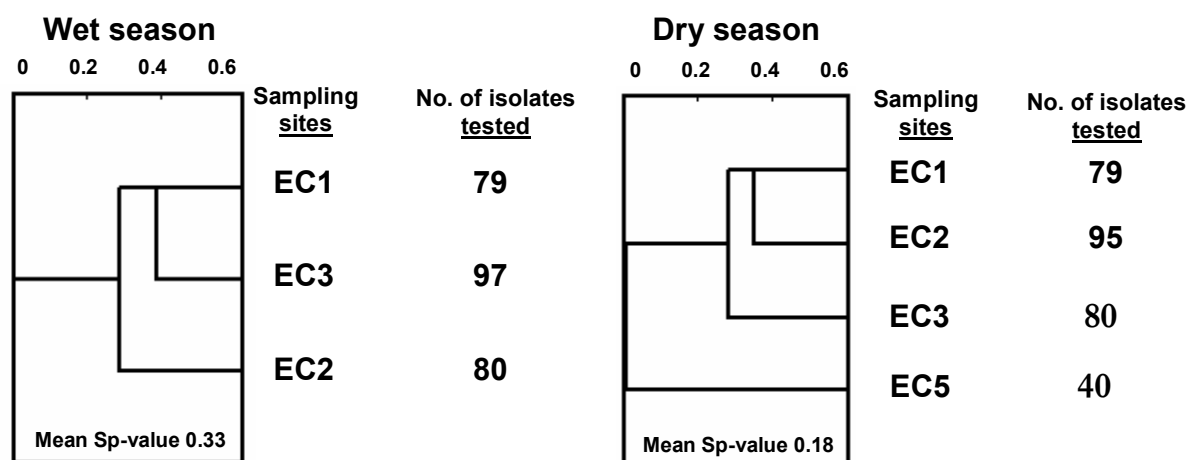
Sampling site	Mean diversity (Di)			
	Enterococci		<i>E. coli</i>	
	Wet season	Dry season	Wet season	Dry season
EC1	0.83	0.70	0.97	0.83
EC2	0.86	0.68	0.88	0.85
EC3	0.87	0.73	0.95	0.94
EC4	-	0.82	-	-
EC5	-	0.75	-	0.97
<b><i>n</i>=5</b>	<b><math>0.85 \pm 0.02</math></b>	<b><math>0.73 \pm 0.05</math></b>	<b><math>0.93 \pm 0.04</math></b>	<b><math>0.90 \pm 0.06</math></b>

For each season, the indicator bacteria from each sampling site were pooled and similarities between populations at different sites were calculated as the population similarity (Sp) (see chapter 2 section 2.10 for details). It was found that there were high similarities between both indicator bacterial populations at different sampling sites (Figure 3.3 a and b). However, the mean Sp for enterococci population (i.e. 0.44) was much higher than that of *E. coli* populations (i.e. 0.26) over the entire sampling periods (i.e. both the wet and the dry season). Seasonally, the mean similarity between both bacterial populations was much higher during the wet season (0.49 for enterococci and 0.33 for *E. coli*) than the dry season (i.e. 0.38 for enterococci and 0.18 for *E. coli*) (Figure 3.3 a and b).

### a. Enterococci



### b. *E. coli*



**Figure 3.3** UPGMA dendrograms of population similarity for (a) enterococci and (b) and *Escherichia coli* at different sampling sites in the Eudlo Creek (EC1 to EC5) during both the wet and dry season.

### 3.3.2 Database

A total number of 4,057 enterococci and 3,728 *E. coli* isolates were typed from 10 host groups. Within each host group, different BPTs were found, some of which were identical. Representative of the identical BPTs and the non-identical BPTs were initially included in the database and regarded as total-BPTs found in each host group. Applying this approach, a total number of 526 BPTs of enterococci and 530 BPTs of *E. coli* were obtained from all host groups. Table 3.2 shows the number of isolates tested and the number of total-BPTs found in each host group. For enterococci, the ratio of BPTs over the number of total isolates tested from each host group ranged from 7.3% (for sheep) to 18.7% (for horse) yielding a mean value of  $13.9 \pm 4.0$  for all host groups. With *E. coli* this ratio ranged from 8.2% (for sheep) to 17% (for ducks), yielding a mean value of  $14.4 \pm 2.5$  (Table 3.2). The mean number of total enterococci and *E. coli* BPTs found in all host groups did not differ significantly ( $p=0.97$ ).

**Table 3.2** Number of enterococci and *Escherichia coli* isolates tested from each host group and the number of total-BPTs found. \*Mean and standard deviation.

Host groups	No. of samples	No. of isolates tested		No. of total-BPTs found (% over isolates)	
		Enterococci	<i>E. coli</i>	Enterococci	<i>E. coli</i>
Human	56	1072	621	94 ( 8.8)	92 (14.8)
Horses	38	407	407	76 (18.7)	60 (14.7)
Dogs	47	404	408	49 (12.1)	64 (15.7)
Ducks	46	408	404	58 (14.2)	69 (17)
Cattle	55	411	401	47 (11.4)	53 (13.2)
Chicken	36	408	408	74 (18.1)	59 (14.5)
Pigs	32	312	400	54 (17.3)	53 (13.3)
Sheep	27	287	367	21 ( 7.3)	30 ( 8.2)
Deer	25	204	200	28 (13.7)	31 (15.5)
Kangaroos	20	144	112	25 (17.4)	19 (17.)
<b>Total</b>	<b>382</b>	<b>4057</b>	<b>3728</b>	<b>526 (13.9 <math>\pm</math> 4)*</b>	<b>530 (14.4 <math>\pm</math> 2.5)*</b>



The mean diversity of both enterococci and *E. coli* within each host group ranged from  $0.41 \pm 0.38$  (for sheep) to  $0.75 \pm 0.25$  (for horses) and from  $0.44 \pm 0.27$  (for sheep) to  $0.85 \pm 0.07$  (for deer) respectively (Table 3.3). However, the overall diversity of both indicator bacteria ( $0.6 \pm 0.1$  for enterococci versus  $0.65 \pm 0.1$  for *E. coli*) did not differ significantly ( $p=0.36$ ).

**Table 3.3** The mean diversity index (Di) of enterococci and *Escherichia coli* in host groups.  $P = < 0.2$  for  $a_1$  vs.  $b_1$  and  $a_2$  vs.  $b_2$ ;  $P = < 0.005$  for  $a_3$  vs.  $b_3$ .

Host groups	Enterococci	<i>E. coli</i>
	Mean Di	Mean Di
Human	$0.50 \pm 0.30$	$0.50 \pm 0.30$
Horses	$0.75 \pm 0.25^{a1}$	$0.63 \pm 0.26^{b1}$
Dogs	$0.45 \pm 0.32$	$0.57 \pm 0.27$
Ducks	$0.72 \pm 0.23$	$0.77 \pm 0.22$
Cattle	$0.54 \pm 0.34$	$0.53 \pm 0.28$
Chicken	$0.72 \pm 0.26^{a2}$	$0.82 \pm 0.18^{b2}$
Pigs	$0.68 \pm 0.28$	$0.73 \pm 0.24$
Sheep	$0.41 \pm 0.38$	$0.44 \pm 0.27$
Deer	$0.59 \pm 0.32^{a3}$	$0.85 \pm 0.07^{b3}$
Kangaroos	$0.64 \pm 0.20$	$0.72 \pm 0.14$

#### **Unique (UQ) and shared (SH) BPTs**

When the total-BPTs of all host groups were compared with each other, it was found that certain BPTs were specific to individual host groups. These BPTs were referred to as UQ-BPTs. For enterococci, the range of UQ-BPTs among host groups varied from 7 (in sheep) to 66 (in humans). For *E. coli*, this figure ranged between 6 (in kangaroos) to 69 (in humans) (Table 3.4). The mean percentage of total UQ-BPTs among enterococci and *E. coli* was 56% and 51% respectively. Other BPTs were found in multiple host groups and they were referred to as SH-BPTs.

**Table 3.4** Number of unique (UQ) and shared (SH) enterococci and *Escherichia coli* biochemical phenotypes (BPTs) in host groups. <sup>a</sup> Identical BPTs within each host group are not included. <sup>b</sup> BPTs found in multiple host groups.

Host groups	No. of UQ-BPTs <sup>a</sup> (% over total BPTs)		No. of SH-BPTs <sup>b</sup> (% over total BPTs)	
	Enterococci	<i>E. coli</i>	Enterococci	<i>E. coli</i>
Human:	66 (70)	69(75)	28(30)	23(25)
Horses	54 (71)	32(53)	22(29)	28(47)
Dogs	24 (49)	32(50)	25(51)	32(50)
Ducks	29 (50)	32(46)	29(50)	37(54)
Cattle	23 (49)	24(45)	24(51)	29(55)
Chicken	41 (55)	33(56)	33(45)	26(44)
Pigs	28 (52)	25(47)	26(48)	28(53)
Sheep	7 (33)	11(37)	14((67)	19(63)
Deer	13 (46)	9(29)	15(54)	22(71)
Kangaroos	10 (40)	6(32)	15(60)	13(68)
<b>Total</b>	<b>295 (56)</b>	<b>273(51)</b>	<b>231(44)</b>	<b>257(49)</b>

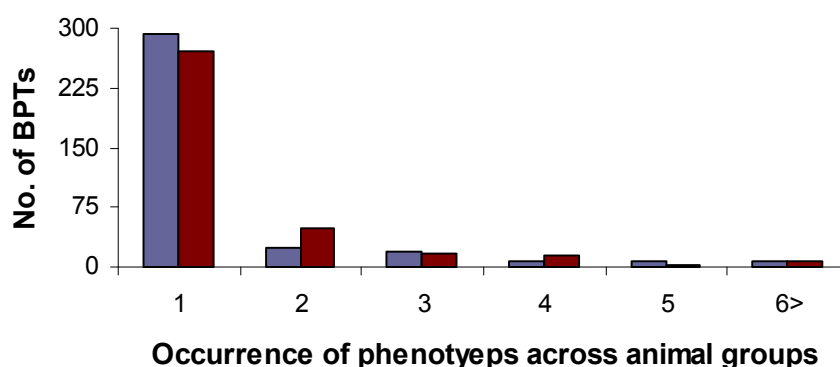
A typical example of the distribution of SH-BPTs of enterococci is given in Table 3.5 where, of the 76 enterococci total-BPTs found in the horse population, 54 were only found in horses (i.e. UQ-BPTs). In this example, of the remaining 22 BPTs that were found in multiple host groups (SH-BPTs), 6 were shared between horses and cattle, 2 were shared between horses and kangaroos, and so on.

**Table 3.5** A typical example of the distribution of enterococci biochemical phenotypes (BPTs) found in horses with other host groups. UQ: Unique BPTs, SH: Shared BPTs.

Distribution of total-BPTs	No. of BPTS (designation)
Only in horses	54 (UQ)
Horse - Cow	6 (SH)
Horse - Kangaroo	2 (SH)
Horse - Sheep	2 (SH)
Horse - Duck - Human	1 (SH)

Horse - Duck - Chicken	1 (SH)
Horse - Dog - Kangaroo	1 (SH)
Horse - Cattle - Duck	2 (SH)
Horse - Chicken - Pig	1 (SH)
Horse - Cattle - Human - Pig	1 (SH)
Horse - Cattle - Human - Sheep - Deer	1 (SH)
Horse - Cattle - Duck - Sheep - Dog - Chicken - Pig - Kangaroo	4 (SH)
<b>Total</b>	<b>76</b>

For enterococci, the range of SH-BPTs among host groups varied from 14 (in sheep) to 33 (in chickens) and for *E. coli*, varied from 13 (in kangaroos) to 37 (in ducks) (see Table 3.5). Therefore, a total of 295 enterococci BPTs and 273 *E. coli* BPTs occurred only once in the database while 231 BPTs for enterococci and 257 BPTs for *E. coli* occurred in multiple host groups. The occurrence of BPTs for both indicator bacteria among different host groups is shown in Figure 3.4. All BPTs (i.e. UQ or SH-BPTs) from animal groups that were not found in humans were collectively categorized as animal-BPTs. The animal-BPTs consisted of 432 enterococci BPTs and 438 *E. coli* BPTs, of which 229 (53%) enterococci BPTs and 204 (47%) *E. coli* BPTs were UQ-BPTs (see Tables 3.3 and 3.4).



**Figure 3.4** Occurrence of enterococci (■) and *Escherichia coli* (■) biochemical phenotypes (BPTs) across host groups.

### 3.3.3 Ecological application of the database

In all, 27 water samples were collected from Eudlo Creek and from each water sample up to 40 enterococci and *E. coli* isolates (where possible) were typed and compared with the database. A total of, 791 enterococci isolates (330 isolates during the wet season and 461 isolates during the dry season) and 550 *E. coli* (244

isolates during the wet season and 306 isolates during the dry season) isolates were tested from the water samples.

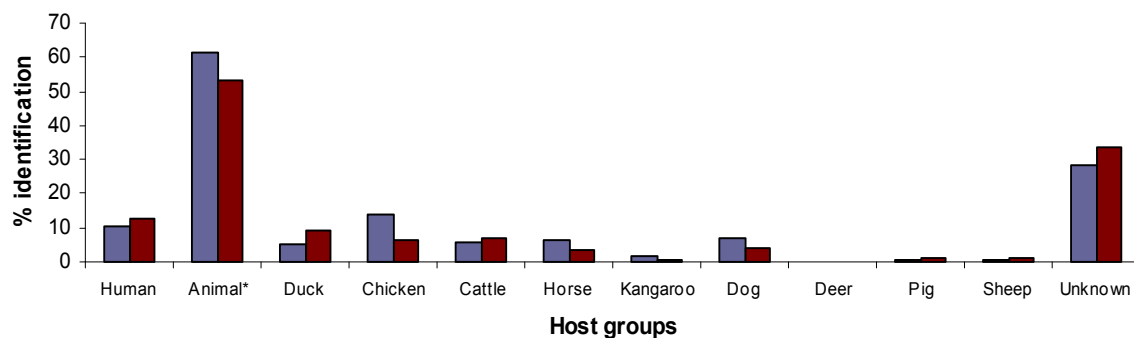
Among the 330 enterococci isolates tested during the wet season, 116 BPTs were identified, of which, 16 (13.8%) were sourced from humans (i.e. UQ-BPTs) and 71 (61.2%) were sourced from animals (i.e. animals-BPTs) (Table 3.6). Similarly, among the 244 *E. coli* isolates tested from the water samples 122 BPTs were found, of which 20 (16.4%) were of human origin and 82 (67.2%) belonged to animals. Twenty-nine enterococci BPTs and 20 *E. coli* BPTs were either shared between humans and animals or did not match the database and were therefore regarded as unknown BPTs (Table 3.6). A large number of bacterial isolates were tested during the dry season. Among the 461 enterococci isolates tested during this period 132 BPTs were found, of which 10 (7.6%) were derived from humans (i.e. UQ-BPTs) and 81 (61.3%) derived from animals (i.e. animal-BPTs) (Table 3.6). Similarly, among the 306 *E. coli* isolates 160 BPTs were found, of which 16 (10%) were of human origin and 69 (43.1%) belonged to animals (Table 3.6). Forty one enterococci BPTs and 75 *E. coli* BPTs either shared between humans and animals or did not match the database.

**Table 3.6** Comparison of enterococci and *Escherichia coli* biochemical phenotypes (BPTs) from water samples with the database. <sup>DS</sup>; Dry season, <sup>WS</sup>; Wet season

Sampling occasion	Sampling sites	No. of isolates tested (no. of total-BPTs found)	No. of total-BPTs identical to database				Unknown BPTs	
			Human UQ-BPTs		Animal-BPTs		Enterococci	<i>E. coli</i>
			Enterococci	<i>E. coli</i>	Enterococci	<i>E. coli</i>		
1 <sup>WS</sup>	EC1	32 (10)	2	1	6	5	2	6
	EC2	38 (15)	1	4	9	11	5	0
	EC3	39 (16)	1	1	13	8	2	2
2 <sup>WS</sup>	EC1	29 (9)	1	3	5	11	3	0
	EC2	39 (13)	1	2	6	8	6	2
	EC3	40 (10)	2	4	7	17	1	5
3 <sup>WS</sup>	EC1	38 (12)	2	2	8	5	2	3
	EC2	36 (14)	3	3	8	11	3	0
	EC3	39 (17)	3	-	9	6	5	2
Sub-total	9	330 (116)	16	20	71	82	29	20
4 <sup>DS</sup>	EC1	22 (9)	1	1	6	5	2	4
	EC2	23 (10)	-	-	8	5	2	7
	EC3	23 (8)	-	2	3	5	5	5
	EC4	23 (6)	-	3	3	5	3	5
	EC5	23 (10)	-	-	6	4	4	5
5 <sup>DS</sup>	EC1	23 (7)	1	-	4	4	2	1
	EC2	23 (7)	1	-	4	2	2	4
	EC3	23 (7)	1	-	5	3	1	5
	EC4	23 (8)	-	-	6	-	2	-
	EC5	23 (7)	-	2	4	4	3	-

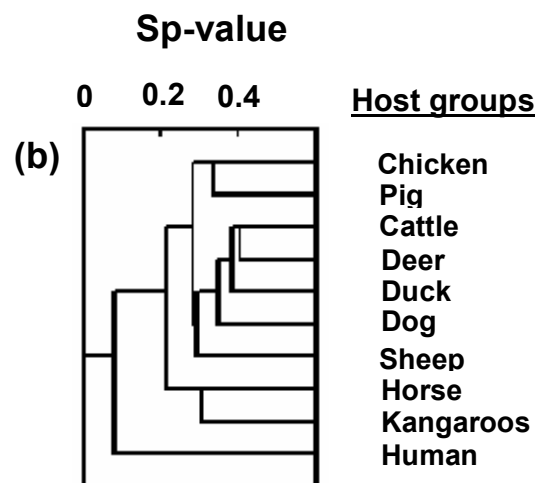
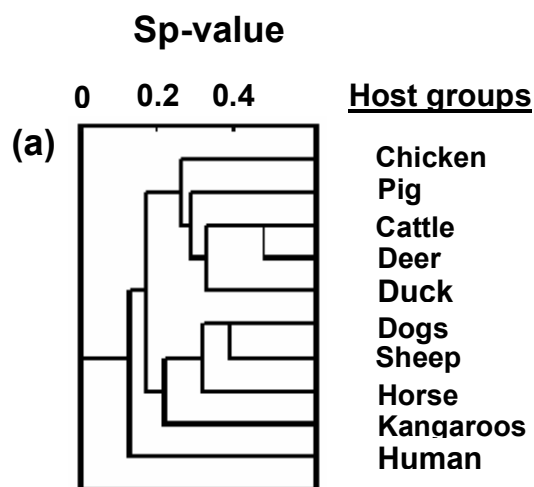


Comparison of total-BPTs found in water samples over the entire sampling period (both the dry and the wet seasons) with the database showed that, more than 61% enterococci and 54% *E. coli* BPTs were identical to animal-BPTs, and that some were also unique to individual animal group. Distribution of UQ-BPTs among different host ranged between 0% (deer) to 13% (chicken) for enterococci and 0% (deer) to 8% (ducks) for *E. coli* (Figure 3.6). Ten percent of enterococci UQ-BPTs and 13% of *E. coli* UQ-BPTs found in water samples were identical to humans.



**Figure 3.5** Percentage identification of enterococci (■) and *Escherichia coli* (■) BPTs found in water samples that were identical to different host groups. \* Animal BPTs

To identify whether there is a fundamental difference between the population of both faecal indicator bacteria from humans and animals, a population similarity analysis was performed. The mean Sp-value between enterococci ( $0.27 \pm 0.1$ ) and *E. coli* ( $0.34 \pm 0.06$ ) populations of animals when compared with each other was significantly higher ( $p=0.003$  for enterococci and  $p= 0.001$  for *E. coli*) than the mean similarity between humans and each host animal group (i.e.  $0.16 \pm 0.03$  for enterococci and  $0.09 \pm 0.02$  for *E. coli* (Figure 3.6).



**Figure 3.6** A UPGMA dendrogram of population similarity of (a) enterococci and (b) *Escherichia coli* populations from all host groups.

### 3.3.4 Population similarity analysis - an alternative approach

Whilst comparison of BPTs found in water samples with those of the developed database provided an accurate way of identifying the sources, a population similarity analysis was performed between host groups and water samples to obtain a rapid overview of the dominant sources of faecal contamination.

In this approach, Enterococci and *E. coli* populations from each animal species were compared with those of water samples during the wet and dry seasons. Although enterococci population from animals showed a higher similarity (higher Sp-value) to water samples than *E. coli* (Table 3.7), the similarity of both indicator



bacterial populations was higher for water samples during the wet season than the dry season. The highest Sp-values were obtained with dogs, horses, cows and kangaroos (Table 3.7).

**Table 3.7** Comparison of population similarity (Sp-value) of enterococci and *Escherichia coli* isolated from host groups and water samples.

Host groups	Population similarity value to water samples			
	Enterococci		<i>E. coli</i>	
	Wet season	Dry season	Wet season	Dry season
Dogs	0.46	0.36	0.36	0.21
Horses	0.43	0.22	0.33	0.17
Cows	0.42	0.23	0.37	0.27
Kangaroos	0.41	0.17	0.24	0.15
Pigs	0.32	0.19	0.25	0.19
Sheep	0.30	0.14	0.16	0.16
Deer	0.29	0.17	0.25	0.19
Chickens	0.28	0.21	0.26	0.20
Ducks	0.28	0.22	0.35	0.26
Human	0.20	0.20	0.17	0.14

### 3.5 Discussion

Identification of potential source(s) of faecal contamination in surface waters requires a method that is capable of distinguishing between human and animal sources. Ideally, the method should also be sufficiently sensitive to discriminate different animal species. In this study, a biochemical fingerprinting method was used to develop a host-specific metabolic fingerprint database of two recommended faecal indicator bacteria, enterococci and *E. coli* (1, 249) that can be used to trace the sources of faecal contamination in the Eudlo Creek catchment. Furthermore, a population similarity analysis was used to provide a rapid overview of the possible sources of contamination.

In developing this database, two important factors were considered. One was the number of isolates to be tested from each animal species, and the other was how well these numbers represent the diversity of indicator bacteria among the animal species. The initial analysis of the diversity of faecal indicator bacteria showed that animals of the same species within a farm carry many identical BPTs and therefore share common bacterial populations. This was somewhat expected and can be explained by frequent contact of

animals with each other and/or dietary similarity (125, 126, 161). However, a higher diversity was obtained when animals of the same species from one farm were compared with others within a radius of around 20 km of the studied catchment.

For this reason, as discussed in earlier part of this chapter, the number of sampling farms was increased while the number of samples from each farm decreased to between 2 and 3. In addition the number of isolates to be tested from each sample decreased to 10 to 12 isolates. The comparison of total-BPTs in each host group with other showed that many identical BPTs were shared in multiple host groups. Bacteria are ubiquitous in the environment and can be found transitionally in many animal species simultaneously. Similar shared fingerprints have also been reported among different host groups in other studies (125, 126, 197). However, in this study, the percentage of shared (SH) BPTs among host groups was quite high. This is due to the fact that not only was a large number of isolates tested from each host group, but also a wide range of host groups were used to develop the database and therefore more SH-BPTs were found among host groups.

A recent molecular-based study (153) defined unique genotypes on the basis of specificity to individual host group rather than comparing these genotypes to those found in other host groups. In the present study, UQ-BPTs were defined as those BPTs that occurred once only in each host group after comparing with all other total-BPTs found in other host groups. The number of UQ-BPTs in this study varied among different host groups. Certain host groups (i.e. sheep, deer and kangaroos) contained a smaller number of UQ-BPTs than others. This may be explained by the fact that a smaller number of samples tested from these host groups from limited locations and therefore the sampling effort may not have captured the diversity found among these host groups. Despite so, it was found that these UQ-BPTs can be used as specific fingerprints to pinpoint the sources of faecal contamination in surface waters. In contrast, some SH-BPTs were found in two or more animal species including humans. These BPTs could not be used to distinguish the various sources of faecal contamination and were excluded from the database. However, it was also found that certain SH-BPTs, though found among different animal species, were not found in humans and could therefore be categorized in a broader category of animal-BPTs among the studied groups.

Using the developed database, 10% of enterococci BPTs and 13% of *E. coli* BPTs in water samples were identified as human UQ-BPTs. It should be noted that, human samples were obtained from septic tanks rather than fresh human faecal samples and therefore, some UQ and/or SH strains may have not survived in the septic tanks and therefore not detected. Of the animal-BPTs, 101 (66%) of enterococci BPTs and 93 (62%) of *E. coli* BPTs were unique to individual host groups. On the basis of UQ-BPTs for enterococci, chickens

contributed 13% of bacterial contamination followed by humans at 13%. For *E. coli*, humans contributed 13% followed by ducks at 9%. Both the enterococci and *E. coli* databases were in close agreement in terms of identifying the sources of contamination (i.e. for humans 10% enterococci and 13% *E. coli*; and for cattle 6% enterococci and 7% *E. coli* were identified from same water samples) though it was not always consistent for certain host groups (i.e. for chickens 14% enterococci and 6% *E. coli*) indicating that combination of both indicators may provide a better and probably more realistic picture that the sources were correctly identified. Similar results were obtained when sources of faecal contamination were investigated among the failed septic systems in previous chapter. However, interestingly enough, total-BPTs from deer were not identical to those found in the water samples. This can be explained by the fact that there were no wild deer within the catchment, and the samples were obtained from a sanctuary outside the catchment. This negative control element of the study provides further validity to the accuracy of the database and the technique in predicting the sources of contamination.

Certain BPTs of both faecal indicator bacteria found in water samples did not match the database. This may be due to the fact that either the database was not large enough to capture the diversity of these indicator bacteria, or that these unknown BPTs might have originated from other non-point sources or a combination of both. It has been suggested that a library size of up to 40,000 isolates may be needed to capture the genetic diversity present among *E. coli* (153). In this study, the number of enterococci and *E. coli* isolates tested was 4,057 and 3,728 respectively, which when compared to existing database in the literature, is quite high (26, 27, 43, 125, 197, 223, 249, 299). Furthermore, two indicator bacteria (instead of one) provide a higher specificity for the database to identify the sources correctly.

The ability to analyse the bacterial population similarity ( $Sp$ ) is another advantage of using the biochemical fingerprinting and the PhPlate software. This analysis was used to measure the proportion of identical bacterial isolates in two or more samples and to provide a better understanding of the overall similarity between compared populations (i.e. host group versus water samples). However, in such an analysis, the sampling protocol should focus on testing a large number of bacterial isolates from both the suspected source(s) and the receiving waters. To identify the level of indicator bacteria and their sources during the wet and the dry seasons in the creek and their possible sources,  $Sp$ -values of enterococci and *E. coli* populations in the creek were compared with those obtained from different host groups.

The mean  $Sp$ -value for both faecal indicator bacteria between different sampling sites in the creek was higher in the wet than the dry season. This result was somewhat expected as during the wet season a large number of bacteria are believed to be washed into the creek via surface run-off. Under these conditions, the chance of finding similar BPTs between two compared populations is high. It has to be noted that, when the diversity of two bacterial populations is low, the degree of similarity between these populations is determined by the

similarity between the dominant BPTs in these samples. In this study, the diversity of enterococci in water samples during the wet season was significantly higher than during the dry season. This however, was not the case for *E. coli*, probably because these strains are naturally more diverse in the environment than enterococci (3, 22). It was also found that the mean Sp-value of enterococci during both wet and the dry seasons were higher than those of *E. coli*. Similar results have also been reported by Vilanova *et al.* (287), but the reason for this is not fully understood. One possible explanation is that enterococci survive longer in natural waters than *E. coli* (22). This may influence their diversity as new BPTs are cumulatively added into the existing BPTs resulting in an overall higher diversity and subsequently higher population similarity.

The populations of both faecal indicator bacteria collected from human showed a low similarity with those collected from water samples. This may again be due to the fact that the diversity of both enterococci and *E. coli* in septic tanks was low and therefore comparison with water samples that normally receive bacteria from different sources would yield a low population similarity value. The highest population similarities were found between dogs with water samples followed by horses, cows and kangaroos. These animal species were common throughout the catchment. Interestingly, the bacterial populations from these animal species showed a low similarity with water samples during the dry season. These data suggest that surface runoff during the wet season has a strong impact on bacterial concentrations in this study and that the faecal bacteria from animals, both domestic and wild, can be the major sources of bacterial contamination in this catchment. In this study, an overall higher population similarity was found for enterococci than *E. coli* during both seasons, indicating that irrespective of the season, the use of population similarity analysis for enterococci could be more advantageous over *E. coli*. It also suggests that the population analysis of indicator bacteria can provide a rapid means of predicting the possible sources of contamination in surface waters.

Nonetheless, results obtained from such studies should be interpreted with great caution as some host groups may share a portion of identical population with other host groups. For instance, the Sp-value for enterococci between deer and water samples was around 0.29 during the wet season, although the studied area did not contain any wild deer. This is probably because a portion of the indicator bacteria from deer is shared with other animals (see Figure 3.6), and therefore yields a higher Sp-value. To overcome this problem, it is important that the knowledge of the landuse data and the dominant sources in the catchment must be identified for such analysis.

In conclusion, a metabolic fingerprinting database was developed based on the stringent sampling and testing of a large number of indicator bacteria from 10 host sources (including human) which was capable of identifying the sources of up to 65% of the indicator bacteria in the studied creek. The database was also capable of differentiating between human and animal sources as well as within animals. Furthermore, it

provided additional support for the results obtained in chapter 2 that majority of the human unique BPTs found in septic tanks did not show any similarity with those found in animals.

Another important factor that has to be considered is that the number and the types of animals within a study area may vary over time due to agricultural practices and/or animal migration (153) and therefore it may not be possible to include samples from all animals that reside in a study area. This will restrict the ability of a database to trace the sources of contamination within a watershed. In addition, it is known that geographical variability exists among indicator bacteria (125), which limits the efficiency of a database to identify unknown environmental isolates when these bacteria are collected from another geographical area. Temporal stability and representativeness are also considered as important factors that may limit the use of a database developed for a specific catchment in another catchment within the same geographical region. Because of such uncertainty with spatial and temporal stability, it was decided to evaluate the application of the developed database to another catchment within the same geographical region. The results of such evaluation are presented in the next chapter.

## **CHAPTER 4**

### **The efficacy of a metabolic fingerprint database to trace faecal contamination in a cross catchment study**

#### **4.1 Introduction**

Despite the successful application of many catchment-based database-dependent methods in ecological studies (113, 122, 250), there is a need to explore a more regional (multi-catchment) approach (304). Although there is an understood inherent difference between catchments, in many regions the spatial variability between catchments is of the same order of magnitude as that between sub-catchments. The question must be asked therefore is that if the variability within a catchment has been adequately captured, and adjacent catchments are of a similar nature (i.e. soils, land-use), to what extent then can a database dependent model derived from one catchment be applied to others.

A general consensus is that an ideal database should contain a large number of representative isolates from a wide range of host groups residing in the studied catchment. However, it is not yet known how many isolates from host groups should be included in a database and what constitutes a representative database. Furthermore, the temporal and geographical variability that exists among indicator bacteria may restrict the use of indicators for a regional/universal database (125) and it has been suggested that a specific database may be needed for every catchment (125, 224). This approach, however, is unlikely to be cost effective and unlikely to be adopted as a monitoring tool for regulating authorities.

As discussed in chapter 3, when developing the metabolic fingerprint database, a stringent sampling protocol was developed for collecting faecal samples from animal host groups that was grounded on the diversity of faecal indicator bacteria among individual animals within a farm and the overall diversity of bacteria in each farm. Moreover, the concept of unique biochemical phenotypes (UQ-BPTs) was used to relate indicator bacteria to a specific host group. This database development approach potentially lends itself to a more regional application.

To evaluate the efficacy of such a database in a cross catchment study, a similar approach was adopted to develop a local database to be used and compared with the existing ones in identifying the sources of faecal contamination in a coastal lake catchment in the adjacent local government area.

## **4.2 Materials and Methods**

### **4.2.1 Selected catchment**

The Currimundi Lake catchment in Caloundra City was chosen for this study. The city is the second largest municipality (i.e. 1,107 km<sup>2</sup>) and one of the fastest growing cities in Australia with an annual growth rate of 3.54%. The population is approximately 70,000. Currimundi Lake, being located in the heart of the city, is mainly used for recreational activities. The lake has a distinctive “ria” like image and is thus quite linear and narrow (Figure 4.1). Furthermore, it is subject to tidal inundation and the entrance periodically closes following the formation of sand plugs due to tidal wave action. Once closed, the entrance will only be re-opened by storm runoff following heavy rainfall events. The surrounding population of the lake is serviced by several STPs. Routine monitoring, conducted by the Caloundra City Council, has shown high levels of faecal coliforms that do not comply with the national standard water quality guidelines (211).

### **4.2.2 Host groups sampling**

To develop a local database for comparison with the developed database, 6 host groups were sampled between March 2005 and May 2005. These included horses, cattle, ducks, chickens, dogs and humans. These host groups were chosen because they were most common groups throughout the region and therefore identified as potential contributors in the selected catchment. In all, 155 samples were collected, including horses (32 samples), cattle (29 samples), chickens (30 samples), ducks (34 samples), dogs (27 samples) and humans (3 composite samples from a STP servicing residential areas). Faecal samples from domestic animals were collected from upstream farms whilst those for dogs were collected from city dog parks and a dog kennel. Human samples were collected as composite samples from a STP servicing residential areas. All samples (except STP) were collected from fresh defecation of individual animals with sterile swabs and inserted into Amies transport medium (Interpath, Melbourne, Australia) and transported on ice to the laboratory and tested within 6 h.

### **4.2.3 Isolation of enterococci and *E. coli***

STP samples (10 ml) were suspended in 100 ml of buffered water (0.0425g/l KH<sub>2</sub>PO<sub>4</sub> and 0.4055 g/l MgCl<sub>2</sub>) and vortex for 3 min. Serial dilutions were made and filtered through a 0.45 µm pore size (47mm diameter) nitrocellulose membranes (Advantec, Japan) and placed on m-enterococcus (Difco, UK) and RAPID' *E. coli* 2 (REC 2) with supplement (Bio-rad, USA) agar plates. Faecal samples from all animal host groups were directly streaked on m-enterococcus and REC 2 agar plates. Plates were then incubated at 37°C for 48 h (for enterococci) and at 44°C for 24 h (for *E. coli*). The REC 2 medium, used for isolation of *E. coli* is based on the detection of 2 enzyme activities; β-D-glucuronidase (β-gluc) and β-D-galactosidase (β-gal). The hydrolysis of chromogenic substrates results in purple *E. coli* (β-gluc positive/ β-gal positive) and blue coliform colonies (β-gluc negative/ β-gal positive). The supplement added to the medium inhibits interfering Gram-negative flora,

which can be found in wastewater and natural waters. Single purple colonies from this medium were streaked on McConkey agar (Oxoid, USA) for purity and tested for iodole production and citrate cleavage. Confirmatory test of indicator was performed as described before (see chapter 2).

#### **4.2.4 Typing and development of a local database**

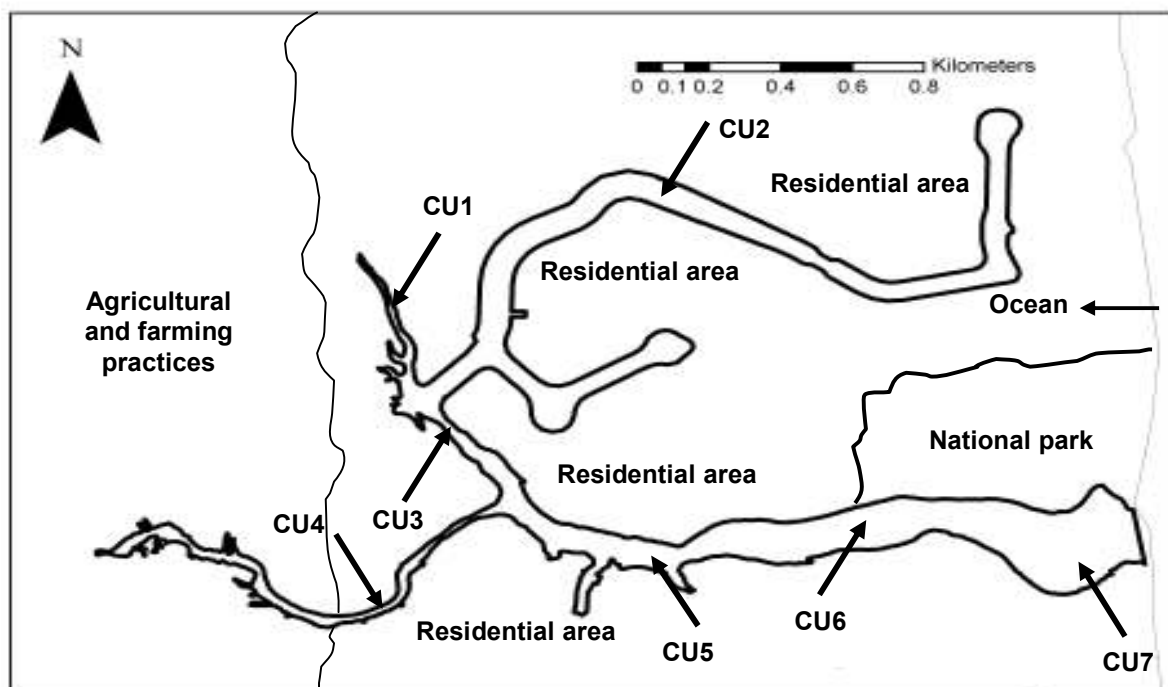
From each individual animal host up to 7 enterococci and 7 *E. coli* colonies were typed with the biochemical fingerprinting as described in chapter 2 (section 4.10). Calculation of diversity of both indicator bacteria in water samples as well as identification of unique (UQ) and shared (SH) BPTs in the local database was also undertaken as described in chapter 3, (section 4.10). In brief, the UQ-BPTs are those BPTs that are specific to a single host group, whereas SH-BPTs were found in multiple host groups.

#### **4.2.5 Lake sampling**

Water samples were collected from 7 sites (CU1-CU7) from March 2005 to April 2005. The sample sites were located at various points along the length of the lake. Sample site CU1 was located in the upper reaches of the lake borders on rural landuse with both animal and cultivated practices. Sample sites CU2 to CU4 were located adjacent to a high-density residential land-use connected to a centralized STP. Sample site CU5 was also situated in a highly populated residential area and is proximal to a number of storm water outlets. Sample site CU6 was located close to a coastal National Park that is bordered mainly by non-residential landuse. Sample site CU7 was located at the mouth of the lake (being separated from the ocean by a sand plug) and is extensively used for recreational activities.

In all, 28 samples were collected from these 7 sites (4 samples from each site over a two week intervals) and tested in triplicate. Water samples were collected and processed in the same manner as described in chapter 2 (section 4.6) except that in this study chromogenic coliform/*E. coli* medium was replaced with REC 2 medium. The identification, confirmation and fingerprinting of these isolates were carried out as described in chapter 2 (section 4.9 and 4.10). From each water sample a maximum of 32 (where possible) enterococci and 24 *E. coli* isolates (where possible) were typed for comparison with the database.





**Figure 4.1** Sampling sites (CU1 to CU7) on Currimundi Lake.

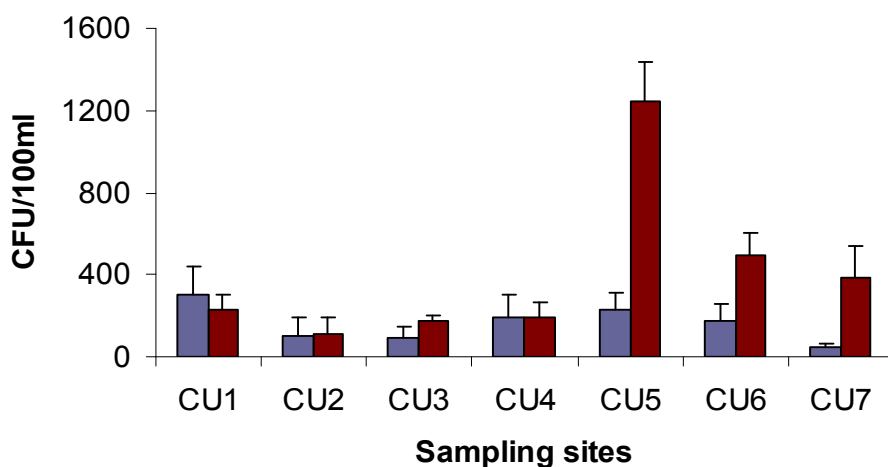
#### 4.2.6 Statistical analysis

One-way analysis of variance (ANOVA) was used to compare the significance of difference of bacterial populations among water samples from sites CU1 to CU7.

### 4.3 Results

#### 4.3.1 Abundance of indicator bacteria in the lake

The mean number of enterococci throughout the lake did not differ significantly except that a higher number was found in site CU1 compared to other sites ( $p < 0.05$  for CU1 vs. CU2, CU3 and CU7) (Figure 4.2). The mean number of *E. coli* in site CU5 ( $1241 \pm 197$ ) was almost three times higher than enterococci found at each sampling site and was significantly higher than the number of *E. coli* found in upstream ( $p = 0.001$ ) and downstream sites ( $p = 0.05$ ) (Figure 4.2).



**Figure 4.2** The abundance of enterococci (■) and *Escherichia coli* (■) in all sampling sites (CU1-CU7) in the Currimundi Lake

In all, 649 enterococci and 505 *E. coli* isolates from all sites were biochemical fingerprinted (Table 4.1). The mean diversity index (Di) of both faecal indicator bacteria ( $0.84 \pm 0.11$  for enterococci and  $0.84 \pm 0.10$  for *E. coli*) was quite high (maximum 1) for all sites and ranged between 0.78 and 0.91 for enterococci and 0.79 and 0.98 for *E. coli* (Table 4.1).

**Table 4.1** Number of enterococci and *Escherichia coli* isolates tested from each sampling site (CU1-CU7) and their diversity (Di). <sup>a</sup> Overall mean diversity. S. D: Standard deviation.

Sampling sites	No. of isolates tested (no of total-BPTs found)		Mean diversity (Di) $\pm$ S.D	
	Enterococci	<i>E. coli</i>	Enterococci	<i>E. coli</i>
CU1	104 (31)	62 (21)	$0.78 \pm 0.09$	$0.8 \pm 0.05$
CU2	84 (32)	54 (14)	$0.83 \pm 0.18$	$0.84 \pm 0.05$
CU3	72 (28)	69 (31)	$0.91 \pm 0.07$	$0.89 \pm 0.06$
CU4	119 (29)	67 (27)	$0.83 \pm 0.09$	$0.89 \pm 0.06$
CU5	113 (26)	69 (25)	$0.82 \pm 0.11$	$0.89 \pm 0.04$
CU6	94 (26)	92 (37)	$0.82 \pm 0.19$	$0.86 \pm 0.07$
CU7	63 (25)	92 (24)	$0.91 \pm 0.03$	$0.79 \pm 0.22$
<b>Total</b>	<b>649 (197)</b>	<b>505 (179)</b>	<b><math>0.84 \pm 0.11^a</math></b>	<b><math>0.84 \pm 0.10^a</math></b>

### 4.3.2 Development of a local database

A local database was initially developed by testing 776 enterococci and 780 *E. coli* isolates from all 6 host groups (Table 4.2). Within each host group, different BPTs were compared with each other and a representative of each BPT was saved in the database. In all, 189 enterococci and 245 *E. coli* BPTs were found from 6 host groups. These BPTs were referred to as total-BPTs (Table 4.2). The existing database contained 526 enterococci and 530 *E. coli* total-BPTs. However, when the total-BPTs of these two databases were compared, it was found that many BPTs from the local database were identical to those of the existing database and therefore excluded. In this manner, a merged database was created, which contained 651 enterococci and 623 *E. coli* total-BPTs (Table 4.2).

**Table 4.2** Number of enterococci (ENT) and *Escherichia coli* isolates tested from each host group and the number of total-biochemical phenotypes (BPTs) found in the local, existing and merged databases. NT: Not tested.

Host groups	Local database		Existing database		Merged database	
	No. of isolates tested (No. of total BPTs found)		No. of isolates tested (No. of total BPTs found)		No. of isolates tested (No. of total BPTs found)	
	ENT	<i>E. coli</i>	ENT	<i>E. coli</i>	ENT	<i>E. coli</i>
Human	137 (28)	161 (57)	1072 (94)	621 (92)	1209 (110)	782 (102)
Horses	109 (31)	131 (34)	407 (76)	407 (60)	516 (96)	538 (74)
Dogs	126 (21)	121 (36)	404 (49)	408 (64)	530 (64)	529 (77)
Ducks	136 (42)	110 (33)	408 (58)	404 (69)	544 (81)	514 (76)
Cattle	145 (40)	126 (41)	411 (47)	401 (61)	556 (80)	527 (73)
Chicken	123 (27)	131 (44)	408 (74)	408 (59)	531 (92)	539 (88)
Pigs	NT	NT	312 (54)	400 (53)	312 (54)	400 (53)
Sheep	NT	NT	287 (21)	367 (30)	287 (21)	367 (30)
Deer	NT	NT	204 (28)	200 (31)	204 (28)	200 (31)
Kangaroos	NT	NT	144 (25)	112 (19)	144 (25)	112 (19)
<b>Total</b>	<b>776 (189)</b>	<b>780 (245)</b>	<b>4057 (526)</b>	<b>3728 (530)</b>	<b>4833 (651)</b>	<b>4508 (623)</b>

The total-BPTs from all host groups were compared with each other and if identical, they were regarded as SH-BPTs (shared between two or more host groups). In contrast, non-identical BPTs were regarded as UQ-BPTs (specific to individual host group). In the local database, 118 UQ-BPTs and 71 SH-BPTs for enterococci and 137 UQ-BPTs and 108 SH-BPTs for *E. coli* were found, whilst the existing database contained 295 UQ-BPTs and 231 SH-BPTs for enterococci and 273 UQ-BPTs and 257 SH-BPTs for *E. coli* (Table 4.3) indicating that 62% of enterococci UQ-BPTs and 75% of *E. coli* UQ-BPTs found in the local database were already present in the existing database. The mean percentage of total UQ-BPTs over total-BPTs in the local database was

62.4% (for enterococci) and 55.9% (for *E. coli*). For the existing database, these figures were 56% and 51% respectively (Table 4.3). When the local and existing database were merged, 340 UQ-BPTs and 311 SH-BPTs for enterococci and 307 UQ-BPTs and 316 SH-BPTs for *E. coli* were found and the mean percentage of total UQ-BPTs decreased to 52.2% (for enterococci) and 49.2% for (*E. coli*) respectively (Table 4.3).

**Table 4.3** Number of unique (UQ) and shared (SH) enterococci (ENT) and *Escherichia coli* biochemical phenotypes (BPTs) of host groups in the local, existing and merged databases. NT: Not tested

Host groups	Local database				Existing database				Merged database			
	ENT		<i>E. coli</i>		ENT		<i>E. coli</i>		ENT		<i>E. coli</i>	
	UQ	SH	UQ	SH	UQ	SH	UQ	SH	UQ	SH	UQ	SH
Human	19	9	44	13	66	28	69	23	80	30	71	31
Horses	21	10	17	17	54	22	32	28	72	24	38	36
Dogs	16	5	19	17	24	25	32	32	25	39	40	37
Ducks	30	12	11	22	29	29	32	37	36	45	33	43
Cattle	21	19	22	19	23	24	24	29	31	49	31	42
Chicken	11	16	24	20	41	33	33	26	38	54	43	45
Pigs	NT	NT	NT	NT	28	26	25	28	28	26	25	28
Sheep	NT	NT	NT	NT	7	14	11	19	7	14	11	19
Deer	NT	NT	NT	NT	13	15	9	22	13	15	9	22
Kangaroos	NT	NT	NT	NT	10	15	6	13	10	15	6	13
<b>Total</b>	<b>118</b>	<b>71</b>	<b>137</b>	<b>108</b>	<b>295</b>	<b>231</b>	<b>273</b>	<b>257</b>	<b>340</b>	<b>311</b>	<b>307</b>	<b>316</b>

In the local as well as the existing and merged database, certain SH-BPTs were only associated with animals whereas some were shared between human and animals. The latter was excluded from all databases, as they could not differentiate the sources between these groups. All BPTs (i.e. UQ or SH-BPTs) from animal host groups that were not found in humans were collectively categorized as animal-BPTs. In the new database the animal-BPTs consisted of 161 enterococci and 188 *E. coli* BPTs of which 99 (61%) enterococci and 93 (49%) were UQ-BPTs (see Tables 4.2 and 4.3). For the existing database these figures were 432 enterococci BPTs and 438 *E. coli* BPTs of which, 229 (53%) enterococci BPTs and 204 (47%) *E. coli* BPTs were UQ-BPTs (see Tables 4.2 and 4.3). For the merged database these figures were 541 enterococci BPTs and 521 *E. coli* BPTs of which, 260 (48%) enterococci BPTs and 236 (45%) *E. coli* BPTs were UQ-BPTs (see Tables 4.2 and 4.3).

#### 4.3.3 Faecal source tracking

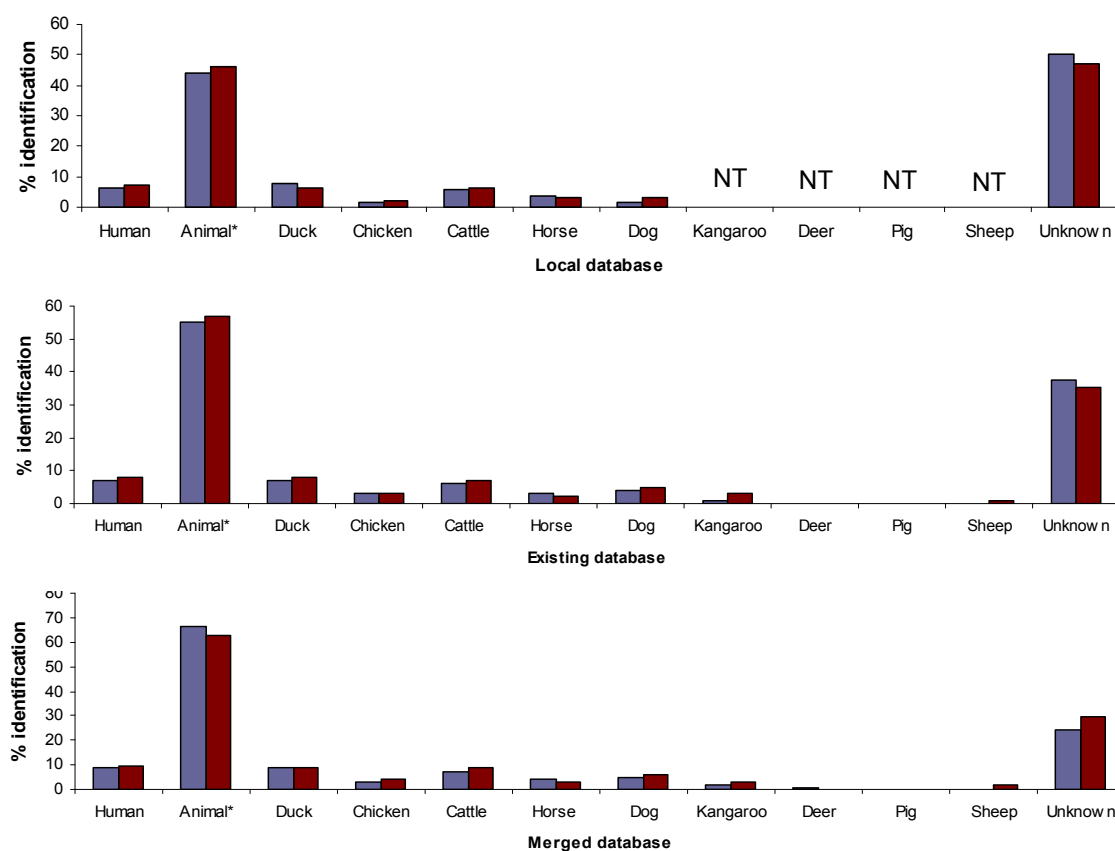
In order to identify the non-point source(s) of faecal contamination, BPTs from the local, existing and merged databases were compared with the BPTs found in water samples. From all sampling sites, 197 enterococci

BPTs and 179 *E. coli* BPTs were obtained (see Table 4.1). Of the 197 enterococci BPTs, 12 (6%) were identical to human (i.e. UQ-BPTs) and 87 (44 %) belonged to animals (i.e. animal-BPTs) when compared with the local database (Table 4.4). However, the remaining 98 (50%) BPTs could not be identified to any host groups. Of the 179 *E. coli* BPTs obtained from the same water samples, 13 (7.2%) were of human BPTs and 82 (45.8%) belonged to animal-BPTs and the remaining 84 (46.9%) could not be identified. These figures for the existing database were 14 (7.1%) enterococci BPTs and 14 (7.8%) *E. coli* BPTs for human (i.e. UQ-BPTs) and 109 (55.3%) enterococci BPTs and 102 (57%) *E. coli* BPTs for animals (i.e. animal-BPTs). In contrast, the ability of the merged database to identify environmental BPTs was higher than that of the local database. Eighteen enterococci BPTs (9.1%) and 17 (9.5%) *E. coli* BPTs were identified as human, therefore the efficacy of the merged database has improved 50% (for enterococci) and 30% (for *E. coli*) over the local database.

Comparison of total-BPTs found in water samples over the sampling period with the local database showed that 44% enterococci and 45.8% *E. coli* BPTs were identical to animal-BPTs whereas these figures for the existing database were 55.3% for enterococci and 57% for *E. coli*. The merged database also showed an improvement over both databases (i.e. 66% for enterococci and 63% for *E. coli*) (Figure 4.3). Importantly, certain animal-BPTs were shown to be unique to an individual animal group. Distribution of enterococci and *E. coli* human UQ-BPTs, animal BPTs as well as animal UQ-BPTs according to the (a) local, (b) existing and (c) merged as shown in Figure 4.3. The level of human (UQ-BPTs) contribution was higher than any other animal host groups with an exception in the local database, where duck (7.6%) enterococci UQ-BPTs contributed more than those of humans (6%). According to the local database, among animal groups, ducks contributed more than any others (7.6% for enterococci and 6% for *E. coli*) followed by cattle and horses. Similar results were also found with the existing and merged database, which identified that the contribution from ducks, was highest (Figure 4.3), followed by cattle and dogs.

**Table 4.4** Comparison of enterococci (ENT) and *Escherichia coli* Biochemical phenotypes (BPTs) from water samples with the new, existing and merged databases.

Sampling sites	Local database				Existing database				Merged database			
	Human UQ		Animal BPTs		Human UQ		Animal BPTs		Human UQ		Animal BPTs	
	ENT	<i>E. coli</i>	ENT	<i>E. coli</i>	ENT	<i>E. coli</i>	ENT	<i>E. coli</i>	ENT	<i>E. coli</i>	ENT	<i>E. coli</i>
CU1	4 (18)	1 (2)	14 (29)	9 (32)	2 (16)	1 (2)	19 (65)	14 (41)	2 (16)	1 (2)	22 (76)	16 (49)
CU2	1 (2)	0 (0)	12 (50)	7 (42)	0 (0)	1 (4)	20 (62)	8 (28)	0 (0)	1 (4)	21 (66)	9 (29)
CU3	1 (2)	3 (5)	9 (35)	17 (48)	0 (0)	3 (5)	17 (45)	19 (53)	0 (0)	4 (6)	21 (53)	21 (57)
CU4	2 (4)	2 (7)	12 (50)	12 (37)	3 (9)	2 (7)	13 (73)	16 (43)	3 (9)	2 (7)	15 (83)	17 (48)
CU5	1 (1)	3 (9)	12 (39)	8 (21)	1 (1)	3 (7)	17 (81)	11 (38)	2 (2)	5 (11)	19 (90)	13 (43)
CU6	2 (3)	4 (4)	16 (30)	17 (35)	3 (7)	2 (3)	14 (61)	20 (38)	4 (9)	2 (3)	19 (70)	21 (41)
CU7	1 (4)	0(0)	12 (32)	12 (27)	5 (11)	2 (6)	9 (31)	14 (65)	7 (14)	2 (6)	12 (38)	16 (73)
<b>Total</b>	<b>12 (34)</b>	<b>13 (27)</b>	<b>87</b>	<b>82</b>	<b>14 (44)</b>	<b>14 (34)</b>	<b>109</b>	<b>102 (306)</b>	<b>18 (50)</b>	<b>17 (39)</b>	<b>131 (476)</b>	<b>113 (340)</b>
			<b>(265)</b>	<b>(242)</b>			<b>(418)</b>					



the merged database. \* indicates total biochemical phenotypes (BPTs) (unique and shared BPTs) from all host groups. NT: Not tested.

## 4.4 Discussion

Database-dependent methods have been extensively used to trace the sources of faecal contamination in surface waters by typing faecal indicator bacteria such as enterococci (44, 121, 122, 130, 223, 224, 304), *E. coli* (44, 67, 153, 299) or a combination of both (3, 4, 129). The reliability of these indicators in terms of their temporal and geographical variability has been questioned (110). For instance, it has been reported that genetic variation exists among *E. coli* and this may increase with increased distance for certain host groups (125) or during the transition from a primary habitat (e.g. human) to a secondary habitat (e.g. septic tanks) (109). There are also uncertainties over the number of isolates required to offset this temporal and spatial variability, and the inability to develop more regionally based database dependent methods may equally be founded on this limitation.

In this component of the study an evaluation is made of an existing database with a new local database. Using the locally developed database, it was shown that both indicator bacteria used in this study (i.e. enterococci and *E. coli*) were equally capable of identifying the non-point sources of faecal contamination in the studied catchment. As identified and discussed in the chapter 3, a combination of both indicator bacteria increased the confidence level of correct source-identification by complimenting each other when one indicator bacterium alone failed to identify the source at a particular site. For instance, faecal contamination at sites CU2 and CU3 could not have been identified as being of human origin if enterococci only database had been used. The local database, although smaller than the existing database, is nonetheless comparable with other databases reported in the literature (43, 44, 67, 125, 197, 223, 249, 299).

When a comparison was made of the local and existing database some interesting results were identified. It was shown that the local database was capable of identifying the sources of more than 50% of faecal contamination in the studied lake. A major limitation of the local database however, included the misidentification of sources, and this is a common feature of small databases. For instance, the local database identified 4 UQ-BPTs of enterococci as human at site CU1. However, when the existing database was also used, 2 of these were found to be shared with other host groups, suggesting that results from a database comprising a small number of isolates (i.e. up to 500) should be interpreted with great care. Management decisions based on such misinterpretations could potential misdirected scarce local human and financial resources.

In the local database, the mean percentage of UQ-BPTs over total-BPTs was higher than the existing database. This was due to the fact that the local database consisted of a relatively small number of isolates (i.e. 776 enterococci and 780 *E. coli*) and therefore comparisons among 6 host groups yielded higher UQ-BPTs, whilst the existing database consists of a large number of isolates (i.e. 4,057 enterococci and 3,728 *E. coli*) and from a wider range of host groups (i.e. 10 host groups), therefore comparisons of these BPTs would yield a lower percentage of UQ-BPTs than that of the local database. Not surprisingly, the mean percentage of UQ-BPTs further decreased when the local and the existing databases were merged.

More than 61% enterococci and 75% *E. coli* UQ-BPTs from the local database were already present in the existing database indicating a high representativeness of the UQ-BPTs in the existing database. Furthermore, the number of UQ-BPTs within each host group of the existing database did not change by addition of the new BPTs in each group from the local database also suggesting that the UQ-BPTs in the existing database are specific to host groups. The existing database, although developed from host



groups residing in another catchment, identified 7.1% of enterococci BPTs and 7.8% of *E. coli* BPTs as being of human origin, which was higher than that identified by the local database (i.e. 6% for enterococci and 7.2% for *E. coli*). Similarly, the existing database identified more than 55% (for both faecal indicator bacteria) of the BPTs as animal-BPTs, which was also higher than that of the local database (i.e. approximately 45% for both indicator bacteria). As expected, the performance of the existing database to identify the source of faecal indicator bacteria was improved to 75.6% for enterococci and 70.6% for *E. coli* when the two databases were merged.

The stability of the character of an indicator bacteria used for fingerprinting is an important factor for a database dependent method (304). A recent study has successfully used a merged phenotypic database to trace the sources of faecal contamination in multiple catchments (304). However, the stability of the typing characters of such database has not been reported and may require regular updating by testing more bacterial isolates preferably from different catchments. The overall stability of the existing database was tested after 9 months by re-typing 50 randomly selected strains representing different UQ-BPTs (data not shown) and it was found that they were highly stable. Similar results on the stability of the typing characters used in the biochemical fingerprinting have been reported using laboratory conditions (157).

The existing database also included samples from deer, sheep and pigs that were not included in the local database, as these animals either do not exist in this catchment or their numbers and therefore faecal contribution to the studied lake was considered negligible. When the UQ-BPTs of these host groups were compared from the existing database to the water samples and it was found that only a few UQ-BPTs (one enterococci BPT from deer and one *E. coli* from sheep) were identical with those found in water samples. It can be postulated that either these two BPTs are not unique to deer and sheep or they may have come from a very small number of these host groups that may exist in the catchment. Certain BPTs of both faecal indicator bacteria found in water samples did not match any of the databases tested. It is possible that these BPTs were originally shared between human and animals and therefore were excluded from the database. It is also possible that they may have come from other sources such as wild birds or other wild animals, which are not included in the database. Certain sampling sites showed much higher *E. coli* counts than others (i.e. site CU5-CU7). Although, these sites are serviced by local STPs, they are extensively used for recreational activities and, considering the level of contamination these STPs are an unlikely contributor. A more likely cause may be the storm water pipes draining into these sites.

In conclusion, this study demonstrated that whilst a locally developed database could partially identify the sources of faecal contamination in the studied lake, the existing database developed based on a

stringent sampling protocol and from a wider range of host groups could be highly representative and identify sources of contamination with higher efficiency than the small locally developed database. As no database is complete, addition of new data obtained from other catchments will always improve the performance of the existing databases. The percentage of the improvement however, depends on the size and representativeness of the existing database, which in this study proved to be quite high.

## CHAPTER 5

### Identification of virulence genes in *Escherichia coli* strains

#### 5.1 Introduction

*Escherichia coli* strains are normal inhabitants of the gut of warm-blooded animals including humans (51). While most gut resident *E. coli* strains are not pathogenic, certain strains may carry virulence genes which enable them to cause intestinal infections such as diarrhoea or haemolytic colitis, or extra-intestinal infections such as neonatal meningitis, nosocomial septicaemia, haemolytic uremic syndrome, urinary tract and surgical site infections (76, 272). Virulent strains can be categorized as enteropathogenic *E. coli* (EPEC), enterotoxigenic *E. coli* (ETEC), enteroinvasive *E. coli* (EIEC), enterohaemorrhagic *E. coli* (EHEC), enteroaggregative *E. coli* (EAEC), and diffusely adherent *E. coli* (DAEC) (209). These pathogenic strains may cause disease not only in humans but also in animals. For instance, it has been reported that ETEC and EHEC are found in cattle (16, 218) and other ruminants (205) due to structural differences in the toxin molecules produced by different strains. For instance, heat labile toxin 1 (i.e. LT1) and heat stable toxin 1 (i.e. ST1) have been found in humans and/or pigs, while heat stable toxin 2 (i.e. ST2) is found only in humans (42, 72, 119).

Warm-blooded animals as well as humans may release such bacteria into the environment where they may be transported to surface water via surface and sub-surface run-off (94, 203, 249). Contamination of surface waters with these pathogenic strains of *E. coli* has been reported to result in an increase in the number of outbreaks and deaths (78, 216). These strains may also belong to several phenotypes and/or serotypes. It has been reported that more than 100 serotypes of *E. coli* may carry verotoxin (VT) genes although, not all of them have been implicated in human disease because they may not possess the additional virulence attributes required for pathogenesis.

The presence/absence of *E. coli* and other faecal indicator bacteria in surface water can only be used to predict the quality of water and do not provide definitive information with respect to their possible source(s) (103, 130, 173, 197, 299) and health risks that may have been associated with faecal contamination. Whilst MST methods may provide information with regard to the sources of faecal contamination they are not sufficiently indicative enough to identify the health risks associated with such contamination. It has been reported that there are almost 30 virulence genes present in *E. coli* strains with the potential to cause disease (109). The use of polymerase chain reaction (PCR) technique has made it easy to detect the presence of these genes within a short period of time.

During the development of the metabolic fingerprint database for this thesis and assignment of the UQ-BPTs to each host group, it was hypothesized that strains belonging to these BPTs may also contain specific virulence properties, which differentiate them from others in different host groups. From the public health point of view, such information will help identifying risks associated with the use of surface waters for recreational activities. In this study, attempts were made to test representative isolates from all host groups and water samples for the presence of certain virulence genes associated *E. coli* strains causing intestinal and extra-intestinal infection in human and animals.

## **5.2 Materials and methods**

### **5.2.1 Sources of isolates**

Among the 3,107 *E. coli* isolates tested from 9 animal host groups, 438 BPTs were found (see chapter 3). One representative strain of each BPT (i.e. 438 isolates) was saved in vials containing 1.5 ml tryptic soy broth (Oxoid) with 15% glycerol at  $-80^{\circ}\text{C}$ . In all, 204 isolates were selected for this study. If a strain from any of the animal groups carried one or more virulence genes, the corresponding BPT in the water samples was identified and tested for the presence of similar genes. Among the 550 *E. coli* isolates tested from creek water samples, 282 BPTs were found (see chapter 3). One representative of each BPT (i.e. 282 isolates) was saved for further analysis. In all, 80 isolates were tested for the presence of 15 virulence genes.

During an earlier component of this study, it was recognised that human faecal contamination was reaching surface waters via defective septic tanks (chapter 2), while strains from both the septic tanks and surface water were tested for the biochemical fingerprint, no representative isolate was saved for further evaluation. In this study, to identify whether *E. coli* strains from human can also carry virulence genes, samples from 8 defective septic tanks in Eudlo Township were collected and tested. The selected 8 septic tanks were shown to contribute faecal indicator bacteria into the creek and are located within 60 to 70 m of the creek (see chapter 2). Fifty millilitres of faecal materials in septic tanks were collected in 100 ml sterile bottles and transported to the lab on ice and total genomic DNA was extracted within 2 h after collection.

### **5.2.2 DNA extraction from septic tank samples**

The whole genomic DNA extraction from septic tank samples was performed with the Stool mini kit (QIAgen, Victoria, Australia) according to the manufacturer's instruction. In brief, 100  $\mu\text{l}$  effluents were added into 50 ml tubes and centrifuged at 30,000 rpm for 10 mins to obtain cell pellet. The cell pellet was resuspended in 200  $\mu\text{l}$  sterile MilliQ water. 1.4 ml buffer ASL was added to each tube and the suspension was heated for 5 min at  $70^{\circ}\text{C}$  and centrifuged at 30,000 rpm to pellet effluents particle. The pellet was discarded and the supernatant was transferred into a new 2 ml tube. InhibitEX tablet was

added to each tube and centrifuged at 30,000 rpm for 3 mins to pellet inhibitors bound to inhibitEX. All the supernatant was transferred into new 1.5 ml tube and 15 µl Proteinase K was added and centrifuged for 3 mins. In the next step, 200 µl buffer AL was added and vortexed for short time. After incubation 10 mins at 70°C, 200 µl of ethanol (96-100%) was added to the lysate. The lysate was transferred to QIAamp spin column, centrifuged at 30,000 rpm for 1 min and 500 µl buffer AW1 was added and centrifuged at 30,000 rpm for 1 min followed by adding 500 µl Buffer AW2. The tubes were then centrifuged for 3 min and 200 µl buffer AE added directly on the QIAamp membrane. The tubes were then centrifuged to elute DNA.

### 5.2.3 DNA extraction from isolates

*E. coli* isolates were streaked on McConkey's agar (Oxoid, UK) from -80°C vials and single colonies were streaked to confirm their purity. A single colony was then inoculated into 50 ml flask containing 10 ml Luria Bertani (LB) broth made of 10 g (w/v) tryptone (Oxoid, UK), 5 g (w/v) NaCl, 5 g (w/v) yeast extract (Oxoid, UK) and 1 L distilled water. The flasks were kept in an incubator shaker at 110 rpm for 18 h. The DNA extraction was performed by xanthogenate extraction method (273). Xanthogenate solution consisted of 0.5 g (w/v) potassium ethyl xanthogenate (Fluka, Buchs, Switzerland), 10 ml (4 M) ammonium acetate (Sigma USA), 5 ml (1M) Tris-HCl (Sigma USA), 2 ml (0.45 M) EDTA (Merck Pty Ltd, Australia) 2.5 ml (20%) SDS (Bio-Rad Laboratories, USA) and 30 ml de-ionized water to make a volume of 50 ml stock solution. In brief, 1 ml of bacterial growth cultures were inoculated into 1.5 ml sterile tubes and centrifuged at 12,000 rpm for 3 min. The supernatant was removed by decanting followed by pipetting. One millilitre of xanthogenate extraction solution was added to the cell pellet, mixed and kept in a waterbath at 65°C for 2 h, vortexing every 30 min. The cell debris were precipitated by keeping the tubes on ice for 10 min. The tubes were then centrifuged at 12,000 rpm for 10 min and the supernatant was transferred into fresh tubes containing 1 ml of iso-propanol alcohol followed by mixing to precipitate the DNA and kept in room temperature for 5 min. The tubes were centrifuged again at 12,000 rpm for 10 min, the supernatant were removed and the DNA pellet was suspended in Milli-Q water and stored at -20°C.

### 5.2.4 PCR amplification

Fifteen sets of primers were used in this study. Primer sets (Table 5.1) were diluted according to gene works instructions (Gene Works, Australia). Primer sets for the attachment and effacement (*eaeA*) gene, verotoxin (VT) 1,2 and 2e, heat-labile toxin (LT) 1, heat-stable toxins (ST) 1 and 2, enteroinvasive (Einv) gene, enteroaggregative (EAgg) gene, cytotoxic necrotizing factors (CNF) 1 and 2 were diluted to 25 pmoles concentrations. However, primers for haemolysin A (*hlyA*), pyelonephritis-associated pili (*pap*) C, LPS O111 and O157side chain, were diluted to a concentration of 50 pmoles. A standard master mix of 11.8 µl sterile Milli-Q water, 2.4 µl MgCl<sub>2</sub>, 2.4 µl reaction buffer, 2 µl deoxyneucleoside triphosphates

(DNTPs), 0.16 µl of Taq polymerase (Fisher- biotech), 0.4 µl forward and 0.4 µl reverse primer was used per reaction. This resulted in a total volume of 19.56 µl per tube. Two µl of DNA template was added in each tube. For *hlyA* and *papC*, MgCl<sub>2</sub> concentration gradient was performed for optimization. This resulted in a master-mix of 11.9 µl sterile Milli-Q water, 2.5 µl Mgcl<sub>2</sub>, 2.4 µl reaction buffer, 2 µl DNTPs, 0.16 µl of Taq polymerase, 0.3 µl forward and 0.3 µl reverse primer per reaction and a total volume of 19.56 µl per tube. Table 5.1 shows the primer sequence and the amplicon size of the genes tested.

PCR (Eppendorf, Mastercycler gradient, Germany) for *eeA*, VT1, VT2, VT2e, LT1, ST1, ST2, Einv, Eagg, CNF1, CNF2 was performed as previously described by Pass *et al.* (226) and consisted of 5 cycles of 95°C for 30 sec and 72°C for 1 min followed by 25 cycles at 95°C for 30 sec; 63°C for 30 sec, 72°C for 30 sec and 1 cycle of 72°C for 5 min. PCR amplification of *hlyA* consisted of 1 cycles of 94°C for 30 sec; 30 cycles of 94°C for 30 sec, 55°C for 1 min, 68°C for 6 min and 1 cycle of 72°C for 10 min. PCR for O157 and for O111 LPS side-chain it consisted of 35 cycles of 95°C for 1 min, 65°C for 2 min for the first 10 cycles, decrementing to 60°C by cycle 15 and 72°C for 1.5 min incrementing to 2.5 min from cycles 25 to 35. 100 bp ladders (GeneWorks) used to assess the PCR for all of the primers except *hlyA* for which 1 kb ladders (GeneWorks) were used. To detect the amplified product, 3 µl aliquot of the PCR product was examined by electrophoresis through 1.5% agarose gel (Progen Australia) in 1 x TAE buffer (50 X TAE: 242 g Tris base, 57.1 ml glacial acetic acid, made up to 1 L with H<sub>2</sub>O. Identification of the bands was established by comparison of the band sizes with molecular weight markers of 100 bp and 1 kb ladder (Geneworks) after staining with ethidium bromide.

**Table 5.1** The primer sequence and the amplicon size of 15 virulence genes found among *Escherichia coli* strains associated with intestinal and extra-intestinal sites.

Target Gene	Pathogenic factor	Primer Sequences (5'-3')	Amplicon size (base pairs)	Control <i>E. coli</i> strains	Reference
<i>eaeA</i>	Attaching and effacing (EPEC)	F: 5'-TGAGCGGCTGGCATGAGTCATAC-3' R: 5'-TCGATCCCATCGTCACAGAGG-3'	241	12079	(226)
EAgg	Enteroaggregative adhesion (EAEC)	F: 5'-AGACTCTGGCGAAAGACTGTATC-3' R: 5'-ATGGCTGTCTGTAATAGATGAGAAC-3'	194	Hague	(226)
Einv	Invasion (EIEC)	F: 5'-TGGAAAAAATCAGTGCCTCTGCGG-3' R: 5'-TTCTGATGCCCTGATGGACAGGAG-3'	140	D434	(226)
VT1	Verotoxin (EHEC)	F: 5'-ACGTTACAGCGTGTGGTGGGATC-3' R: 5'-TTGCCACAGACTGGCTCAGTTAGG-3'	121	12079	(226)
VT2	Verotoxin (EHEC)	F: 5'-TGTGGCTGGTTCGTTAATACGGC-3' R: 5'-TTGCCACAGACTGGCTCAGTTAGG-3'	102	12079	(226)
VT2e	Verotoxin (EHEC)	F: 5'-CCAGAAATGCAGATAAATGCGGAC-3' R: 5'-GCTGAGCACTTTGTAAATGGCTG-3'	322	E40883	(226)
O111	Side-chain LPS (EHEC)	F: 5'-TAGAGAAATTATCAAGTTAGTTCC-3' R: 5'-ATAGTTATGAACATCTTGTTAGC-3'	406	97m 2716	(227)
O157	O157:H7 Side-chain LPS (EHEC)	F: 5'-CGGACATCCATGTCATATGG-3' R: 5'-TTGCCTATGTACAGCTAATCC-3'	259	9602-5069	(227)
<i>hlyA</i>	A-haemolysin (UPEC)	F: 5'-GACAAAAGCAGAAAAGATG-3' R: 5'-CAACTGCAATAAAGAAAGC-3'	2930	J96	(37)
CNF1	Cytotoxic necrotizing factor 1 (UPEC)	F: 5'-GGCGACAAATGCAGTATTGCTTGG-3' R: 5'-GACGTTGGTTGCGGTAAATTTGGG-3'	552	7/6/96, MAP	(226)
CNF2	Cytotoxic necrotizing factor 2 (UPEC)	F: 5'-GTGAGGCTCAACGAGATTATGCACTG-3' R: 5'-CCACGCTTCTTCTCAGTTGTTCTC-3'	839	7/6/96, MAP	(226)
<i>papC</i>	P fimbria (UPEC)	F: 5'-GACGGGTACTGCAGGTGTGGCG-3' R: 5'-ATATCCTTCTGCAGGGATGCAATA-3'	328	J96	(37)
LT1	Heat labile toxin 1 (ETEC)	F: 5'-TGGATTTCATCATGCACCACAAGG-3' R: 5'-CCATTCTCTTTTGGCTGCCATC-3'	360	0147:K89	(226)
ST1	Heat-stable toxin 1 (ETEC)	F: 5'-TTTCCCTCTTTTATGTCAGTCAACTG-3' R: 5'-GGCAGGATTACAACAAGTTACACAG-3'	160	11602	(226)
STII	Heat-stable toxin 2 (ETEC)	F: 5'-CCCCCTCTCTTTTGCACCTCTTTCC-3' R: 5'-TGCTCCAGCAGTACCATCTCTAACCC-3'	423	0149:K+K88	(226)

### 5.2.5 Serotyping

Isolates carrying one or more virulence genes were serotyped at Microbiological Diagnostic Unit, Public Health Laboratory, Department of Microbiology and Immunology, University of Melbourne, Vic. All strains were streaked on MacConkey, sorbitol MacConkey and sheep blood agar for purity. The isolates were then serotyped using previously described methods (29, 50). Overnight nutrient broth cultures (Oxoid CM1), streamed for 1 h were used as O antigens. Following repeated passage through semisolid medium, suspensions observed microscopically and strains showing motility were treated with 0.05% (v/v) formaldehyde and these served as H antigens. Strains which showed no motility were considered non-motile and designated H-.

## 5.3 Results

Table 5.2 shows the number of strains tested from different animal groups and the number of isolates they represent. In all, 204 strains (i.e. 204 BPTs) were tested from the animal host groups and 80 strains (i.e. 80 BPTs) were tested from water samples (Table 5.2). Distribution of these strains into unique (UQ) and shared (SH) BPTs and their corresponding isolate has been shown in Table 5.2.

**Table 5.2** Number of strains tested from different animal host groups and water samples. Shared BPTs: found in more than one host group, Unique BPTs: found only in one host group. NA: Not applicable.

Sources	No. of strains tested (No. of isolates)	Distribution of strains into BPTs	
		Shared BPTs (No. of isolates)	Unique BPTs (No. of isolates)
Horses	30 (129)	16 (73)	14 (56)
Dogs	33 (96)	14 (29)	19 (67)
Ducks	32 (118)	7 (32)	25 (86)
Cattle	32 (123)	16 (72)	16 (51)
Chicken	31 (73)	9 (16)	22 (57)
Pigs	21 (67)	6 (7)	15 (60)
Sheep	6 (22)	-	6 (22)
Deer	12 (18)	4 (5)	8 (13)
Kangaroo	7 (18)	2 (2)	5 (16)
<b>Sub-total</b>	<b>204 (664)</b>	<b>74 (236)</b>	<b>130 (428)</b>
Creek water	80 (195)	NA	NA
<b>Total</b>	<b>284 (859)</b>	-	-



### 5.3.1 Prevalence of virulence genes

In all, 28 (13.7%) out of the 204 strains tested from 9 host groups contained one or more virulence genes. These included 2 strains from horses, 8 from dogs, 2 from ducks, 5 from cattle, 7 from chicken, 1 from pigs and 3 from deer (Table 5.3). No virulence genes were identified among sheep and kangaroos. Eighteen out of above 28 strains (64%) were unique to individual host groups (i.e. UQ-BPTs) and the remaining 10 were shared between two or more host groups (i.e. SH-BPTs) (e.g. 1 BPT from horse was shared with cattle) (Table 5.3). Five (6.3%) out of the 80 strains tested from water samples, carried one or more virulence genes (Table 5.3).

**Table 5.3** Strains from different host groups and water samples carrying one or more of the 15 virulence genes tested. SH-BPTs: Shared BPTs (found in more than one host group). UQ-BPTs: Unique-BPTs (found only in one host group). <sup>A</sup>: shared with cattle, <sup>B</sup>: shared with horses, cattle, sheep and pigs. <sup>C</sup>: shared with duck, horses, chicken and kangaroos; <sup>D</sup>: shared with ducks. NA: Not applicable.

Sources	No. of strains carrying virulence genes (representative isolates)	Distribution of strains into BPTs		% of strains carrying virulence genes over total number of strains tested
		SH-BPT (No. of isolates)	UQ-BPT (No. of isolates)	
Horses	2 (12)	1 (11) <sup>A</sup>	1	6.70
Dogs	8 (52)	3 (29) <sup>B</sup>	5 (23)	24.2
Ducks	2 (3)	-	2 (3)	6.30
Cattle	5 (99)	5 (99) <sup>C</sup>	-	15.6
Chicken	7 (12)	1 (2) <sup>D</sup>	6 (10)	22.6
Pigs	1 (13)	-	1 (13)	4.76
Sheep	-	-	-	-
Deer	3 (5)	-	3 (5)	25.0
Kangaroo	-	-	-	-
<b>Sub-total</b>	<b>28 (196)</b>	<b>10 (141)</b>	<b>18 (55)</b>	<b>13.72</b>
Creek water	5 (7)	NA	NA	6.3
<b>Total</b>	<b>33 (203)</b>	-	-	<b>11.6</b>

When the percentage of strains carrying virulence genes were calculated over the number of total strains tested from each animal groups (see table 5.2), it was found that dogs chickens and deer carried the highest percentage of virulence genes (Table 5.3).

### **5.3.2 Distribution of virulence genes**

Among the 28 strains carrying one or more virulence genes, 13 (46.4%) carried *eaeA*, 7 (25%) were carried *papC*, 3 (10.7%) carried *hlyA*, 3 (10.7%) carried CNF1 and 1 (3.6%) carried CNF2, 3 (10.7%) carried O157 side-chain LPS, 4 (14.3%) carried VT1, 1 (3.6%) carried VT2 and 1 (3.6%) carried VT2e genes (Table 5.4). Of these, 5 (17.8%) BPTs carried more than one virulence gene. Five strains from water samples also carried one or more virulence genes. Of these, 2 were carrying *hlyA* gene with *eaeA* (1 BPT) or with CNF2 (1 BPT) genes (Table 5.4). Serotyping of these strains showed that they belong to different O and H serotypes (Table 5.4).

**Table 5.4** Distribution of virulence genes among *Escherichia coli* isolates found in 9 host groups and water samples. See table 1 for description and function of virulence genes. NA; Not applicable. Number in brackets indicates the number of isolates.

Sources of isolates			Strains code	Serotype	Distribution of strains into BPTS		Virulence genes									
					UQ	SH	eaeA	papC	hlyA	CNF1	CNF2	O157	VT1	VT2	VT2e	
Animal species																
Horses	H 62	O2:H18	1 (1)	-	+	+	+	+	-	-	-	-	-	-	-	
	H 37	O146:H-	-	1 (11)		+		-	-	-	-	-	-	-	-	
Dogs	D0 90	O21/83:H	1 (9)	-	+	+	+	+	-	-	-	-	-	-	-	
	31															
	D0 96	Ont:H25	-	1 (4)	-	+		-	-	-	-	-	-	-	-	
	D0 102	Ont:H49	1 (4)	-	-	+		-	-	-	-	-	-	-	-	
	D0 105	O126:H25	1 (7)	-	-	-	-	+	-	-	-	-	-	-	-	
	D0 112	Ont:H25	-	1 (21)	-	+		-	-	-	-	-	-	-	-	
	D0 113	Ont:H4	1 (2)	-	+	-	-	-	-	-	-	-	-	-	-	
	D0 120	Ont:H7	-	1 (4)	-	-	-	-	+	-	-	-	-	-	-	
	D0 133	Ont:H-	1 (1)	-	-	-	-	-	-	-	-	+	-	-	-	
	Ducks	D 77	Ont:HR	1 (2)	-	+	-	-	-	-	-	-	-	-	-	-
Cattle	D 255	Ont:H-	1 (1)	-	+	-	-	-	-	-	-	-	-	-	-	
	C 29	ONT:H7	-	1 (69)	-	-	-	-	-	-	-	-	+	-	-	+
	C 74	O1:H39	-	1 (1)	+	-	-	-	-	-	-	-	-	-	+	-



All faecal samples from the 8 septic tanks showed the presence of 2 or more of virulence genes with the *eaeA* gene being the most common gene found in 7 septic tanks (Table 5.5). Despite BPTs from animals and water samples, none of the samples from septic tanks contained VT2e and CNF2 genes (Table 5.5).

**Table 5.5** Prevalence of virulence genes among faecal samples collected from 8 septic tanks

Septic tank code	Virulence genes						
	<i>eaeA</i>	<i>papC</i>	<i>hlyA</i>	CNF1	O157 LPS	VT1	VT2
SEP 1	-	-	-	+	+	-	+
SEP 2	+	+	+	-	+	-	-
SEP 3	+	-	-	+	+	+	+
SEP 4	+	+	+	+	+	+	+
SEP 5	+	-	-	-	+	-	-
SEP 6	+	+	-	+	-	-	-
SEP 7	+	+	-	+	-	-	-
SEP 8	+	+	-	+	-	-	-

### 5.3.3 Source tracking of virulence genes

Biochemical phenotypes of all 5 strains from water samples carrying virulence genes were compared with those found in host groups. Of these, 3 BPTs (i.e. 3 strains) were shown to be identical to those of dogs (2 strains) and chickens (1 strain) with two BPTs also carrying similar virulence genes (i.e. DO 90 versus W19 and CH 241 versus W 94 in Table 5.6). The other two BPTs contained virulence genes coding for O157 side-chain LPS and CNF2 were not identical to biochemical fingerprint data. However, none of the strains from water and animals had similar serotypes (Table 5.6).

**Table 5.6** Comparison of *Escherichia coli* strains positive for virulence genes in water samples with their corresponding biochemical phenotypes (BPTs) in animal groups. Ont: O non-typeable. HR: H rough.

Host groups			Water samples		
Designation of strains	Virulence genes	Serotypes	Corresponding strains	Virulence genes	Serotypes
DO 105	CNF2	O126:H25	W 8	<i>hlyA</i> , CNF2	Ont:HR
DO 90	<i>eaeA</i> , <i>hlyA</i>	O21/83:H31	W 19	<i>eaeA</i> , <i>hlyA</i>	Ont:HR
CH 241	<i>eaeA</i>	O120:H26	W 94	<i>eaeA</i>	O167:H45

## 5.4 Discussion

Faecal Indicator bacteria have long been used to determine the presence of potential pathogenic organisms in surface and ground waters (121, 125, 129, 249, 259). Faecal indicators such as *E. coli* and enterococci may be present where faecal contamination originates through defecation of warm-blooded animals (22, 222). Whilst most *E. coli* strains are regarded as commensal, certain strains may carry virulence genes that provide them the ability to cause infection in humans and animals. Pathogenic *E. coli* strains found in both humans and animals have been constantly shown to harbour one or more of these virulence genes (30, 69, 151). If these strains find their way into surface waters (e.g. through defecation of humans or animals) they could cause a serious health risk problem to the public. For instance, the majority of *E. coli* associated with outbreaks of diarrhoea appear to originate from surface and ground waters (112, 295).

On the other hand, it has also been reported that detection of these bacteria in water samples does not always indicate the presence of pathogenic microorganisms in surface waters (101, 115, 271). For instance, studies have shown that human pathogenic viruses (189) have been isolated from sites with low levels of faecal indicator bacteria. None of these studies however, have investigated the pathogenic potential of the indicator bacteria themselves.

In this study, a collection of *E. coli* strains isolated from animals and water samples were tested for the presence of virulence genes. These strains were originally served in this thesis as an indicator to trace the sources of faecal contamination and many of them belonged to unique biochemical phenotypes (BPTs) that were specific to individual host group. Furthermore, some of these strains were found in multiple numbers in each BPT. It was therefore postulated that the identification of virulence genes among these strains would provide a basis for the calculation the prevalence of pathogenic strains in different animal groups. This calculation has not been undertaken for this study, as a number of representative strains were tested. Nonetheless, this approach proved useful in obtaining additional information from the already developed database by simultaneous identification of clinically important strains in host groups and receiving waters. This information can also provided a basis for developing a sub-database of pathogenic *E. coli* and thus reducing the number of *E.coli* strains, which are common to many host groups and are not clinically significant (109).

The sub-database developed as a result of this study consisted of 28 strains that carried one or more of the virulence genes tested. Of these, 18 strains had unique (UQ) biochemical fingerprint and the remaining 10 strains were shared among up to 4 animal groups. The presence of virulence genes among the latter group was interesting and probably indicates that these strains have a better ability to colonise more than one animal group.

Samples collected from septic tanks were tested for the presence of virulence genes by isolating the whole genomic DNA. Under these conditions it is not possible to identify how many of these virulence genes are

found in individual strains. However, the fact that 7 out of 8 samples contained one or more of the virulence genes indicates that *E.coli* strains carrying these genes are present and capable of surviving in the septic tanks. As mentioned before, due to testing the total genomic DNA from these samples, it was not possible to investigate the prevalence of strains carrying virulence genes in the creek samples. Interestingly, the 8 septic systems from which samples were collected were classified as defective when assessed by standard inspection guideline adopted by local government (see chapter 2). Given the prevalence of these virulent strains in the defective septic systems and that *E. coli* BPTs specific to some of these tanks were found in downstream water samples (see chapter 2 table 2.5) it must be considered that these systems are contributing pathogenic strains into the adjacent creek.

Of interest was the positive PCR-result for the *eaeA* gene, or a gene with some homology to *eaeA* among animal groups. This gene has been associated with enteropathogenic (EPEC) and enterohaemorrhagic *E. coli* (EHEC) and is responsible for the attaching and effacing lesions in human enterocytes (148). However, in the absence of any *in vivo* study it is not possible to determine whether strains positive for this gene were in fact capable of expressing them. Of the 7 septic tanks showing the presence of virulence genes, 6 also contained CNF1 genes. While CNF1 has shown to be associated with strains causing diarrhoea in cattle (220), these genes are frequently found among strains causing urinary tract infection and therefore it is much easier to interpret their presence in septic tanks, which receives wastewater from humans, than in animal groups.

Of interest also was the presence of genes coding for O157 side-chain LPS, VT1 and VT2 in some septic tanks. EHEC can cause acute bloody diarrhoea, hemorrhagic colitis (HC) and the life-threatening haemolytic uraemic syndrome (HUS) in humans (14, 114, 268). Among the animal groups, these genes were only found in cattle. A number of septic systems also contained *papC* and *hlyA*. These genes are normally found among *E. coli* strains causing urinary tract infections (89). Indeed, data obtained in this study showed that *papC* gene were more distributed among strains from septic tanks (representing humans) and dogs than other animals. There is a possibility that dogs receive these strains from human, as they are companion animal. None of the strains tested showed the presence of ST1, ST2 and LT1 genes. *E. coli* strains carrying these genes are commonly found among cases of human and animal diarrhoea worldwide (124).

The number of strains carrying virulence genes in water sample was quite low. Only five out of 80 strains tested from water samples carried one or more virulence genes. This could be due to the dilution of these strains in large volume of water in the creek making it easy to escape detection. Of these 5 strains, 3 had biochemical phenotypes identical to those found in the sub-database. Interestingly these 3 strains belonged to UQ BPTs and were specific to dogs (2 BPTs) and chickens (1 BPT) with 2 strains also having similar virulence genes (1 identical to dog strain and 1 identical to chicken strain). Despite that, these strains had different serotypes. The presence of different BPTs within each serotype or vice versa has been reported before (162).

It has to be noted that each strain tested in this study belonged to a different BPT and it was expected that they also differ in their serotype as well. Nonetheless, these data suggest that a combination of serotyping, biochemical fingerprinting and virulence properties can compliment each other in ecological studies. For instance, when biochemical fingerprints alone cannot provide the sources of these strains, serotyping in combination with the virulence genes present in strains can be used to trace the sources of pathogenic strains in surface waters. Such results however, should be interpreted with care as some genes are carried on bacterial plasmids and can be lost or gained when introduced into the environment. In addition, the prevalence of such strains can be quite low in host groups. Therefore, developing a moderate (i.e. 500 isolates) sub-database of BPTs/virulence genes may take quite a sampling effort and testing a large number of isolates from animals.

In conclusion, this study showed that whilst the biochemical fingerprinting method using *E. coli* can be successfully used to trace the source of human and animal faecal contamination in surface water, additional information such as the presence of virulence genes can be obtained by testing representative isolates from each BPT for the presence of different virulence genes associated with pathogenic *E. coli*. This information can also be used to establish a sub-database to simultaneously identify the source of contamination and the presence of pathogenic strains of *E. coli* in each source. From the public health point of view this information will be of great importance in evaluating the risk associated with public use of the catchment.



## CHAPTER 6

### General discussion and conclusion

The objective of microbial source tracking (MST) methods is to identify the various sources of faecal contamination in surface waters. To achieve such, many database- dependent methods have been developed in order to discriminate amongst multiple host groups. Indeed the majority of these methods are capable of quantifying the percent contribution of different sources. On the other hand, database-independent methods have also been developed and used in ecological studies. Database-independent methods are considerably cost effective because development of a reference database is not required. The drawback of such methods is that they cannot be used to discriminate among multiple host groups and are not quantitative.

Irrespective of whether a method is database dependent or independent, all methods may on some occasions yield false positives or negatives in ecological studies. Some of the techniques are time consuming, others labour intensive, and yet others may require use of expensive and sophisticated laboratory equipments. To date, there has been no consensus on a particular MST method as a “gold standard”. An ideal MST method should be rapid, reliable, inexpensive, easily performed, should have a high discriminatory power and require modest resources and minimal technical expertise. The stability of the measuring character of microorganisms is also a major issue to be considered by a MST method as this can directly affect the reproducibility of the data obtained from different laboratories.

One of the elements of this thesis is that the method employed for the evaluation and development of a reference database, known as the biochemical fingerprinting technique, meets many (if not all) characteristics of an ideal MST method. Biochemical fingerprinting method proved to be simple to perform and is rapid, so having the ability to test a large number of bacterial isolates within a short time. The latter characteristic is of particular importance in studying the quality of surface waters in any given catchment, where various non-point sources contribute to the overall load of the bacteria and the diversity of faecal indicators could be high. This method is also more cost effective when compared with some of the available MST methods, requires only a microplate reader for readings the plates. Furthermore, the data analysis is completely supported with the PhPlate software and is user friendly. The software also offers a population similarity analysis between two or more compared populations of indicator bacteria and therefore may provide additional information regarding possible source. Finally, the method also remained highly discriminatory, and the stability of the biochemical fingerprints was high when a collection of the indicator bacteria were re-tested after a period of storage.

Another important feature of this study was the concurrent use of two well-accepted faecal indicator bacteria for the development of databases and in ecological studies. This was undertaken on the assumption that they may compliment each other if one indicator fails to trace the source and therefore, provide a more realistic picture of the possible sources of contamination. It was also assumed that any agreement (if found) between these two indicator bacteria to trace the source of contamination would increase the confidence level of the method.

The third important feature of this study was the development of a large database for both faecal indicator bacteria. Earlier in this thesis, it was recognised that an ideal database should be highly representative of the population of the isolates that are distributed spatially. Furthermore, such a database should ideally consist of well-separated groups as determined by the specificity of their fingerprints. Identical fingerprints of faecal indicator bacteria can be obtained from two or more individual host. These identical isolates most likely represent a clonal group of strains that have spread among certain individuals or species. This has to be carefully investigated and identical isolates within a species should be excluded as they can compromise the efficacy of a database. In constructing the fingerprint database, duplicate fingerprints obtained from an animal of the same species were eliminated. This approach allowed selection of a collection of unique fingerprints from both indicator bacteria within a host group and comparison among host groups yielded specific fingerprints for each host groups.

One of the major problems with many existing database-dependent methods is the lack of a stringent sampling protocol from host groups. Collection of faecal samples is normally done from a few individual animals or from composite samples from few farms, without a pre-assessment of the diversity of the indicator bacteria in the target groups. In this study, special attention was given to the diversity of samples from animal groups as well as their representativeness in the studied catchment and the region. For instance while certain animal groups such as cattle, horses, chickens, ducks and dogs were dominant in the catchment, others were found at low frequency. To capture a better phenotypic diversity of faecal indicator bacteria within each animal group, a preliminary diversity analysis was performed on both indicators within the animals of randomly selected farms and based on the obtained data a comprehensive sampling program was implemented using as many farms as possible (up to 20 farms) within and outside the studied catchment . This approach allowed the collection of diverse and highly representative fingerprints of both faecal indicator bacteria for developing the database. Successful application of this database in a cross-catchment study proved that this was the case.

Another special feature of this study was the application of a population similarity assay together with the direct comparison of fingerprints of two indicator bacteria. This was in fact an additional capability of the PhPlate software that allowed comparing the overall similarities between two or more bacterial populations.

The application of this analysis to trace the source of contamination in both catchment-based studies in this thesis showed a high degree of correlation with the data obtained from direct comparison of fingerprints. This approach, although proving to be simple, and rapid and provided reliable results, should be interpreted with care as in some cases, it compares the overall similarity of bacterial populations between samples and therefore will include those bacteria that are partly or even highly shared between different animal groups and therefore does not specifically identify the contaminating source(s).

The use of two faecal indicator bacteria in this study however, showed that this problem could be partially overcome as the results obtained from both indicator groups complemented each other. Nonetheless, interpretation of results obtained from the population similarity analysis should be done in conjunction with the local knowledge of the native/wild animal sources reside in the catchment.

Analysis of the biochemical fingerprints obtained from different animal groups also indicated that certain fingerprints, though common among two or more animals were not found in samples collected from humans. These particular fingerprints may play a role in differentiating between animal and human contamination in catchment studies. Application of these fingerprints in this thesis showed that this was the case. However, more stringent sampling from human faeces (probably via septic tank) and analysis of indicator bacteria would be required before the application of this concept is fully justified. Water quality managers are primarily interested in discriminating between animal and human sources of faecal contamination. For microbial source tracking, it seems reasonable to expect that a useful technique would identify the sources of greater than 50% of isolates correctly when there are several possible source categories in a catchment. In this study, the developed database was able to identify more than 65% of both faecal indicator bacteria in the studied creek. The remaining unidentified sources could have originated from other sources such as birds and other wild animals or because some undetected fingerprints were shared between human and animals and therefore it was not possible to discriminate between the sources.

In this study, a large number of representative faecal indicator bacteria from water samples were tested and therefore it was possible to determine the percentage contribution of different host groups. This is again another important concept in MST studies, as the diversity of indicator bacteria in surface waters is quite high. Reviewing the literature earlier in this thesis indicated that MST as used in many studies is a determining factor for testing the number of isolates from surface waters due to the high costs and resources involved. The net effect of testing small numbers of isolates in such studies is the lack of accuracy and reliability of the results in determining the percentage contribution of non-point sources in a given study. This however, was not the case in this study as the cost and time needed to test high number of isolates with the PhPlate system was considerably lower than many corresponding methods used for MST.

Another important issue in MST is to establish a level of awareness of the level of contribution of different point and non-point sources of contamination in a catchment during both the dry and the rainy seasons. Application of the established database in this study during both the dry and wet season showed that the predictive capacity of the database for both faecal indicator bacteria was higher in the wet season than the dry season. This may be due to the fact that during the wet season, surface water receives a large number of bacteria through surface run-off and therefore the chance of finding similar fingerprints of the indicator bacteria is higher than during the dry season. Interestingly, septic systems also contributed more bacteria during the wet season than that of the dry season suggesting that despite the failure of these systems, these bacteria are more easily washed off into the creeks via surface run-off during rainy season.

Geographic variability of faecal indicator bacteria is a determining factor which limits the application of many established database-dependent methods. Several factors including hydrology and animal migration patterns may also contribute to the overall bacterial load of a watershed. Temporal variability such as seasonal dietary shifts or changes in other selective pressures could also lead to a less efficacy of the established databases. An insufficient sampling program could explain much of the observed temporal and geographic variations of microbial subspecies and therefore it has been concluded that a database developed for one catchment could not be used in others. This concept was challenged in this study as it was assumed that such limitations could be overcome by a stringent sampling as well typing a large isolates and finally by developing a more representative database. The successful application of the developed database in this thesis in a cross-catchment study validated this assumption. It also indicated that there was a high stability in the fingerprints of indicator bacteria within two catchments in the same geographical region. Since no database is probably ever complete, addition of the new fingerprints from the regional and expanded catchments could add to the efficacy of the existing database originating in this study.

Another interesting component of this thesis was the establishment of the sub-database (although very small) of a combination of virulence genes and biochemical fingerprints. Faecal indicator bacteria such as *E.coli* have not been considered themselves a potential source of disease in catchment studies. While many virulence characteristics of bacteria are not host specific, some can be found more commonly associated with a specific infection and/or in a specific host. This concept was the basis for evaluating the possible use of these factors in combination with biochemical fingerprinting to obtain a better understanding of the possible source(s) of contamination. The results, although by no means conclusive, indicated that this might be the case in at least in some instances. For instance, association of genes in *E.coli* causing urinary tract infections in humans can be used in combination a biochemical fingerprint to more conclusively identify septic tanks as the source of contamination in water. Again, as mentioned before such assessments should always be made in conjunction with local knowledge of the septic tanks distribution or the prevalence and abundance of local and/or native animals in a catchment.

In conclusion, the selection of a microbial source tracking method for identification of the point or non-point sources of faecal contamination in a watershed is highly critical and determines the outcome of the study. Factors such as the discriminatory power and reproducibility of the selected method as well as the stability of the typing characters of the selected method should be carefully assessed. Additionally, factors such as the cost of a stringent sampling and testing, ease of performance, the ability of the method to generate data with a computer- supported analysis and storage for future referencing and comparison are all have to be evaluated. This of course, requires a good knowledge of the strengths and weaknesses of the existing methods as well as the types and distribution of possible sources of pollution in the catchment. Only under these conditions, a highly representative local database can be developed and be used efficiently in regional studies of the faecal source tracking. In this thesis, attempts were made to use such a method for development of a highly representative database. The subsequent application of this database to trace the source (i.e. via septic system) and other points or non-point sources of faecal contamination in two local and regional catchments proved that the success and usefulness of such method and the developed database for microbial source tracking. The future direction of this work can be the development of a sub-database of the virulence characteristics of indicator bacteria to be used and to be further developed in conjunction with the exiting database in identifying the sources of faecal contamination in regional and greater regional watershed studies.

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